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# The development of a rebar-counting model for reinforced concrete columns: Using an unmanned aerial vehicle and deep-learning approach

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1 The development of a rebar counting model for reinforced concrete columns:

#### 2 Using an unmanned aerial vehicle and deep learning approach

3

#### 4 Abstract

5 Inspecting the number of rebars in each column of a reinforced concrete (RC) 6 structure is a significant task that has to be undertaken during the rebar inspection 7 process. Conventionally, counting the rebars has relied on a manual inspection carried 8 out by visiting inspectors. However, this approach is very time-consuming, labour-9 intensive, and poses a potential safety risk. Previous studies have focused on the 10 applications of counting the rebars for a production line and/or warehouse, using 11 vision-based methods. Therefore, this study aims to propose an innovative approach incorporating the use of an unmanned aerial vehicle (UAV) on real construction sites 12 13 to count the rebars automatically. For analysing the images, robust object detection 14 methods based on deep learning (Faster R-CNN, R-FCN, SSD 300, SSD500, 15 YOLOv5, and YOLOv6) were developed. A total of 384 models generated from six 16 different methods were trained and implemented using datasets based on the original 17 and augmented images with adjustments made for the hyperparameters. In a test, the best optimised model based on Faster R-CNN produced an accuracy of 94.61% at 18 19 AP50. In addition, video testing demonstrated a coverage of up to 32 frames per 20 second in the experimental environment, suggesting that this method has potential for 21 real-time application.

22

#### 23 **Practical applications**

Drones provide an efficient way to monitor the number of rebars in reinforced columns by capturing still images or video footage. However, manually counting the 26 rebars from this data in the form of images is both time-consuming and laborious. 27 This research therefore develops an AI-driven technique, based on deep learning, 28 designed to automate the process. In the experiment, the approach that was developed 29 achieved an accuracy rate of 94.61% under diverse conditions on real construction 30 sites, including non-uniform illumination and complex backgrounds (e.g., scaffolding 31 and moulding). Nevertheless, there is potential for further improvement in certain 32 scenarios (e.g., where there are shadows in high-illumination images, or similar 33 objects close to the rebars). In addition, video testing demonstrated that the system 34 could process up to 32 frames per second. Despite its limitations, the method 35 developed in this research could be put to practical use on construction sites, except in 36 those scenarios where it showed a lower rate of accuracy. Moreover, as 30 frames per 37 second is often regarded as equivalent to real-time, it would also be feasible to use it 38 for video analytics' applications such as real-time monitoring and progress tracking.

39

#### 40 Keywords

41 Reinforced concrete structure; Rebar counting; Unmanned aerial vehicle; Image
42 augmentation; Deep learning; Faster R-CNN

#### 44 **1. Introduction**

45 Over the past few decades, reinforced concrete (RC) columns have been used 46 mainly in areas of construction involving compression to support loads from ceiling, 47 to floor slab or roof slab, or from beam to floor or foundation. The RC column mainly consists of two materials: concrete and rebar, which is short for reinforcing bar. While 48 49 the concrete handles compressive and shear stress well, it performs poorly in terms of 50 tensile strength. The rebars are therefore used to compensate for concrete's weakness 51 by increasing its tensile strength. The combination of these materials improves 52 resistance to bending and shear forces in buildings, has a long service life and requires 53 minimal maintenance, making rebars a cost-effective option for many construction 54 projects (Devine et al. 2018).

55 In designing and constructing RC columns, the number of rebars is regarded as the 56 most important parameter, because it has a significant effect on uneven moment 57 capacity and preventing structural collapse, while over-reinforcing a column could 58 also lead to increased construction costs. In order to guarantee the necessary standards 59 for RC columns, many countries have introduced codes setting out requirements (e.g. 60 BS4449 (Whiteley 1997) in the UK, and ACI 318 (ACI committee: ACI 318-19 2019) 61 in the US), and specifying the minimum, and maximum number of rebars in a column. 62 When rebars are installed in the columns, they are assessed using 2D or 3D drawings. 63 The inspection is generally carried out before the concrete is poured into the mould.

64 Conventionally, the number of rebars is assessed by a visiting inspector manually 65 counting the rebars in each column with the naked eye. In addition, inspectors stand at 66 specific vantage points, such as on temporary scaffolding or ladders to observe the 67 rebars. However, this in-person approach is time-consuming, tedious, and dangerous 68 for workers. Recently, unmanned aerial vehicles (UAVs) equipped with a single Red-

Green-Blue (RGB) camera have been introduced to resolve the problem on some construction sites. This makes the process faster and safer, by enabling workers to conduct the inspections remotely without entering potentially hazardous areas. Moreover, data in the form of RGB images is sufficient to enable the rebars to be counted accurately, so it is more cost effective than other types of cameras (e.g., a depth camera or laser scanner).

75 Despite the advantages that drone-based inspection offers, it does have some 76 critical limitations. First, flying drones is a highly regulated activity on construction 77 sites near to certain facilities (e.g. military bases and nuclear plants), due to security 78 and safety concerns. In addition, the photography itself is limited to some extent, for 79 example, images taken at night-time will not be very well illuminated, while blurred 80 images may be caused by strong vibrations due to high winds. In light of 81 aforementioned advantages and limitations, it is argued that UAVs can most 82 effectively be used for counting rebars in RC columns when images of rebars are 83 visible to the naked eye. However, there is one key problem: manually interpretating 84 the images in order to count the rebars is time-consuming, and labour-intensive.

Image processing techniques are used to automatically interpret images by invoking one or more operations. All of the operations performed on images are subject to image processing techniques. The operations are usually carried out by computing devices such as smartphones, and desktop computers so that thousands of images can be processed quickly. There are many different image processing techniques, so the choice of which to use depends on the application, and the information and pattern of images will differ accordingly (Liu et al. 2016b).

Many researchers have investigated the applicability of optimal image processing
techniques within the construction industry. It has been reported that automation has

94 achieved an almost human performance level in certain applications, for example, the 95 multi-classification of hand signals for crane operation (e.g. raising the boom and lowering the load) (Mansoor et al. 2023), detection of human intrusion (e.g. transition, 96 97 and bending) in hazardous areas on construction sites (Mei et al. 2023), and crack 98 classification on the inside of concrete structures (Chow et al. 2021). Accuracy rates 99 of 94.8%, 96.05%, and 99%, respectively, for the aforementioned three applications 100 have been achieved with continuous development. These studies indicate that it is 101 worth undertaking research into image processing techniques in order for automation 102 to be used in other applications. Thus, when suitable image processing techniques for 103 counting the rebars in RC columns via images collected by UAVs can be successfully 104 implemented, the inspection process can be undertaken with a high rate of accuracy 105 and consistency.

106

#### 107 2. Literature Review

108 Over the past decade, considerable efforts have been made to extend the use of 109 various image processing techniques in the context of rebar counting. These 110 techniques can be classified as feature-based approaches, which can also be broadly 111 divided into two approaches: the traditional approach, and the deep learning approach. 112 A feature (e.g., colour, or pixel intensity) refers to a characteristic that can be used to 113 differentiate the objects in the given images. A feature extractor, which is 114 implemented by specific operators on local portions of images, is utilised to extract 115 the feature. The transformed feature can be then used together with operators to carry 116 out certain tasks. The following sections explain the traditional approach and the deep 117 learning approach within the limitations of existing research.

#### 119 2.1 Traditional approach

120 The traditional approaches utilise manual feature extraction techniques to 121 incorporate prior knowledge in order to undertake specific tasks. The required 122 features in all possible variations for the scenarios are manually defined according to 123 the judgment of human experts - usually domain experts and computer vision 124 technicians.

125 The earliest piece of research, by Zhang et al. (2008), developed a steel bar 126 counting method for use in a factory production line. First, a template matching 127 algorithm was used to find the rebar area. The difference in pixel intensity between 128 the two elements - rebars, and background objects - was used as a feature, which was 129 extracted by a mutative threshold segmentation algorithm. This method was proved to 130 have a misdetection rate of less than 0.01%. Liu and Ouyang (2018) subsequently 131 developed a rebar counting model designed to be used in factory storage facilities. 132 First, Otsu's threshold was applied to distinguish between the pixel intensity of the 133 rebars and the background, and then a contour identification method based on 134 Suzuki's algorithm was used to detect the rebars. The results had an accuracy rate of 135 98%. Xin et al. (2010) proposed a rebar counting method for use on a moving conveyor belt in factories. After segmenting the rebars and the background using 136 137 Otsu's threshold, Hough transformation was applied to detect the edges of the rebars. 138 This method was experimentally proven to count the approximate number of steel 139 bars, although no performance quantification was provided. Zhao et al. (2016) 140 proposed a similar approach for counting the number of steel bars passing over 141 moving conveyer belts. Segmented images of the rebars and the background were 142 generated using Otsu's threshold. A Sobel operator was then applied to detect the 143 edges of the rebars. Finally, the centre point of each bar was located using the Hough

144 transformation. This system achieved an accuracy rate of 96% for a single frame. Su 145 et al. (2010) also developed an automated method for counting moving rebars. The 146 distance transform method was applied to calculate the radius of rebars. The steel bars 147 were localised by combining the Hough circle transform with the estimated radius, 148 and this method was shown to have a failure rate of 0.01%. Wu et al. (2015) also 149 developed a technique for counting steel bars passing along moving conveyer belts. 150 The binary image contour was obtained using Otsu's threshold. Each concave edge 151 point on the rebar contour was extracted using connected area analysis. Finally, an 152 algorithm-based fault tolerance method was applied to detect and count the rebars. 153 This system had an accuracy rate of 99.9% at detecting steel bars with diameters 154 between 8mm and 20mm. Liu et al. (2019) developed a bundled bars counting method 155 to be used in factory storage facility of a factory. The Prewitt operator was applied to 156 extract the oriented gradient as a feature. This feature was then fed into a Support 157 Vector Machine to ascertain the exsitence of rebars. An accuracy rate of 91% on 158 average was attained in their iterative experiments. Another image processing 159 technique was created by Lee and Park (2019). In this study, binary images of rebars 160 and backgrounds were processed by a model based on a random forest method. In this 161 case, the super-pixels - groups of pixels similar in colour - were used as the feature. 162 Another random forest model was used to classify the super-pixels to determine the 163 presence of each rebar. The precision and recall rates were 0.99, and 0.98, 164 respectively.

These provided traditional approaches have been applied to different areas related to rebar counting, most notably carrying out inspections during the manufacturing process in a factory (Liu et al. 2019; Su et al. 2010; Wu et al. 2015; Xiaohu and Jineng 2018; Xin et al. 2010; Zhao et al. 2016) and assisting with the material

169 management process on outdoor construction site (Lee and Park 2019). With regard to 170 the application of such techniques in factories, research has shown that the rebars 171 could be accurately counted through the extracted features. However, in the case of 172 construction sites, the accuracy rate was decreased when variations such as non-173 uniform lighting and different weather conditions appeared in the images. The 174 resulting performance indicates that the features for counting the rebars accurately 175 were not sufficiently well defined and extracted. This is a well-known disadvantage of 176 the traditional approaches, as it very difficult to design features that are robust enough 177 to cope with all possible variations of complex scenarios. Counting rebars from UAV 178 images on real construction sites can be regarded as a complex scenario due to the 179 complex background textures, varying scales of the rebars, and the irregular 180 illumination. Therefore, a more robust method for dealing with the kind of complex 181 scenarios involving many variations found on construction sites is required.

182

#### 183 *2.2 Deep learning approach*

There is currently a growing trend to use deep learning based methods for various applications, particularly the convolutional neural network (CNN) within the computer vision field, to overcome the drawbacks of conventional methods (Chen et al. 2017). The main difference between traditional and deep learning-based methods is that the feature extractor is replaced by a CNN in the latter. Deep learning is used to automatically extract features by training a high number of trainable parameters using a large amount of images, as data driven methods.

Fan et al. (2019) developed a method that could be used to count rebars in a steel producing factory. Candidate centre points of the rebars were detected using CNN, and Distance Clustering (DC) was then applied to determine the steel bars. The 194 accuracy rate was found to be 99.26%. A method based on deep learning for counting 195 the number of rebars in warehouses on construction sites was proposed by Li et al. 196 (2021). This method, called You Only Look Once (YOLO), produces bounding boxes 197 to localise and classify rebars. Their experimental results produced an average precision and recall rate of 99.7% and 88.3 %, respectively. Zhu et al. (2020) 198 199 proposed an approach that could be used to count rebars in an outside storage area on 200 construction sites. They used a Receptive Field Block (RFB)-Feature Pyramid 201 Networks (FPN) model to localise and classify the rebars, which produced a 202 maximum F1 score of 98.17%. Hernández-Ruiz et al. (2021) developed a method for 203 counting rebars inside a warehouse. CNN was used to establish whether there were 204 rebars within cropped areas and DC was used to estimate the possible centres of the 205 rebars. This method was able to achieve an average detection accuracy rate of 98.81% 206 for round rebars and 98.57% for square rebars. Li and Chen (2022) applied the YOLO 207 method to a large-scale dataset of steel pipes taking various on-site conditions into 208 account. The experimental results obtained showed an average precision rate of 0.98. 209 The studies mentioned above applied different deep learning approaches to count 210 rebars on construction sites (Fan et al. 2019; Li et al. 2021; Li and Chen 2022; Zhu et 211 al. 2020) or in factories (Hernández-Ruiz et al. 2021), and have been shown to have a 212 high generalisation ability. The successful application of these methods demonstrates 213 that deep learning approaches have a strong potential for use in complex scenarios on 214 construction sites. Consequently, the application of image processing techniques 215 based on a deep learning approach to investigate RC inspection using UAVs during 216 the construction stage represents a new area of research. The study also discusses and

217 compares other widely accepted deep learning techniques in order to further218 demonstrate the reliability and accuracy of such methods.

219

#### 220 **3. Proposed approach**

#### 221 *3.1 Faster R-CNN*

222 While there may be numerous alternatives to the traditional methods, integrating 223 object detection with deep learning techniques presents a promising avenue for 224 counting rebars. Object detection, also referred to as object recognition, involves 225 performing two sub-tasks simultaneously: determining the Regions of Interest (ROI) 226 for target objects; and categorising the localised objects within an image. The Faster 227 Region-based Convolutional Neural Network (R-CNN) method (He et al. 2016) is a 228 type of object detection approach, which is able to localise and classify the target 229 objects in each image through the use of bounding boxes. The original Faster R-CNN 230 method was not developed with a specific task in mind, but rather to function as a 231 versatile object detection algorithm. This adaptable and efficient method can be 232 customised for different tasks by training it on appropriate datasets. Faster R-CNN has 233 been extensively employed in numerous applications requiring the localisation of 234 target objects and the identification of their categories.

235 Fang et al. (2018a) developed an automatic detection approach designed to detect 236 construction workers' safety helmets using Faster R-CNN. The proposed method had 237 a precision rate of 95.7% under a variety of conditions. Fang et al. (2018b) proposed a 238 novel framework based on Faster R-CNN for detecting workers and equipment (e.g. 239 an excavator) on construction sites. The results revealed a high level of average 240 precision (workers: 91%; equipment: 95%) in detecting the target objects. Li et al. 241 (2022b) applied Faster R-CNN to recognising which tasks workers were performing 242 (e.g. whether they were straightening or transferring steel bars). The Faster R-CNN 243 detector performed well, with an average accuracy rate of 96.54%.

The aforementioned research confirmed that Faster R-CNN is a promising 244 245 method that can achieve an excellent rate of accuracy for different tasks on 246 construction sites in complex scenarios involving objects of different scales and 247 changes in illumination throughout the day. Each rebar can be represented as a 248 predicted bounding box by a well-trained Faster R-CNN model, and the number of 249 rebars can then be calculated by counting the bounding boxes. Therefore, Faster R-250 CNN was selected as the main method to be used for rebar counting from the UAV 251 images in this study.

252

#### 253 3.2 Comparative methods

254 A comparison with other popular methods of detection was undertaken to further 255 demonstrate the performance of Faster R-CNN. Within the computer vision field, 256 object detection can be broadly categorised into two approaches: one-stage detection, 257 which uses a single deep neural network, and is known to have better detection speed, 258 while two-stage detection, which uses two deep neural networks, including Faster R-259 CNN, is known to have better accuracy (Bu et al. 2022). In this research, the 260 performance of Faster R-CNN was compared with both single-stage and two-stage methods. 261

The following methods were tested, as representative of one-stage detection: YOLO: YOLOv5, and YOLOv6 (Li et al. 2022a); Single Shot MultiBox Detector (SSD): SSD300, and SSD500 (Liu et al. 2016a). The primary distinction between YOLOv5 and YOLOv6 lies in the latter's adoption of a more intricate network architecture and an additional scale of anchor boxes. This enhancement enables YOLOv6 to identify smaller objects with greater precision, positioning it as a superior alternative to its predecessor in terms of both accuracy and small object detection.

269 Nevertheless, YOLOv6's higher computational demands may lead to slower 270 processing speeds. SSD300 and SSD500, on the other hand, are specifically designed 271 to process images with dimensions of 300 x 300 pixels and 500 x 500 pixels, 272 respectively. Because of its ability to analyse higher resolution images, SSD500 273 outperforms SSD300 in terms of accuracy. However, its more extensive architecture 274 necessitates greater computational resources and memory, which may result in slower 275 performance and compatibility issues on certain devices. In summary, SSD500 276 surpasses SSD300 in terms of accuracy and small object detection capabilities, albeit 277 at the cost of increased computational intensity and potential speed reduction.

The Region-based Fully Convolutional Networks (R-FCN) method was selected as being representative of two-stage methods. The main feature of this method is that it employs FCN, which use position-sensitive score maps to predict object locations and class probabilities. With position-sensitive score maps, the algorithm is potentially less accurate than Faster R-CNN, because it eliminates the region-based feature extraction step, which is considered to be a key stage in improving the accuracy of object detection (Xiao and Kang 2021).

285 Although the aforementioned methods typically exhibit marginally lower 286 accuracy and faster inference times compared to Faster R-CNN, numerous studies 287 have demonstrated their strong generalisation capabilities and reasonable inference 288 times across various tasks (Xiao and Kang 2021). The accuracy and inference time of 289 these methods may vary when applied to specific tasks, primarily due to the differing 290 complexity of features associated with each task. Consequently, it is imperative to 291 evaluate a model's effectiveness with respect to its designated purpose. Less 292 computationally demanding approaches may prove more practical and suitable for

implementation if they demonstrate adequate accuracy in tasks such as countingrebars from UAV images.

295

#### 296 3.3 Proposed Faster R-CNN

297 The aim of this research is to develop a method using Faster R-CNN for 298 automatically counting the number of longitudinal rebars in images of RC columns 299 taken by a UAV. As shown in Figure 1, the Faster R-CNN model is a compound 300 operation that includes two interrelated processes: the Region Proposal Network 301 (RPN) and Fast R-CNN. These two methods perform distinct yet complementary 302 tasks: the RPN's function is to identify prospective ROIs where the target object might 303 be located. Fast R-CNN takes the ROIs generated by the RPN and refines them to 304 achieve greater accuracy. It also classifies these ROIs to identify the objects they 305 contain. In this research, some of the configurations such as the architecture and 306 hyperparameters were modified to achieve the best performance of Faster R-CNN in 307 terms of detecting the rebars. Moreover, its accuracy at detecting rebars was 308 investigated using different metrics.

309

#### 310 3.3.1 Training Faster R-CNN

The schematic architecture of RPN, is shown in Figure 2a. RPN is a key component of the Faster R-CNN architecture, responsible for generating region proposals that are likely to contain objects. The concept of anchors is used to describe the initial rectangular region proposals generated that have a variety of defined aspect ratios and scales. They are created at the centre of a spatial window, which runs over the extracted feature maps. The primary function of the RPN is to fine-tune the anchors based on ground truth information obtained through the RPN classifier andregressor.

319 The Fast R-CNN's schematic architecture is depicted in Figure 2b. The Fast R-320 CNN consists of a feature extractor, classifier and regressor. When the RPN produces 321 possible region proposals ranked by their abjectness scores, these proposals are sent to the Fast R-CNN model for further refinement. The first stage in this process involves 322 323 pooling the features related to each region proposal. The ROI pooling layer achieves 324 this by resizing each proposal to a fixed dimension, ensuring that they are compatible 325 with the following fully connected layers. The classifier and regressor are utilised to 326 classify the class probability of the detected proposal and localise each object with the 327 comparison of ground truth annotation. As the RPN and Fast R-CNN analyse the given images by sharing the same convolutional layers, they are unified into a single 328 329 network.

330

#### 331 *3.3.2 Architecture*

332 Deep neural networks have the following limitation: as the depth of the network 333 increases, the accuracy declines rapidly, which is known as the gradient vanishing 334 problem. During the training process, the gradient of the earlier layers is computed by 335 multiplying the gradient of the later layers. When the gradient of the later layers is 336 less than one, the gradient of the earlier layers will be close to zero, resulting in the 337 previous layer's gradient information becoming very small. To address this issue, a 338 popular type of architecture, ResNet-101, is often employed. It maintains information 339 about previous layers' gradients by forwarding the input to subsequent layers without 340 alteration, making it easier for the network to retain information about earlier layer 341 gradients. In addition, the architecture of the RPN is the same as that configured in the

original paper. In the last layer of the RPN, bounding boxes consisting of four coordinates with expectation values indicating the probability that an object exists, are estimated. To detect the existence of each rebar, the number of neurons in the final layer of the classifier was altered to indicate one of two classes: rebar or background.

346 Generally, it takes a long time and requires a lot of data to train deep learning 347 architecture from scratch. A pre-trained model that has already been developed in 348 advance can be used as an alternative. After being pre-trained with one dataset, the 349 model can utilise the features it has learned to perform various other tasks. In this 350 research, ImageNet (Krizhevsky et al. 2012), which is designed for academic 351 computer vision research, was used as one of the datasets for the pre-trained model. 352 ImageNet contains over 14 million images, covering many general categories such as buildings, and people. Thus, in this research, the ResNet-101 feature extractor was 353 354 initialised by pre-training it on ImageNet.

355

#### 356 *3.2.2 Optimisation of the hyperparameters*

357 There are many tuneable hyperparameters that can be optimised to train the 358 model. As the model performance may be altered by the use of different 359 hyperparameters, combinations of hyperparameters are carefully optimised through 360 experiments. However, it is almost impossible to explore all the possible 361 configurations exhaustively, due to the constraints of time and computation resources. 362 In this research, the following hyper parameters were chosen to optimise the deep 363 learning model. Stochastic Gradient Descent (SGD) was used as an optimiser, which 364 randomly sampled the batch size to update the weight of the model. In SGD, the current gradient is combined with the previous gradients multiplied by a momentum 365 366 term, which is a user-defined coefficient value. The momentum values were set to 0.7

367 and 0.9. When the model was trained on Faster R-CNN, weight decay was used, 368 which is a regularisation technique designed to prevent overfitting. Weight decay was 369 applied by adding the L2 norm to the loss function for all the model weights. The L2 370 norm was multiplied by a factor of the weight decay parameter and the values of weight decay assigned were 0.0005 and 0.001. The learning rate refers to the step size 371 372 of the weight used for updating the previous weight. The values assigned to the basic 373 learning rate were 0.00025 and 0.0001. The batch size is a hyperparameter that 374 controls the number of sample data in one iteration. The possible values for the batch 375 size are limited by the amount of GPU memory available. The values were set to 1 376 and 2. The number of iterations has a significant impact on the precision and training 377 time of the models. With fewer iterations, the training time may be shorter, but it may 378 not achieve optimal accuracy, resulting in low precision. With more iterations, the 379 accuracy can be optimised and stabilised, but it will require more time, resulting in 380 greater use of resources. In this research, 20,000 and 30,000 were deemed appropriate 381 values for training the model. In summary, 32 combinations of five different hyper-382 parameters, namely: batch size, learning rate, weight decay, momentum, and iteration, 383 were used, as listed in Table 1.

384

#### 385 *3.3 Evaluation of model performance*

In addition to the Root Mean Square Error (RMSE), which is used as the loss function for the Faster R-CNN training process, there are various other metrics that can be used to evaluate the performance of the model in object detection tasks. In this study, two aspects: average precision (AP), and detection speed, were considered.

390

391 (1) AP

392 In object detection, AP is widely used as a numerical metric for the evaluation of 393 accuracy. AP is the average precision across all recall values between 0 and 1 at 394 different thresholds of Intersection over Union (IOU), referring to the proportion of 395 the area that is common to both the predicted and ground truth bounding boxes, 396 relative to the total area covered by both boxes. AP is calculated using the formula 397 shown in Equation (1), where n is the total number of bounding boxes detected, i is 398 the rank of a specific detected bounding box, p(i) is the precision value between the 399 first, and ith detection, and  $\Delta r(i)$  is the change in recall values between the (i-1)th and 400 *i*th detection.

$$AP = \sum_{i=1}^{n} p(i) \Delta r(i)$$
(1)

In this study, the following two IOU thresholds were set: AP50, and AP50:AP95.
AP50:AP95 denotes that the mean AP ranges from 0.5 to 0.95 with an incremental
step size of 0.05.

405

406 (2) Detection speed

The detection speed is the time computed by a model for a single frame. The
speed is measured in FPS (Frames Per Second). One objective of measuring FPS was
to ascertain how quickly the Faster R-CNN model can detect the given images.

410

#### 411 **4. Experiment**

412 *4.1 Dataset preparation* 

413 *4.1.1 Original dataset* 

414 A high-precision DJI Phantom 4 Pro drone was commissioned at five unique 415 construction sites in South Korea during peak productivity hours. Construction 416 supervisors manually controlled the drone, thus ensuring the capture of clearly visible 417 images of the rebars. Representative samples from the original dataset are displayed in 418 Figure 3. The drone's path was methodically guided in a vertical trajectory above each 419 column, positioning it directly above the rebars at an estimated altitude of 1 to 2 420 meters. At each position, still images were captured with the columns nearly centred 421 in each frame. To underscore the pragmatic viability of the proposed method, rebar 422 images were sourced under authentic operational conditions, thus encapsulating the 423 complexities and challenges that typify bustling construction sites. This dataset 424 contained a diverse array of variations in factors such as illumination, scale, and 425 perspective. Moreover, other construction equipment such as scaffolding, timber and 426 moulding were also observed in the images.

In total, 728 images of rebars with a resolution of  $1,500 \times 900$  pixels were captured. The original dataset was divided into the training set; the validation set, which was used for selecting the best trained model; and the test set for testing the performance of the chosen model. The images were split into training (60%), validation (20%), and test (20%) subsets (436, 146, and 146 images, respectively) through random selection to ensure that each subset was representative of the original dataset.

434

#### 435 *4.1.2* Augmenting the dataset using training image augmentation methods

Because constructing the datasets can be time-consuming and tedious, image augmentation, which involves artificially expanding the training datasets was introduced. Although there are various different augmentation techniques available, the following five augmentation techniques were applied to real-world images captured by UAVs in this research. The purpose of each augmentation technique is described briefly with the parameter used. A detailed explanation with the relevant 442 mathematical operations is described in previous research (Shorten and Khoshgoftaar443 2019).

444 First, various images of rebars with different lighting conditions were collected. 445 To gather a dataset that includes variations in lighting conditions, brightness 446 augmentation can be used to artificially increase or decrease the pixel intensity of the 447 original images to make them brighter or darker. To set the parameters for this, a pixel 448 intensity of between -30 and 30 was randomly added. Second, the flight altitude of the 449 UAV can cause variations in the scale of the rebars. Hence, scale augmentation can be 450 employed to scale the images up or down by altering the original images along the 451 coordinate axis. The original images were randomly transformed by a value of 452 between 80% and 150% in the x and y-coordinates of their original size. Third, as 453 blurred images caused by the strong winds were included in a dataset, the model 454 needed to be trained to accurately recognise objects in blurred images too. To produce 455 a blurred effect, a Gaussian filter can be applied to the original image, resulting in a 456 more pixelated image. This process smooths the image by giving more weight to 457 nearby pixels and less weight to more distant ones, effectively averaging the pixel 458 values in a weighted manner. A parameter of  $\theta$  for the Gaussian filter was applied 459 randomly, ranging from 0 to 1. Furthermore, as the UAV navigates its flight path to 460 capture images of vertical columns, its orientation relative to the columns inevitably 461 changes. This leads to the images being taken from various angles, causing variations 462 in the orientation of the rebars within the images. Rotation augmentation addresses 463 this issue by rotating the original images by a specific number of degrees. In this 464 research, the rotation angle was randomly assigned to between 5 and 90 degrees to generate a more diverse set of rotated images. In addition, UAVs capture images from 465 466 different positions and altitudes as they progress along their flight path. This results in

467 images being taken from varying viewpoints, leading to perspective distortions.
468 Perspective transformation augmentation was applied to the images to simulate
469 different viewpoint changes by multiplying the homography matrix with the original
470 image's pixel coordinates. The magnitude of the perspective distortion was controlled
471 for within the range of 0.01 to 0.15.

Based on the 436 original training images, each technique was applied separately once, and then a combination of five techniques were applied at the same time. In total, 2,180 augmented images were newly generated. Figure 4 shows examples of the augmented images produced using brightness augmentation, image smoothing, and scale augmentation.

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#### 478 *4.1.3 Synthesis of final dataset*

To demonstrate the effectiveness of the augmentation methods, four different datasets with different purposes were prepared for the experiments: training data, training data and augmented data, validation data, and test data. Next, the rebars were annotated for counting by assigning the rectangular ground-truth bounding boxes to the area of each rebar. In this case, the rebars were annotated as 'rebar' and the remaining areas were designated as 'background'. Table 2 shows the detailed distribution of annotated information for each dataset.

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488 *4.3 Experimental settings* 

All the experiments were conducted using a Windows 10 system with an Intel
Core i7-7700HQ @ 2.80 GHz×8, a NVIDIA GeForce GTX 3080ti GPU and 32G
RAM. The Faster R-CNN was run with Detectron2 (Wu et al. 2019). This is a

Facebook AI Research (FAIR) software system that uses state-of-the-art deep learning
algorithms. Other configurations retained the default settings of Detectron2, except
for the hyperparameters, as shown in Table 1.

495

496 **5. Results** 

#### 497 5.1 Results of training and validation

498 Monitoring the changes in training and validation loss during the training can 499 provide a useful indication of whether the model is converging. In addition, a model 500 with a lower loss but a lower AP score might have a smaller overall error but could be 501 failing to detect some objects or producing more false positives. On the other hand, a 502 model with a higher AP score is likely to be more effective at detecting objects 503 accurately and with fewer false positives, which is more desirable in rebar detection 504 tasks. Therefore, when choosing the best model, the AP accuracy was prioritised over 505 other aspects.

506 The training and validation loss graphs for all 64 Faster R-CNN models were 507 plotted; however, due to space constraints, they are not displayed here, but can be 508 accessed via a link located in the "Data Availability Statement". As representative 509 examples, the training and validation loss graphs of the models are displayed in 510 Figure 5. For all the models, the loss curves displayed greater fluctuation in the early 511 stages, which then progressively smoothed out with subsequent iterations. In the 512 original and augmented datasets with 20,000 iterations, neither the training nor the 513 validation loss converged, meaning that they showed relatively higher fluctuations. 514 By contrast, at 30,000 iterations, the models trained on both the original and augmented datasets exhibited convergence. However, it is noteworthy that the specific 515 516 iteration point at which convergence was achieved varied between the models. A

517 general trend for a lower validation loss was observed among the models that were 518 trained using the augmented dataset. This pattern suggests that data augmentation 519 effectively boosted the models' performance on the validation data.

520 The performances of the APs (AP50, and AP50:95) in each model are displayed 521 in Table 3, and the graphical representation are shown in Figure 6. Specific 522 hyperparameters do not consistently yield the best performance across all datasets. 523 With 30,000 iterations, the models trained using augmentation techniques 524 outperformed those trained on the original dataset in terms of AP. However, when the 525 number of iterations was reduced, the accuracy of the models trained with 526 augmentation techniques fell below those trained on the original dataset. This 527 suggests that the proposed augmentation techniques can enhance the models' ability to 528 generalise, provided the appropriate hyperparameters are used.

529 Regarding the overall AP performance, although all the Faster R-CNN models 530 performed well at AP50, their accuracy dropped significantly when a stricter IOU 531 metric of AP50:90 was applied. This indicates that most of the predicted bounding 532 boxes were unable to accurately localise rebars with the corresponding ground truth 533 boxes. Out of all the models generated, the highest performing model was case19 (batch size:1, learning rate: 0.00025, weight decay: 0.001, momentum: 0.7, iteration: 534 535 30,000), with the augmented dataset, which showed accuracy of 94.69%, 54.34%, and 536 a detection speed of 0.032, at AP50 and AP50:95, respectively. As a result of the 537 experiments, case19 was ultimately chosen to assess whether a similar level of 538 accuracy could be achieved on real construction sites.

539

540 5.2 Model evaluation in test images

The model trained by case 19 was applied to unseen images from the test dataset. The accuracy level obtained at AP50 was 94.61%, while at AP50:95, it was 54.52%, with a detection speed of 0.032. These results were closely aligned with the performance measures achieved during validation. Figure 7 and Figure 8 show examples of visually detected images that had a relatively high level and a relatively low level of accuracy, respectively.

547 First, the images that were detected with a higher level of accuracy are shown in Figure 7. In Figure 7a, 24 rebars are depicted within a close-range view. This image 548 549 also presents a relatively simplistic scenario, with good lighting and background 550 including elements such as debris and equipment. All the rebars within the image 551 were successfully detected by the model. Figure 7b features a more complex setting 552 with dimmer illumination and a more cluttered background inclusive of elements such 553 as scaffolding, moulding, and various other items. Nevertheless, the model adeptly 554 detected all 32 rebars, unhampered by these more challenging conditions.

555 However, there were some inaccuracies as shown in Figure 8a, which displays a 556 near-field view of 24 rebars under medium-intensity brightness. As a result of the 557 moderately complex background that includes elements such as a hoop and a blue 558 mark, the model encountered difficulties in recognising objects. Pipe rings situated on 559 the floor, in close proximity to one of the rebars, caused confusion for the model, 560 leading it to erroneously identify one of these pipe rings as a rebar. Figure 8b exhibits 561 a scene with 18 rebars, high illumination, a shadow cast by another object, and raininduced stains, all within a near-field view. In this image, the model failed to detect 562 563 three rebars that were obscured within the shadow cast by adjacent columns. Additionally, it incorrectly interpreted a shadow of a rebar as an actual rebar. These 564

565 examples underline the challenges that can arise with object detection in variable566 environmental conditions.

567

568 5.3 Comparison with other detectors

569 5.3.1 Experimental configurations

To ensure a fair comparison, the same adjustable configurations as for the Faster 570 571 R-CNN model, including the hyperparameters (e.g. batch size, and iteration), and 572 backbone architecture based on ResNet-101 were used with different datasets 573 (original and augmented datasets) for other detectors: YOLOv5, YOLOv6, SSD300, 574 SSD500, and R-FCN. The other features used were the same as in the corresponding 575 original version. To accommodate the customised configurations, the codes were 576 adjusted accordingly using the MMDetection platform (Chen et al. 2019) to run the 577 models with all of these detectors.

578

#### 579 5.3.2 Comparison of performance

580 In this experiment, 64 different models were run across six different detectors, 581 giving a total of 384 unique models. Their respective training and validation losses, 582 along with their performance metrics, are documented and can be accessed via a link 583 located in the "Data Availability Statement". In summary, the loss patterns observed 584 were akin to those detailed in Figure 5 for the Faster R-CNN model. All the models 585 exhibited considerable volatility in their loss curves during the initial stages, gradually 586 becoming more stable as the iterations increased. Nonetheless, none of the models reached a point of complete convergence, either with the original or augmented 587 datasets, and showed a marked instability around the 20,000-iteration point. 588

589 Convergence was only achieved with the original dataset and the augmented version590 at 30,000 iterations.

A comparison of the best models using six different methods with APs, and 591 592 different detection speeds is presented in Table 4. While all the models performed 593 well in terms of detecting rebars at AP50 after being trained on a dataset of UAV 594 images, their performance dropped significantly when a stricter IOU metric of 595 AP50:90, was applied. This result indicates that most of the predicted bounding boxes 596 had a lower overlap with the corresponding ground-truth boxes. Out of all the models, 597 the proposed Faster R-CNN model had advantages in terms of accuracy. Compared to 598 the one-stage detectors, the two-stage detectors (Faster R-CNN and R-FCN) achieved 599 higher AP rates at all the IOU levels. Although they take longer to detect objects due 600 to including the additional step of region proposal generation, the estimated detection 601 speed was still reasonably good. Of the one-stage detectors, YOLOv6 performed best 602 for the selected metrics.

603

604 5.3.2 Video testing

605 Video analytics offers significant benefits for applications such as real-time monitoring, and progress tracking. The best model based on Faster R-CNN was 606 607 demonstrated via video testing. This model was able to process an image in a 608 remarkable 0.032 seconds, meaning that it can effectively cover up to 32FPS. Thus, a 609 video captured at 30FPS by a superintendent could be analysed in real time. Figure 9 610 shows a representative example of sequential frames processed by the Faster R-CNN 611 model. The model correctly counted 25 rebars when the columns in the image were nearly centred, as exemplified in frames #246, #247, and #248. By contrast, when the 612 613 columns were not centred (as seen in frames #348, #349, and #350), there was a 614 noticeable decrease in accuracy. This variation in accuracy might be attributable to the 615 characteristics of the training dataset, which was mostly captured in a vertical 616 trajectory, with columns nearly centred in each frame. Despite this limitation, the 617 model could still prove highly effective for counting rebars in real time, especially 618 when the columns are well-centred within the frame.

619

#### 620 6. Conclusions

621 In this paper, a novel strategy was proposed for automatically counting the rebars 622 in an RC structure using UAVs and Faster R-CNN. In order to implement the model 623 with a high generalisation ability, 64 models, which comprised various combinations 624 of two different datasets and 32 hyper parameters were trained and validated. After 625 selecting the most optimised model, its real performance using the unseen data was 626 evaluated. In addition, the other widely accepted detectors used in many different 627 areas of application were compared to test the performance of the proposed Faster R-628 CNN model. From the experimental results, the following conclusions were drawn:

(1) One of the objectives of this research was to rapidly generate synthetic data with diverse variations to enhance the accuracy of rebar counting. Utilising five augmentation techniques - brightness, scale, blurring, perspective, and rotation - the models trained on the augmented datasets demonstrated superior accuracy compared to those using the original dataset, given sufficient iterations. This methodology could also prove beneficial for similar applications within construction settings, such as deducing rebar spacing and estimating rebar diameters.

(2) Based on the most optimised model (i.e. case 19), the test results showed a
94.61%, and 54.52% level of accuracy at AP50 and AP50:95, respectively, and a
detection speed of 0.032 seconds. The resulting performance produced a reliable level

639 of accuracy in counting the rebars in complex scenarios but still had potential for 640 improvement in certain other scenarios (e.g. shadow in high illumination images, and 641 similar objects close to the rebars). The most optimised model that was generated 642 could be used for practical purposes on construction sites, except in those scenarios in 643 which it had a low rate of accuracy.

(3) A comparison with other widely used detectors was carried out to evaluate the
performance of the Faster R-CNN model. The results showed that the proposed Faster
R-CNN model outperformed the following popular methods: YOLOv5, YOLOv6,
SSD300, SSD500, and R-FCN, in terms of rebar counting accuracy. In addition, video
testing of the Faster R-CNN model demonstrated that it had coverage of up to 32
frames per second in the experimental environment, meaning that it has considerable
potential for real-time investigations.

651 The architecture used in this research showed promising results, but it is still a long way from achieving near-perfect accuracy. Thus, advanced architectures that 652 653 incorporates additional convolutional or attention-based layers may be better at 654 capturing the intricate patterns of rebars, leading to more accurate detection, which 655 could be investigated in future research. In addition, the current model was shown to 656 have limitations when the columns are not centred in the frames. Therefore, to 657 enhance the model's robustness, the datasets should be enriched with more diverse 658 video footage, captured in real time from a variety of construction sites and under 659 different environmental conditions.

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#### 664 Data Availability Statement

All data, models, and code generated or used during the study appear in the

submitted article. The dataset obtained, trained model, and test results, video output

- 667 can be downloaded from Figshare data repository (Wang 2023).
- 668

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# 772773 Tables

Case	Hyperparameters							
	Batch size	Learning rate	Weight decay	Momentum	Iteration			
1	1	0.00025	0.0005	0.7	20,000			
2	1	0.00025	0.0005	0.9	20,000			
3	1	0.00025	0.0001	0.9	20,000			
4	1	0.00025	0.0001	0.7	20,000			
5	1	0.001	0.0005	0.7	20,000			
6	1	0.001	0.0005	0.9	20,000			
7	1	0.001	0.0001	0.7	20,000			
8	1	0.001	0.0001	0.9	20,000			
9	2	0.00025	0.0005	0.7	20,000			
10	2	0.00025	0.0005	0.9	20,000			
11	2	0.00025	0.0001	0.7	20,000			
12	2	0.001	0.0001	0.7	20,000			
13	2	0.001	0.0005	0.7	20,000			
14	2	0.001	0.0005	0.9	20,000			
15	2	0.00025	0.0001	0.9	20,000			
16	2	0.001	0.0001	0.9	20,000			
17	1	0.00025	0.0005	0.7	30,000			
18	1	0.00025	0.0005	0.9	30,000			
19	1	0.00025	0.0001	0.7	30,000			
20	1	0.00025	0.0001	0.9	30,000			
21	1	0.001	0.0005	0.7	30,000			
22	1	0.001	0.0005	0.9	30,000			
23	1	0.001	0.0001	0.7	30,000			
24	1	0.001	0.0001	0.9	30,000			
25	2	0.00025	0.0005	0.7	30,000			
26	2	0.00025	0.0005	0.9	30,000			
27	2	0.00025	0.0001	0.7	30,000			
28	2	0.00025	0.0001	0.9	30,000			
29	2	0.001	0.0005	0.7	30,000			
30	2	0.001	0.0005	0.9	30,000			
31	2	0.001	0.0001	0.7	30,000			
32	2	0.001	0.0001	0.9	30,000			

# **Table 1** Combinations of hyperparameters used in this research

### **Table 2** Detailed distribution of constructed datasets

Purpose	Number of images	Rebars
Training	436	10,734
Training, and augmentation	2,616	64,404
Validation	146	3,842
Test	146	3,700

777

**Table 3** Rankings of trained models with different hyperparameters and datasets

Rank	Data	Case	AP50	AP (50:95)	Rank	Data	Case	AP50	AP (50:95)
1	Aug.	case19	94.74	54.47	33	Aug.	case5	89.21	47.66
2	Aug.	case30	94.64	53.94	34	Ori.	case31	89.08	47.57
3	Aug.	case18	94.59	52.84	35	Aug.	case7	89.05	49.01
4	Aug.	case29	94.58	53.62	36	Aug.	case8	89.02	48.52
5	Aug.	case17	94.52	53.75	37	Ori.	case20	88.88	47.86
6	Aug.	case24	94.04	52.98	38	Ori.	case29	88.86	46.63
7	Aug.	case32	93.86	52.66	39	Ori.	case27	88.71	46.83
8	Aug.	case26	93.73	53.32	40	Aug.	case2	88.54	48.38
9	Aug.	case27	93.57	54.38	41	Ori.	case24	88.53	47.11
10	Aug.	case25	93.28	52.78	42	Aug.	case16	88.48	47.59
11	Aug.	case23	93.19	52.79	43	Aug.	case10	88.26	47.43
12	Aug.	case20	93.08	53.67	44	Aug.	case1	88.25	48.20
13	Aug.	case21	92.82	53.55	45	Aug.	case9	87.97	47.91
14	Aug.	case28	92.80	52.33	46	Aug.	case12	87.81	47.62
15	Aug.	case22	92.73	52.37	47	Aug.	case3	87.78	48.03
16	Aug.	case31	92.64	52.57	48	Aug.	case4	87.77	47.48
17	Ori.	case21	90.31	48.02	49	Ori.	case11	87.28	43.04
18	Ori.	case25	90.23	47.45	50	Ori.	case14	87.24	42.35
19	Ori.	case26	90.22	47.25	51	Ori.	case1	87.19	43.06
20	Ori.	case28	90.16	46.90	52	Ori.	case9	87.05	43.55
21	Ori.	case30	90.06	47.01	53	Ori.	case3	87.02	43.95
22	Ori.	case19	89.91	46.64	54	Ori.	case4	86.93	42.41
23	Ori.	case23	89.83	47.92	55	Ori.	case12	86.92	44.12
24	Aug.	case6	89.76	48.95	56	Ori.	case10	86.74	43.35
25	Ori.	case22	89.73	46.33	57	Ori.	case13	86.63	43.15
26	Aug.	case11	89.73	47.38	58	Ori.	case7	86.62	42.57
27	Aug.	case14	89.71	49.35	59	Ori.	case5	86.50	42.66
28	Aug.	case13	89.58	48.49	60	Ori.	case2	86.35	42.95
29	Aug.	case15	89.55	48.72	61	Ori.	case16	86.18	42.90
30	Ori.	case17	89.43	46.99	62	Ori.	case8	85.80	43.44
31	Ori.	case18	89.37	47.97	63	Ori.	case15	85.71	43.34
32	Ori.	case32	89.31	47.88	64	Ori.	case6	85.70	42.73

780

781 **Table 4** Comparison of results produced by different detectors

	Vali	dation			
Model	AP50	AP(50:95)	AP50	AP(50:95)	Detection speed
SSD300	89.19	46.01	88.27	48.27	0.018
SSD500	89.78	46.62	89.32	49.13	0.019
YOLOv5	91.36	50.29	90.73	50.21	0.017

YOLOv6	92.34	50.76	91.95	50.59	0.016
R-FCN	93.13	51.38	93.02	51.32	0.024
Faster R-CNN	94.69	54.34	94.61	54.47	0.032