

Applications Model: A High-Level Design Model for Rich Web-Based Applications

Nalaka R. Dissanayake^a and Alexander Bolotov^b

School of Computer Science and Engineering, University of Westminster, 115 New Cavendish Street, London, U.K.

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Abstract: Rich web-based applications are complex systems with multiple application elements running on diverse platforms distributed over different tiers. There are no UML-based modelling languages or tools catering for the specificity of the rich web-based applications to model the high-level aspects of application elements, platforms, and tiers. This paper proposes a model named the *Applications model* and its modelling elements to design the high-level application elements of rich web-based applications, the platforms they execute, and the tiers they belong to. The proposed model and the modelling elements improve the simplicity and readability of the high-level design of rich web-based applications. Our ongoing research expects to introduce more UML-based models and modelling elements to assist in designing all the aspects of rich web-based applications aligning with the Rich Web-based Applications Architectural style and then provide UML profiles to produce a formal UML extension.

1 INTRODUCTION

This section first provides the background details to understand the context of this paper and then states the problem within the context and the motivation for the writing. After that, the paper's aim and objectives are specified; next, the research methodology is discussed. Finally, the article's structure is given to understand the flow of the rest of the document.

1.1 Background

This section briefly discusses the main concepts related to the research problem, setting the paper's context.

1.1.1 Software Modelling

Software modelling involves designing and documenting different aspects of software systems, such as requirements, architecture, algorithms, and databases (The Institute of Electrical and Electronics Engineers, 2002). The design process comprises two phases.


High-level/ Preliminary Design (architectural design) is the process of identifying the high-level elements in the system and their relationships. (The Institute of Electrical and Electronics Engineers, 2002).


Low-level/ Detailed Design is “the process of refining and expanding the preliminary design of a system or component to the extent that the design is sufficiently complete to be implemented” (The Institute of Electrical and Electronics Engineers, 2002).

The Unified Modelling Language (UML) (OMG, 2023) is a widely accepted generic tool for designing software systems (Fuentes & Vallecillo, 2004).

1.1.2 Software Architecture

Software architectural design provides an overall abstract picture of the elements and their relationships within a system at its run time, assisting in realising the system (Fielding, 2000). Architecture is the foundation of any software system, and the support gained from a carefully designed and sound architecture is significant at all phases of software engineering projects (Solutions, 2014). The increased

^a  <https://orcid.org/0000-0002-4616-5658>

^b  <https://orcid.org/0000-0001-9966-7558>

realisation of the system helps reduce complexity (Hough, 1993) since software complexity encloses the difficulties in understanding (Zuse, 1992).

Formal architectural description languages (ADLs) are available to design software architectures (Ozkaya & Kloukinas, 2013); however, UML-based semi-formal architectural designing languages/tools are more usable and admired over formal ADLs because of their graphical syntax. Our ongoing research focuses on UML-based modelling aspects for RiWAs.

1.1.3 Rich Web-Based Applications

We have extended the traditional term Rich Internet Applications (RIAs) into Rich web-based applications (RiWAs) (Dissanayake & Dias, 2018) during the early stage of our research. RiWAs denote a wide range of systems – which offer a higher user experience compared to traditional web applications – combining their advanced rich Graphical User Interfaces (GUIs) with faster Delta-Communication (DC) (Dissanayake & Dias, 2017) technologies. Commonly used apps, such as Facebook, Google apps, and Microsoft apps, are RiWAs.

RiWAs development tools like libraries, frameworks, IDEs, dependency management and build tools have immensely evolved over the last two decades to cater for the specificity of the RiWAs (Dissanayake & Dias, 2018) by assisting in developing the rich GUIs, DC, and related components. However, other RiWAs engineering concepts – like architectural styles, design patterns, design, and testing methods – and tools for them have not advanced much (Dissanayake & Dias, 2016).

The RiWAs are complex systems with multiple application elements running on diverse platforms distributed over different tiers (see Section 3.1). The client application elements of the RiWAs can be browser-based apps, mobile apps, or even desktop apps or Internet of Things (IoT) devices, and the server application elements can be web services and processes (see Section 3.1.1). RiWAs engineering would benefit from modelling concepts/tools like styles, patterns, and methods to realise the system's abstract formalism, design them, and share the knowledge and experience gained from past projects (Dissanayake & Dias, 2016).

1.2 Problem and Motivation

Our ongoing research intends to address the absence of a domain-specific modelling language to cater for the complexity and specificity of the RiWAs

mentioned in the previous section. While studying the solutions available to bridge this gap, it was noted that none of them proposes notations to model the high-level tiers and application elements that can simplify the design (see Section 2). Also, modelling details of RiWAs' platforms using the UML metamodel's node notation makes the architecture diagrams untidy and less readable (this is further discussed in Section 3).

This paper focuses on the following attributes of the RiWAs' high-level design.

Readability: RiWAs are complex systems; hence, the design diagrams can be large and untidy, thus complex. It is vital to get assistance from a design language to maintain the readability of the designs to reduce errors in understanding and implementation (Koning, Dormann, & Vliet, 2002).

Simplicity: refers to the separation of concerns, which appreciates decomposing a system and identifying and separating the modules for greater realisation and, thus, management (Laplante, 2007). Simplicity can also significantly assist in improving the readability of the design.

The motivation for our ongoing research to look into modelling tiers, platforms, and application elements of the RiWAs is as follows.

Tiers: The layered architecture style improves the simplicity and readability of a system by separating the system's elements into layers/tiers based on their roles (Richards, 2022). Distributed systems like web-based systems highly benefit from layered styles like 2-tier client-server architecture, 3-tier, and n-tier architectures since the tiers also help understand the deployment of the system's elements based on their roles and communication technologies.

Applications and Platforms: A RiWA is a collection of applications running on different platforms in different tiers that communicate with each other. RiWAs' architecture needs the details of these application elements' platforms in a readable way to understand the deployment and development technologies of the application elements.

1.3 Aim and Objectives

This paper aims to introduce a new high-level design model named the *applications model* to denote the tier and platform details of application elements in a simple and readable manner. The following objectives are set to achieve this aim.

- (1) sets the requirements for the proposed *applications model* and its elements (see Section 3.1).
- (2) introduces notations for tier, platform, and application elements (see Section 3.2).
- (3) introduces the RiWAs *applications model* (see Section 3.3).

1.4 Methodology

This section discusses only a subset of the methods used in our ongoing research, which are required for this paper.

In our research, which is mainly related to quality attributes like simplicity and readability, gathering requirements from the users of software models – who are the RiWAs designers – is impractical since their knowledge and experience might be limited to a particular type of RiWAs engineering. The outcomes of our ongoing research are some design models and their notations, which cannot be executed like software, to gather results and analyse. Thus, our discussions will be based on reasoning over empirical analysis.

We formed the following process with three steps to introduce the desired model.

Step 1: Set the requirements for model elements. The requirements are mainly identified by examining the general characteristics and features of RiWAs realised through an architectural style named *Rich Web-based Architectural style* (RiWAArch style) (Dissanayake & Dias, 2020), which was produced in the early stage of our ongoing research series. Section 3.1 sets the requirements for the proposed *applications model*.

Step 2: Propose notations required to model the tier, platform, and application elements of RiWAs. Section 3.2 proposes these notations.

Step 3: Introduce the *applications model* for RiWAs in section 3.3.

1.5 Structure of the Paper

The remainder of the paper is organised as follows. **Section 2** reviews the available solutions for high-level designing. **Section 3** introduces the *applications model*, following the steps given in the methodology in Section 1.4. **Section 4** discusses two real-world use cases, and finally, **Section 5** concludes the paper and states the future work.

2 REVIEW OF THE RELATED SOLUTIONS

This section reviews, in general, the available solutions for designing high-level aspects of web-based systems or RiWAs. However, further details of these solutions related to the tiers, platforms, and applications will be discussed under relevant parts in Section 3.

Arc⁴²

Arc⁴² (Starke, 2023) can be considered as a methodology for software architectural design, which discusses the matters related to the system architecture: “*arc⁴² provides a template for documentation and communication of software and system architectures*” (Starke, 2023). Arch⁴² tries to capture the use of AJAX for communication; hence, it can be seen as viable for RiWAs. Nevertheless, architectural designing is only one aspect of Arch⁴² methodology, and Arch⁴² does not provide syntax, models, or guidelines to design architectures; instead, it focuses more on documenting the related artefacts.

SAP’s TAM

SAP’s standardised *Technical Architecture Modeling* (TAM) (SAP, 2007) (SAP, 2023) provides a diagram named *Component/Block diagram*, which is based on the UML component diagram. As per TAM’s documentation, it contains many notations – such as components and connectors – that are useful for RiWAs’ modelling. Still, TAM does not provide high-level tiers, platforms, and application elements.

ArchiMate

ArchiMate (The Open Group, 2023) has a large set of new UML-based notations, including colour codes specified for them. ArchiMate incorporates a high learning curve due to the large collection of new concepts, syntax, and models provided. ArchiMate is a general language and does not include syntax to depict DC and related aspects, which makes it unsuitable for RiWAs.

SysML

SysML (OMG, 2023) is a general-purpose modelling language for software systems, defined as a UML 2 Profile. The communication-related aspects in SysML have not improved much compared to the standard UML; thus, the DC-related concepts cannot be modelled, and RiWAs architectures are not adequately supported.


C4 Model

The C4 model (Brown, 2023) supports designing high-level aspects of web applications using the top-down approach with four abstract levels: *Context*, *Containers*, *Components*, and *Code* and provides design guidelines. However, it follows the informal box-and-line approach without using formal syntax and rules, incorporating issues like omitted semantics of the elements from the design (Avritzer, Paulish, Cai, & Sethi, 2010) (in-depth discussions related to

the box-and-line approach are not included in this paper).

3 RiWAs APPLICATIONS MODEL

This section sets the requirements, introduces the notations, and then presents and discusses the RiWAs *applications model* following the process stated in Section 1.4. The proposed modelling elements' notations use a naming convention with a new labelling format to include more details on the design and to improve readability, given in Figure 1.



<< Element : Type : Name >>

Figure 1: Element label format.

The **element** segment of the label indicates the modelling element's class, the **type** segment provides technical details, and the **name** segment is provided to assign a name to the modelling element for identification purposes. Further discussions on the naming convention and the labelling format are kept out of the scope of this paper.

3.1 Setting the Requirements

This section sets and discusses the requirements for the modelling elements of the *applications model* for RiWAs, based on the RiWAs modularisation realised by the RiWAArch style (Dissanayake & Dias, 2020) in the direction of improved simplicity and readability of the design.

3.1.1 Application

An application element defines the scope for a set of components and connectors which run on a dedicated platform. A RiWA is a collection of application elements communicating with each other, and a modelling language requires notation to denote application elements. A RiWA comprises at least two application elements: one on the client and one on the server. A RiWA can have multiple client-side apps such as browser-app and mobile-app for diverse platforms like desktops, mobile devices, or IoT-based devices. Also, dissimilar types of users may use different apps; for example, in a taxi booking RiWA, the travellers and drivers use different apps. Moreover, large and complex RiWAs may encompass multiple services and processes, for example, web services and micro-services, which can be seen as server-side application elements.

3.1.2 Platform

A platform provides the environment for an application element to run, and it is a complex concept that involves the three levels below.

Hardware is a device like a computer, mobile phone, or a device used in the Internet of Things (IoT). In the case of IoT, the device can be even a TV, a vehicle, or any other custom device.

An **operating system** (OS) is required to manage the hardware resources and hide the device's complexity to provide an execution environment for the applications. There can be multiple OSes for a given device; for example, a desktop or laptop computer may use an OS like Windows or Linux; therefore, it is vital to depict the selected OS and its relevant details, such as version.

Application Level Virtualisation –Applications may require tools – like web servers, DB servers, runtimes like JRE or .NET, or browsers. These tools provide the environment needed for the application elements to execute. Even cloud services offering deployment mechanisms can be considered application-level virtualisation platforms.

Architectures of the RiWAs benefit from depicting all the relevant platform details using suitable notations while maintaining the design's tidiness and readability.

3.1.3 Tiers

The tier concept is the highest level of separation in RiWAs, which logically separates the architectural elements by grouping them, mainly based on the elements' role/purpose and distribution rather than technological aspects (Richards, 2022). The tiers help organise the architectural elements to realise their role as a group within the tier, their geographical or platform distribution, and the relationships between them. The architectural elements of modern RiWAs are distributed across many tiers for various purposes like routing, load balancing, caching, and external service usage. Therefore, we believe the RiWA designs will benefit from denoting the tiers for improved simplicity and readability.

3.2 Proposed Notations

This section reviews the modelling elements used in the available solutions and proposes notations for the elements needed for the *applications model* to satisfy the requirements specified in section 3.1.

3.2.1 Application Element

UML metamodel uses the *artifact* model element (uml-diagrams.org, n.d.) to express a concept similar to the application where an artefact can be a script or an executable file. However, the *artifact*'s purpose is to represent some physical entity – including text documents, source files, scripts, binary executable files, archive files, or database tables – and is conceptually different from the application element. **Arc42** (Starke, 2023) can denote the application using a box in its *Building Block View*, which lacks standard notation. **TAM**'s (SAP, 2007) *Component/Block Diagram* model element named *Common Feature Area* is likely to be exploited to represent the application. The modelling element named *Product* in **Archimate**'s *Business layer* (Visual-Paradigm, 2018) is similar to the concept of the application element; anyhow, it characterises a higher-level abstraction. The **C4 model**'s (Brown, 2023) *level 2 Container diagram*'s primary purpose is to show the applications and their associations; still, since C4 uses boxes and lines, it lacks proper notations.

The application element suggested by this paper can be seen as a wrapper for a group of related components running on a platform. This paper proposes using a rectangle with a label to model the application element, as shown in Figure 2.

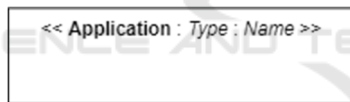


Figure 2: Proposed notation for the application element.

Based on the label format given in Figure 1, the application element's **element** segment is set as *application*; the **type** segment should contain a suitable value to denote the type of the application, for example, *browserApp*, *mobileApp*, or *webService*. Finally, the **name** segment may use a unique name to identify the application element.

3.2.2 Platform Element

The platform comprises three levels: the hardware, the operating system, and the application-level virtualisation (see section 3.1.2). UML metamodel uses the *node* model element to express the platform details on the deployment diagram using two levels: *device* and *execution environment* (uml-diagrams.org, n.d.). The main issue with UML node syntax is that multiple nested nodes should be used to

design the platform details, which reduces the readability by making the design untidy.

The UML-based methods/tools use the UML metamodel's *node* to denote the platform, and they inherit the same issues regarding the nested nodes, as stated above. **Arc42**'s (Starke, 2023) *Building Block View* enables depicting the *platform*; nevertheless, it uses boxes and lines without proper modelling elements. **Arc42**'s *Deployment View* uses the UML *node* without additional dedicated notations. **Archimate**'s *Technology Layer* uses the node as a “computational or physical resource that hosts, manipulates, or interacts with other computational or physical resources” (Visual-Paradigm, 2018). Archimate further provides more notations – such as *system software*, *technology function*, *technology service*, and *technology collaboration* – to include platform-related details in a model. The complexity, hence, the learning curve of Archimate, could be increased by having many notations for the same concept. **SysML**'s (OMG SysML, 2019) *Block Definition Diagram* provides some notation like *AbstractDefinition*, which can be exploited to include the platform details into a model. The **C4 model** (Brown, 2023) does not explicitly provide notations for platforms; however, platform details can be denoted in the level 2 *Container diagram* using boxes.

Aligning with the standard UML, this paper proposes the same *node* notation for the platform. However, nested nodes are eliminated by exploiting the label to provide more details on a single node to increase the readability. The proposed notation is named *platform* and is given in Figure 3.

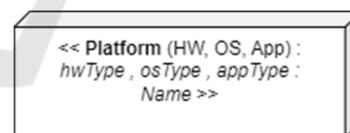


Figure 3: Proposed notation for the platform element.

The following rules are provided to name the platform element.

- The **element** segment of the label should be “Platform”.
- In addition, in the element segment, within brackets, the platform levels presented by the element should be indicated using the shortcodes: HW for hardware, OS for operating systems, and App to denote the application-level virtualisation. The levels should be separated using commas.
- For the **type** segment of the label, the technical details of the platform levels mentioned in the

element segment should be specified in the same order, separated by commas.

- The **name** segment of the label should contain names for the platform levels for identification purposes in the same order, separated by commas.

For example, a user's browser in an Android mobile phone can be labelled as depicted in Figure 4.

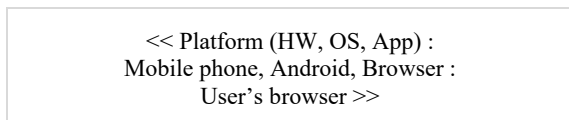


Figure 4: Example of platform label.

3.2.3 Tier Element

Since the UML metamodel has no particular diagrams for high-level design, there is no notation to denote tiers. The UML *package element's* notation can be exploited to represent the layers as in layered architecture; however, the layer's concept is abstract and does not explicitly align with the concept of the tiers in RiWAs. **Arc42's** (Starke, 2023) *Building Block View* allows showing tiers on the architecture; anyhow, it suggests using lines and boxes instead of providing proper modelling elements for different types of blocks, reducing the readability. **TAM** (SAP, 2007) (SAP, 2023) uses a dashed line to indicate the protocol boundaries and explains that “*Protocol boundaries usually partition a diagram in order to accentuate certain boundaries in communication.*” This notion of separation differs from the tier concept, and it will not indicate the role or distribution of the containing elements. **Archimate's** (The Open Group, 2023) *physical layer* provides some containers: *Equipment, Facility, Distribution Network, and Material* for different levels of separation; nevertheless, they do not provide a high level of simplicity similar to tier. **SysML** provides a more abstract concept called *Block*, which is likely to be used to denote tier (OMG SysML, 2019). The *Block* “*defines a collection of features to describe a system or other element of interest*” (OMG SysML, 2019), and it can be exploited to model tiers.

This paper suggests using a rectangular block to indicate the tier, as given in Figure 5. The adjacent tiers may share the side borderlines, as shown in Figure 6. The tier label's **element** segment should use the “Tier” keyword. Since the RiWAArch style is based on the 3-tier architecture, this paper only specifies three values for the **type** segment of the label: *Presentation, Application, and Storage*. The **name** segment may contain a suitable value to identify the tier based on the system's requirements.

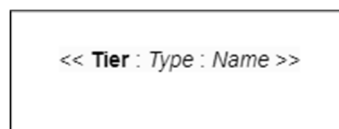


Figure 5: Proposed notation for the tier element.

3.3 Proposed Applications Model

The highest level of the RiWA architecture comprises a set of applications running on different platforms distributed in different tiers and requires a model to realise these elements, their configurations, and related details.

UML does not provide any models for architectural design. UML metamodel uses the deployment diagram to design the platform details, and UML-based methods mainly utilise the deployment diagram to denote the platform and related details. The deployment diagram does not show application elements and/or explain the grouping of platforms into tiers, and may use multiple levels of nested nodes to denote the complete platform details, reducing the design's readability. **TAM** (SAP, 2007) uses the *Component/Block diagram* to model the architecture, which shows a tier-like separation primarily based on the communication protocol and does not include platform details and no dedicated notation for application elements. **Arc42's** (Starke, 2023) *Building Block View* tries to capture the architectural elements but lacks proper syntax and definitions. The **C4 model's** *Container diagram* provides guidelines to capture the application elements; however, it does not have formal notations and rules and, hence, lacks readability.

This paper proposes the *Applications model* to satisfy the requirements set in section 3.1. The application model utilises the proposed elements: tier, platform, and application. The *Applications diagram* shows all the application elements in a RiWA, uses a single platform element per application, and the platforms are grouped into tiers. The communication channels between the applications should also be denoted on the diagram to specify the configuration of the elements.

Figure 6 illustrates an example Applications diagram for an online shopping RiWA. Two types of arrows are depicted between the *ShoppingAppClient* and the *ShoppingAppServer*: the thin arrow represents the standard HTTP communication, and the thick arrow indicates DC (Dissanayake & Dias, 2017). In-depth discussions on the communication channels are kept out of this paper.

4 USE CASES

This paper presents Applications diagrams of two real-world use cases as proof of concept.

4.1 Use Case 1, LMS

The first use case is a graph-based learning and knowledge management system (LMS) named Smartest (Bolotov, 2020), which was initially developed as a regular web application. In the next stage, the following improvements were required.

- Convert the system to a RiWA with two types of client apps: browser app and mobile app.
- Move domain logic to a web service, which is exposed to both types of clients via RESTful APIs.

We worked on re-architecting the system for a smooth transition, and we designed the Applications diagram for the target version given in Figure 7, which realises the application elements in the final system and the communication between them in HTTP and/or DC form.

This Applications diagram helped make decisions on deploying the application elements in the application and the storage tiers. Besides, the Applications diagram assists in identifying the internal components and connectors of the application elements, which helped in the low-level design and development.

4.2 Use Case 2, MiCADO-Edge

MiCADO-Edge (Ullah, et al., 2021) is a model for cloud-to-edge computing. Our ongoing research has focused on the basic 3-tier browser-based RiWAs. Despite this use case being out of the context of basic

RiWAs, it was selected to experiment with to understand the potential of the *applications model*.

The original architecture in the published paper (Ullah, et al., 2021) uses the box and line approach; thus, it is incomplete and has many unanswered questions regarding the types of the elements and their configuration. We are working with the MiCADO-Edge researchers to produce the design diagrams using the design language introduced by our ongoing research, and as the first step, the MiCADO-Edge architecture is reproduced using the *applications model*. The reproduced MiCADO-Edge architecture is given in Figure 8, which includes more details about the tiers and platforms and is more readable than the original diagram.

The Cloud tier represents a cloud platform; therefore, a platform element is not used to denote the cloud platform explicitly. Since this is more of an architectural style, actual HW and OS platform details for the edge and fog devices are not given. If standard UML node elements were used for them, nested nodes in two levels would be required to depict the HW and OS platforms, which could make the diagram untidy, reducing the readability.

5 CONCLUSION AND FUTURE WORK

Achieving the objectives set up in Section 1.3, the research presented in this paper has the following main contributions: (1) definition of the requirements for the proposed *applications model* and its elements: *tier*, *platform*, and *application*; (2) the notations for these elements; and (3) introduction of the applications model.

The use cases in section 4 evidence that the proposed *platform* notation is capable of denoting the required details of an application's platform using a

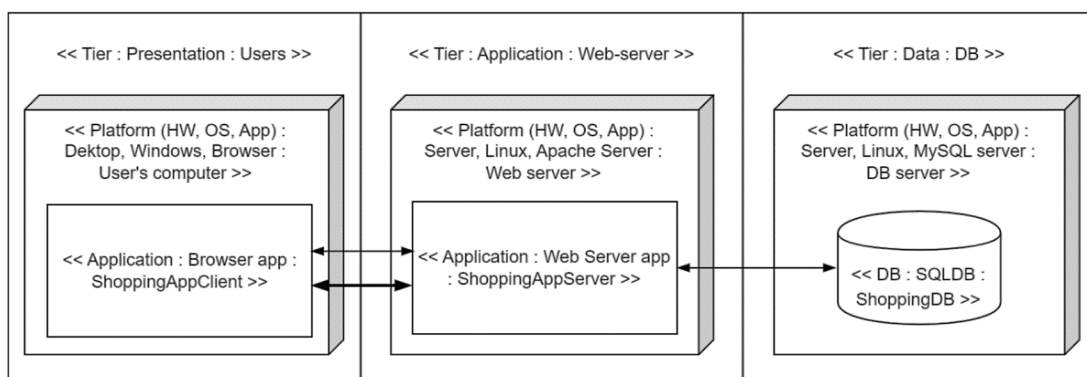


Figure 6: An example Applications diagram.

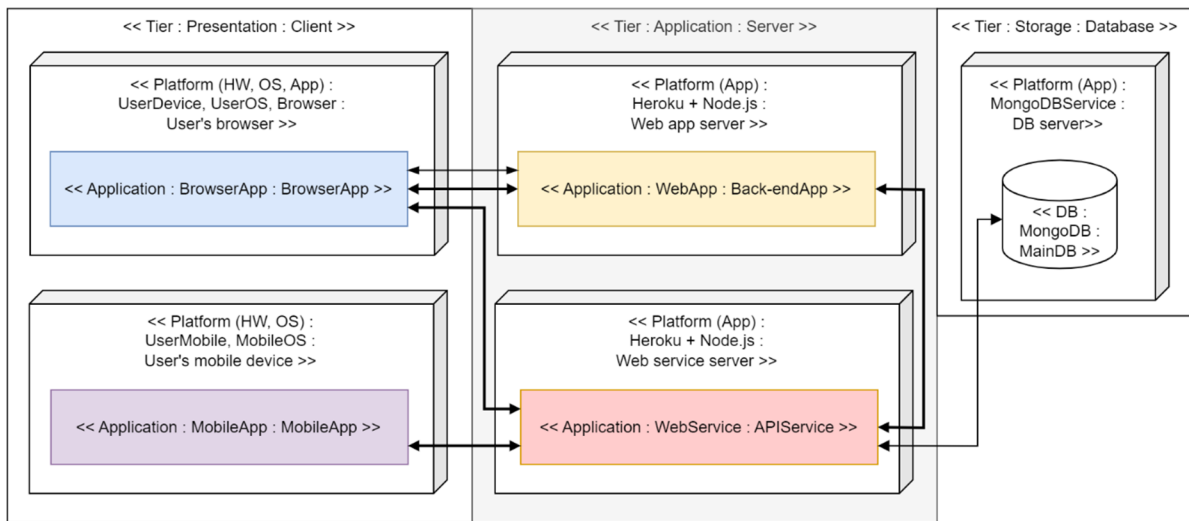


Figure 7: Applications diagram of the Smartest LMS.

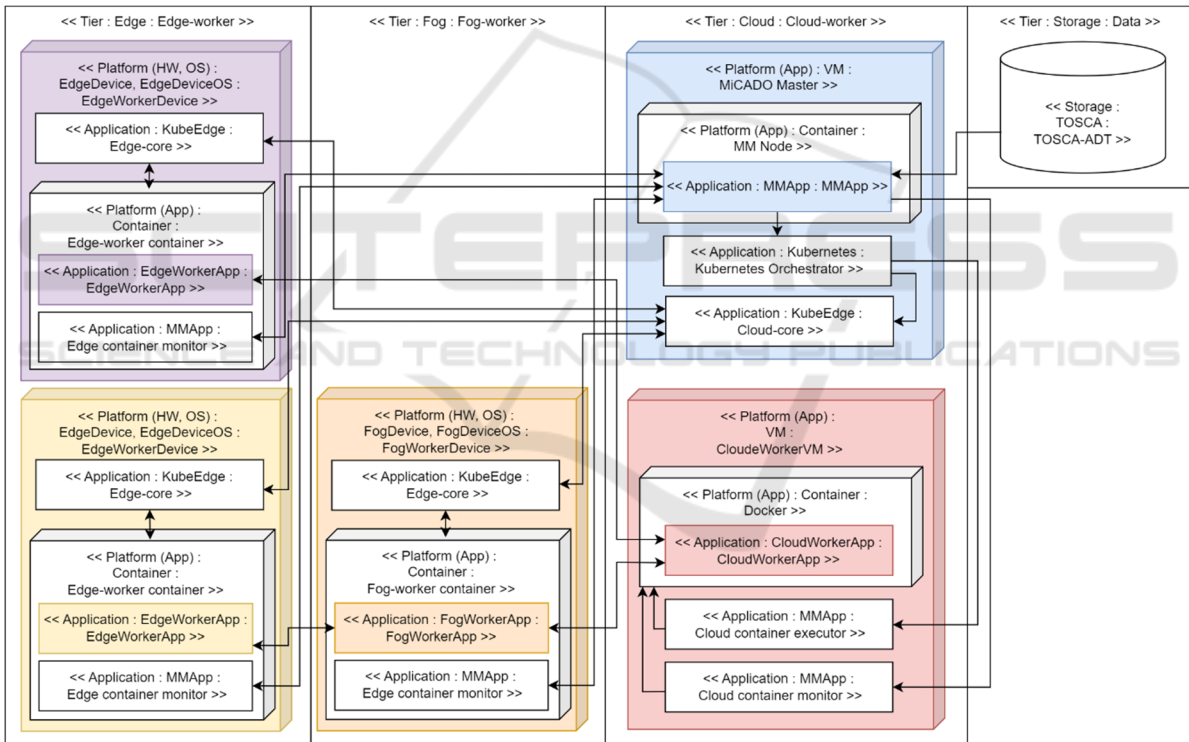


Figure 8: Applications diagram of MiCADO-Edge.

single element in a much more readable format compared to the UML node. The *tier* element is able to group and organise the *application* elements with corresponding *platform* elements, improving the simplicity. These elements help the *applications model* contain high-level details of a RiWA while maintaining the diagram simple, tidy, and readable. The use case shows that the Applications diagram is

capable of realising systems with IoT and cloud computing features.

In future, we expect to introduce another high-level model and its modelling elements to design RiWAs views, components, and connectors within application elements. Also, we will continue working on low-level models and modelling elements to design the detailed aspects of the views, controllers,

and models of application elements. With all the new models and modelling elements, we expect to introduce a set of UML profiles for a new UML extension to cater for the specificity of RiWAs. Further, we plan to widen the scope of the research to address RiWAs designing, which are integrated with elements for related concepts like cloud computing, the Internet of Things, Artificial Intelligence and Machine Learning.

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