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Experimental and Numerical Study on the Thermal Performance of Earthbag-wall Units Incorporated with Phase Change Materials

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33 **Nomenclature**

34	ω_R	Total uncertainty
35	k	Thermal conductivity (W / mK)
36	A	Area of the wall (m^2)
37	ΔT	Temperature difference between the wall surfaces ($^{\circ}C$)
38	l	Wall thickness (m)
39	q	Rate of heat transfer (W)
40	h_c	Convective heat transfer coefficient $W / m^2 K$
41	T_b	Temperature of the inner surface ($^{\circ}C$)
42	T_i	Temperature of the indoor air ($^{\circ}C$)
43	v_w	Air speed (m/s)
44	TL	Time lag (hr)
45	$T_{i,max}$	Maximum inner surface temperature ($^{\circ}C$)
46	$T_{o,max}$	Maximum outer surface temperature ($^{\circ}C$)
47	f	Decrement factor
48	T_i^j	Temperature at node i and time step j ($^{\circ}C$)
49	Δt	Time step (min)
50	ΔX	Finite difference layer thickness (m)
51	C_p	Specific heat of the material ($\frac{kJ}{kg} \cdot K$)
52	ρ	Density (Kg)
53	c	Space discretisation constant
54	(α)	thermal diffusivity of the material (m^2/s)
55	Fo	Fourier number
56	$h(T)$	Enthalpy node as a function of temperature (Kj/Kg)

57 r Correlation coefficient

58

59 **Abbreviation**

60 P PCM

61 EP Expanded perlite

62 G Expanded graphite

63 PEPG Composite PCM

64 Wall-1 (baseline) Reference wall

65 Wall-2 (WA31) Wall with PCM composite

66 Wall-3 (WInk26) Wall with Inertek26 microencapsulated PCM

67 Wall-4 (WA28) Wall with microencapsulated PCM

68 Wall-5 (WInk23) Wall with Inertek23 microencapsulated PCM

69 PCM-E PCM-integrated earthbag unit

70 FAC2 Fraction within a factor of two

71 FB Fractional bias

72 NMSE Normalised mean square error

73 **1. Introduction**

74 Fossil fuels are the most widely used source of energy for housing worldwide [1]. Fossil fuel usage has
 75 caused many socioeconomic and environmental problems, including fossil fuel depletion, greenhouse
 76 gas emissions, global warming, air quality deterioration, oil spills, and acidic rain [2]. The building
 77 sector has experienced ongoing and rapid growth, accounting for 30–40% of the total global primary
 78 resource use [3]. In tropical developing countries with high temperatures and strong solar gains, the
 79 main part of the energy demand related to the cooling of spaces in buildings is exacerbated [4].

80 Despite the need to provide a healthy and comfortable environment for housing occupants, such goals
 81 are yet to be achieved globally for temporary housing owing to many circumstances, such as fuel
 82 poverty [5], forced displacement [6], and high levels of insecurity [7]. This situation has resulted in a
 83 global need for temporary housing to accommodate millions of refugees and displaced individuals [8].

84 An estimated 15 million individuals are internally displaced in Nigeria alone [9] due to various causes
85 such as coercive movements, civil wars, insurgency, and ethnic discrimination by government policies
86 [10]. Such displacement causes great suffering because internally displaced persons (IDPs) lack access
87 to suitable shelters, food, and healthcare. One of the main concerns for such housing is their poor
88 indoor environmental conditions, as relocated individuals are often displaced to inhospitable regions
89 that are barely accessible [11]. [12].

90 Earthbag buildings emerged long ago as a practical temporary housing solution because they are
91 inexpensive, quick, and simple to construct using natural components. Earthbag housing can be easily
92 decomposed, and the materials can be returned to nature with a minimum human-related
93 environmental footprint [13]. Furthermore, earthbag buildings are more thermally comfortable than
94 burnt or concrete bricks [14]. However, few studies have focused on the performance of earthbag
95 buildings in hot and dry climates. Rincón et al. (2019) [15] revealed that a high-inertia earthbag
96 building with solar protection and night ventilation effectively mitigates thermal discomfort.
97 Additionally, Wesonga et al. (2021) [16] studied and compared the thermal performance and total life
98 cycle costs (LCC) of earthbag walls and burnt brick walls in Uganda's hottest region and found that the
99 thermal performance of earthbag housing was better than that of a brick wall, resulting in a lower
100 annual energy consumption and cost savings of up to 83.2%. Despite these positive results, some
101 studies have argued that earthbag buildings are not thermally comfortable even when another
102 technological system is incorporated, such as a radiative cooling system [17] and that they have lower
103 insulating effectiveness than dual glasses [18]. A possible solution is to couple the earthbag with other
104 materials, such as straw layers [19]. Traditional building insulation materials have mainly been applied
105 in thick or multiple layers to achieve greater thermal resistance, creating heavier load bearing and
106 complexity [20]. To address this, passive strategies such as integrating energy storage are needed,
107 which can enhance thermal resistance by shifting the energy demand from peak to off-peak periods.
108 This approach buffer temperature fluctuations but also improves the indoor climate, particularly in
109 temporary housing under harsh climatic conditions [21]. As a commonly utilised storage technology,
110 phase change material (PCM) can potentially reduce thermal discomfort in buildings [22], [23]. PCM
111 have strong thermal properties and high latent heat capacity, making them excellent thermal storage
112 media that can significantly improve energy efficiency [24].

113 Phase change materials can be incorporated into building components in different ways; one of the
114 simplest methods is direct incorporation. However, the direct incorporation of PCM in buildings may
115 lead to leakage as the PCM changes from solid to liquid or vice versa during the charging and
116 discharging periods [25]. To address this limitation, cross-linked polymer matrix, a porous mineral
117 material or expanded graphite or perlite which have the virtue of shape stability have been used to

118 encapsulate PCM [26]. [27] fabricate a PCM composite made by impregnating paraffin into
119 hydrophobic coated expanded perlite (EPO) granules using two methods, direct impregnation, and
120 vacuum impregnation. The stability of this composite was compared with that of a paraffin/uncoated
121 expanded perlite (EPW) phase change composite. Results showed that the paraffin/EPW composite
122 had significant leakage PCM), while no PCM leakage was observed for the paraffin/EPO composite.
123 [28] reports on a leakage test using the oozing circle method to investigate the leakage condition in
124 the expanded perlite/paraffin composites. The results showed that no leakage occurred in composites
125 containing 31.5 mass% of paraffin. [29] developed PCM composite using octadecanol (OC) as PCM and
126 expanded perlite (EP) and graphite using vacuum impregnation method. Leakage-proof properties of
127 the composites are investigated, and it is found that adding expanded graphite (EG) with a mass
128 fraction of 5%, 10%, or 15% weakens leakage phenomena.

129 EnergyPlus, an advanced simulation software, plays a crucial role in evaluating these PCM integration
130 strategies. Researchers have employed EnergyPlus to assess PCM impact on building thermal
131 performance. Cui et al. (2015) [30] prepared a macro-encapsulated lauryl lightweight aggregate (LA-
132 LWA) for thermal energy storage concrete (TESC). The experiment was conducted in a TESC room and
133 validated using an EnergyPlus simulation engine. The results showed that the PCM-integrated walls
134 exhibited the best thermal and energy performance. Ramakrishnan et al. (2017) [32] investigated the
135 thermal enhancement of PCM integrated cementitious composites board (PCM CB) for building walls.
136 The study used experimental and numerical simulations with EnergyPlus v8.5 software and found that
137 integrating PCM reduced indoor temperatures by up to 4.43 °C during summer days. Combining
138 PCM CB with night ventilation further reduced peak indoor temperatures by up to 3.4 °C. Many other
139 researchers have used EnergyPlus to conduct simulations of phase change materials for building
140 thermal performance [33],[34],[35].

141 The use of PCM in conventional buildings, such as wallboards [36], bricks [37], and concrete [38], has
142 been extensively studied, as the literature showed above. However, the use of PCM in vernacular
143 buildings such as adobe rammed earth, cob buildings, and earthbags has not been explored much in
144 the literature. Few studies focused on this research area. For example, Serrano et al., (2013) [39]
145 optimised the formulation of stabilised rammed earth with 10% PCM, resulting in a 9.3% increase in
146 heat capacity and a 23.5% decrease in thermal conductivity. Gounni & Louahlia, (2020) [40]
147 demonstrated that integrating PCM in a cob house reduced the annual temperature oscillation and
148 heating loads compared to conventional building materials. Zaineb et al. (2020) [41] evaluated the
149 energy saving potential of clay-straw-wall integrated with PCM in Morocco's Draa-Tafilalet Region.
150 They found that the peak heat flux of the straw-clay-inner-PCM wall decreases by 31.95%, while straw-
151 clay-outer-PCM only drops by 26.5%. A study conducted by Toufigh and Samadianfard, (2022) [42]

152 showed that using PCM in rammed earth helped control temperature variations. In another study,
153 'M'hamdi et al., (2022) [43] found that using PCM was more efficient for cooling in the arid climate and
154 heating in the sub-arid and Mediterranean climates, with the rammed earth envelope showing a
155 maximum energy reduction of 10.7%. This study addresses significant research gaps in the field of
156 thermal comfort in temporary housing and the integration of phase change materials (PCM) into
157 building practices. Currently, there is limited research available on thermal comfort considerations
158 specific to temporary housing, especially in hot climates. Additionally, the incorporation of PCM into
159 building materials, particularly in the context of Nigeria's climate, has not been adequately explored.
160 Furthermore, the potential benefits of utilizing modern passive energy storage materials, like PCM,
161 are often overlooked in traditional vernacular building methods. The thermal properties and
162 characteristics of earth buildings with PCM have also not been extensively studied. In light of these
163 limitations, this study investigates the incorporation of modern commercial technologies, specifically
164 PCM, into earthbag building practices to alleviate thermal discomfort in severe climates, particularly
165 for temporary housing such as refugees and internally displaced individuals. The lack of research on
166 strategies to mitigate thermal discomfort in temporary housing, especially in hot climates, poses
167 potential risks to vulnerable occupants, particularly children. Thus, the current study proposes a
168 passive strategy involving PCM as an innovative and sustainable solution for addressing thermal
169 discomfort challenges in temporary earthbag housing. Previous research has shown promising results,
170 indicating that the integration of PCM into earthbag units can lead to a reduction in inner surface
171 temperatures by as much as 4.1°C [44]. To build upon these findings, an experiment involving a 1-zone
172 building with a PCM-integrated earthbag wall was conducted and subsequently validated through
173 numerical simulations. Various earthbag walls were manufactured with and without PCM, and their
174 thermal performance was evaluated within an environmental chamber. The experimental results
175 were corroborated using an EnergyPlus simulation model. Consequently, a parametric analysis was
176 undertaken to identify the optimal PCM characteristics, including transition temperature, thickness,
177 and placement. This comprehensive investigation seeks to contribute valuable insights into enhancing
178 the thermal performance of temporary housing in hot, dry climates by leveraging PCM-integrated
179 earthbag construction.

180

181

182

183 2. Method

184 The overall work on PCM-E wall development, thermal performance test, and numerical validation
185 consisted of four main steps. The first step was the materials and preparation of the earthbag walls.
186 This step involved selecting and preparing the materials that were used in constructing the earthbag
187 wall. The second step was the experiment conducted on the earthbag wall in an environmental
188 chamber that measured the thermal performance of the walls. Therefore, the performance of the wall
189 was monitored within a 1-zone scaled building. The third step was validating the experimental result
190 using developed numerical model of a 1-zone building. Performance evaluation was conducted to
191 verify the validity of the numerical model develop, by comparing the inner surface temperature of the
192 wall experimentally tested and the one numerically analysed. Finally, a parametric analysis was
193 conducted to determine the suitable quantity of the PCM required for the PCM-E wall to achieve a
194 better thermal comfort in their indoor environments.

195 2.1. Experimental Study

196 2.1.1. Materials and Methods

197 In hot climates like Nigeria, a PCM with a higher transition temperature option is preferable for
198 reducing indoor temperature [45]. In this study, the selection process of PCM considered the comfort
199 zone of the Kano state, the region for the experiment, which was determined to be between 23 and
200 32 °C [46]. Four (4) PCMs were utilised as thermal energy storage materials in a PCM-integrated
201 earthbag unit. These PCMs included paraffin wax (A31 and A28) purchased from PCM Product Ltd,
202 United Kingdom, and microencapsulated PCMs (Inertek26 and 23) obtained from MCI Technologies
203 Company. For A31, a PCM composite was formed. The optimum amount of expanded perlite used to
204 accommodate the PCM was determined to 50% of the PCM percentage weight. The percentage
205 weight of the PCM for single earthblock as determined in our previous study was 0.39Kg per block
206 [44]. The melted A31 PCM was inserted into the pores of the expanded perlite and 30g of expanded
207 graphite via direct impregnation process, which allowed the PCM to be evenly distributed throughout
208 the pores of the expanded perlite and graphite. The mixtures were kept in an oven at 50 °C for 3 h and
209 then cooled at room temperature for 2 h. The PCM-composite PEPG was then formed and used for
210 the PCM-integrated earthbag wall formation.. The Inertek26 was already microencapsulated and thus
211 did not require a supporting material; therefore, it was directly incorporated into the PCM-integrated
212 earthbag unit. However, A28 and Inertek23 were used only for parametric analysis. The phase change
213 temperature and enthalpy of the A31, A28, Inertek26, and Inertek23 were analysed using differential
214 scanning calorimetry (DSC). Sample of the PCMs (A31, A28, Inertek26, and Inertek23) weighing

215 between 5 and 10 mg were contained within a closed crucible and placed into a temperature-
 216 controlled DSC cell. A second crucible without sample was used as a reference. were tested under a
 217 nitrogen atmosphere, with a heating temperature range of 10–45°C, followed by a cooling
 218 temperature range of 45–10°C. The samples were tested at a ramp rate of 2°C/min. The
 219 thermophysical properties of the selected PCMs from the 'manufacturer's data sheet [47], [48], [49]
 220 are listed in Table 1.

221 **Table 1.** Technical data for the thermos-physical characteristic of Paraffin wax (A31 and A28) and
 222 Microencapsulated (Inertek26 and 23)

Product	A31	A28	Inertek26	Inertek23
Melting temperature ^{°C}	31	28	26 to 28	23°C to 27
Phase change enthalpy (kJ/kg)	182	265	175	160
Specific heat capacity (kJ/kg.K)	2.22	2.22	2.0	2.0
Density (kg/m ³)	790	789	950	940
Thermal conductivity W/(m.k)	0.21	0.21	0.20	0.20
	Wall	Parametric analysis	Wall	Parametric analysis

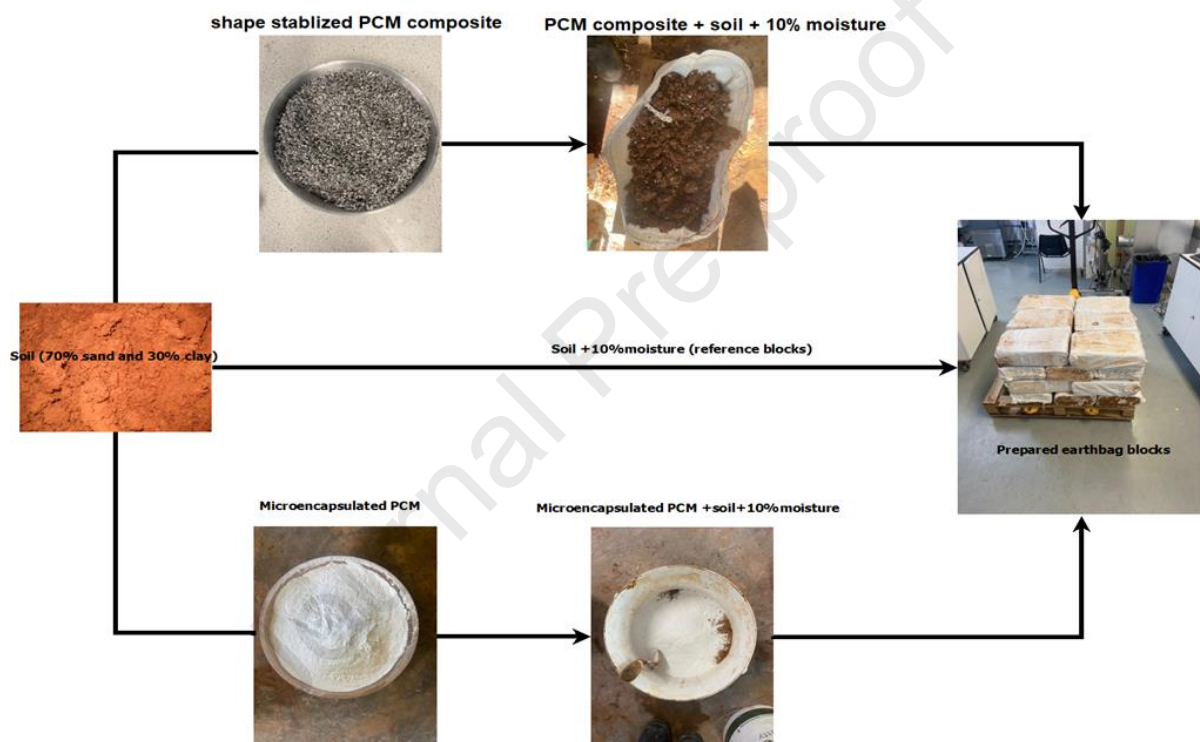
223

224 2.1.2. Preparation of Earthbag Block

225 Twenty-four earthbag unit blocks were fabricated to construct earthbag unit test walls with and
 226 without PCM. A wooden frame for the earthbag block fabrication with dimensions of 400 mm × 250
 227 mm × 100 mm (see Fig. A. 1) was prepared to enclose the mixture. The suggested optimal combination
 228 for making an earthbag block is 30% clay to 70% well-graded soil, as reported by Santos and Beirão
 229 (2017) [50]. The optimal soil content was determined based on a preliminary test. The mixture was
 230 carefully pressed into frame to prevent air gaps that could reduce the block strength. The quantity of
 231 A31 (in expanded perlite and graphite) and Inertek26 were mixed at 2.2% of the composition of the
 232 entire unit block mixture. Water was added to the mixture up to the point at which 10% moisture was
 233 achieved, as suggested by Geg, (2018) [51]. The mixture was thoroughly blended in a concrete mixer
 234 to achieve homogeneity.

235 Additionally, while pouring the mixture into the block mould, several tampings were made to ensure
 236 that the mixture in the bag was fully compacted. It was essential for consolidation that the tamping
 237 be moderate to avoid damaging the encapsulated PCM. Sixteen blocks were formed with PCM,
 238 including eight with the PEPG composite and the other eight with the Inertek26. The remaining eight
 239 out of 24 blocks were made without PCM and are referred to as baseline blocks. Fig. 1 shows the
 240 graphical criteria for preparing the mixes and block development.

241



242

243

Fig. 1 Earthbag block preparation

244

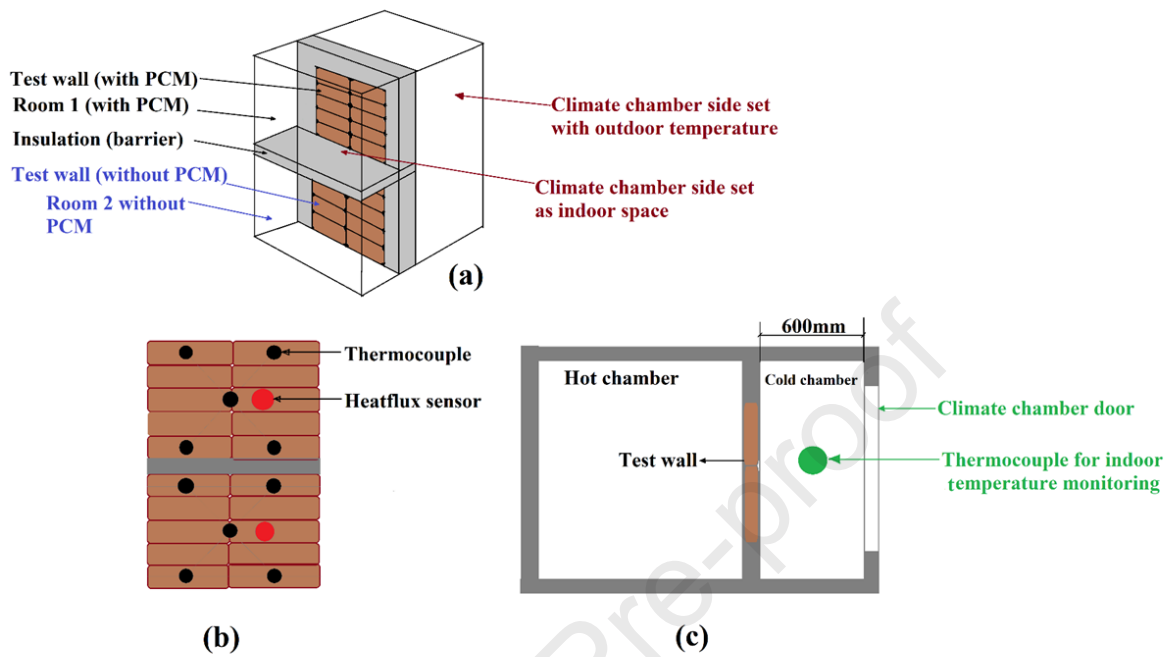
245

246 2.1.3. Wall Thermal Performance Testing

247 Three identical wall prototypes were built to assess earthbag-building test walls with and without
248 PCM. Wall-1 (baseline) was constructed without PCM, whereas Wall-2 and Wall-3 were built with
249 PEPG Composite and Inertek26, respectively. The prototype wall (see Fig. 2 a and Fig. 3 as a picture)
250 was placed inside a controlled climatic chamber to form a 1-zone building. **Error! Reference source**
251 **not found.**b shows a schematic layout of the thermocouples and the heat flux at the outer and inner
252 surfaces of the PCM-integrated earthbag unit test wall and baseline wall. The tested wall was arranged
253 with the upper portion constructed as a PCM wall and the lower portion constructed as a non-PCM
254 wall. The tested wall was placed 600 mm from the climate-chamber door. A wooden barrier and
255 expanded polystyrene board were used to separate the two walls and create an indoor space for
256 testing (Room 1 and Room 2, as shown in Fig. 2a). The climatic chamber was programmed to simulate
257 summer climatic conditions in Kano, Nigeria (see Section 3.1) to replicate the real conditions of the
258 wall when tested outdoors. The climate chamber was divided into the outdoor temperature and the
259 indoor space.

260 Additionally, ten k-type thermocouples with an accuracy of 0.5 °C were installed on the test wall,
261 including five on the inner surface and five on the outer surface (refer to Fig. 2b). Moreover, two heat
262 flux sensors with a calibration uncertainty of $\pm 3\%$ ($k=2$) were mounted on the wall to measure the
263 heat flow rates. The relative humidity was set to 50% throughout the experiments. A thermocouple
264 was placed in each indoor space to measure the indoor temperature (refer to Fig. 2c). All the sensors
265 were connected to an automatic data acquisition system (DT80 DataTaker Data Logger) with a data
266 recording frequency of 10 min. The data logger had a voltage-measurement accuracy of 0.1%.
267 According to the experimental procedure, both the hot and cold chambers were initially maintained
268 at 20 °C to ensure that the PCM remained in its solid form. The hot side was set with a Kano state
269 profile temperature for three days. The experiment began once the hot chamber started to warm
270 from the initial temperature to the first profile set temperature of 32 °C, causing a variable thermal
271 boundary condition on the hot side of the PCM-earthbag wall. The experiment conducted over three
272 days aimed to observe variations in the behaviour of the PCM-integrated earthbag unit within a
273 climate chamber, simulating typical summertime conditions in Kano State. This period in April,
274 representative of the region's summer climatic conditions, was selected to provide critical insights into
275 weather patterns essential for accurate simulations. Focusing on April's peak temperatures was
276 integral in evaluating the performance of the system under extreme conditions, a crucial factor in
277 designing robust environmental systems.

278



279

280 **Fig. 2** (a) Test walls prototype in climatic chamber (b) Schematic layout of thermocouples and heat flux at
 281 outer and inner surface of walls (c) Top elevation of experimental arrangement

282

283



284

285

Fig. 3 Tested prototype earthbag wall (with PCM upper and without PCM lower)

286 2.1.4. Uncertainty Analysis

287 To determine the accuracy of the experiment, an uncertainty analysis was performed. This study
 288 focused on measurements of the inner wall surface temperature and heat flow through the wall.
 289 Therefore, the uncertainties were derived from the random measurement of the errors of the K-type
 290 thermocouples and heat flux sensors. For the thermocouples, the accuracy was $\pm 0.5^\circ\text{C}$, which means
 291 that the actual temperature was within $\pm 0.5^\circ\text{C}$ of the measured value. For the heat flux sensor, the
 292 calibration uncertainty was $\pm 3\%$ ($k=2$) according to the 's data sheet, which means there is a 95%
 293 chance that the actual heat flux is within $\pm 3\%$ of the measured value. Then, the uncertainty of the K-
 294 type thermocouples is $\pm 0.3^\circ\text{C}$ with an average temperature of 36.1°C , and the uncertainty of the heat
 295 flux sensors is $\pm 0.68 \text{ W/m}^2$ with an average heat flux of 24.6 W/m^2 . Thus, to calculate the
 296 percentage uncertainty of the measurement, we divided the total uncertainty by the measured value
 297 and multiplied it by 100%. Therefore, the percentage uncertainty of the K-type thermocouple was
 298 0.7%, and that of the heat flux sensor was 2.8%. Now that we have individual uncertainties, we can
 299 calculate the combined uncertainty using the root sum of squares (RSS) method, as reported by
 300 Tokuç et al., (2015) [52] using Eqn. 1:

301

$$\omega_R = \left[\left(\frac{\partial R}{\partial x_1} \omega_1 \right)^2 + \left(\frac{\partial R}{\partial x_2} \omega_2 \right)^2 + \dots + \left(\frac{\partial R}{\partial x_n} \omega_n \right)^2 \right]^{1/2} \quad 1$$

302 where, ω_R is the total uncertainty in the result, R is the calculated result based on the uncertainties
 303 of the independent variables $x_1, x_2, x_3, \dots, x_n$.

304 Hence, the total uncertainty of the experiment is 2.9%.

305 2.1.5. Thermal Conductivity Determination of Test Walls

306 To evaluate the thermal conductivity, an experiment was conducted using a controlled thermal
 307 chamber to determine heat transfer through the walls. The calibrated hot-box method was employed
 308 for the experiment, as outlined in the British Standard (BS 874-3.2, 1990). The aim was to establish a
 309 temperature difference between the two sides of the wall by placing a heat source (the hot side of
 310 the chamber) on one side and allowing heat to transfer through the wall layer to the other side (the
 311 cold side of the chamber refer to Fig. 2a). The temperatures of both wall surfaces were monitored
 312 under steady-state conditions. The temperature range chosen for the experiment was between 10

313 °C and 70 °C, which falls within the melting and solidification ranges of the selected PCMs. The data
 314 collected from the data logger through the heat flux sensors under steady-state conditions were used
 315 to calculate the thermal conductivities of the walls. The heat-flux sensitivity was $64.6 \text{ V/W} \cdot \text{m}^2$, where
 316 the heat flux (q) was estimated by dividing the voltage by the sensor's sensitivity given in Eqn. 2 [53]:

$$q = \frac{\text{voltage} \times 1000}{64.6} \quad 2$$

317 Also, k as the thermal conductivity (W/mK) is determined by Eqn. 3 as follows:

$$k = \frac{ql}{A \times \Delta T} \quad 3$$

318 where A is the area of the wall (m^2), ΔT is the temperature difference between the wall surfaces
 319 ($^{\circ}\text{C}$), and l is the wall thickness (m).

320 2.1.6. Heat transfer from surfaces

321 The convective heat transfer was used to calculate the amount of heat transfer between the inner
 322 surface of the wall and indoor air. This temperature difference typically has a low value; therefore,
 323 the radiative heat exchange between them can be neglected. Thus, the convective heat exchange
 324 can be calculated as using Eqn. 4 follows:

$$q = h_c A (T_b - T_i) \quad 4$$

325 where q is the rate of heat transfer from the inner surface to the interior environment, h_c is the
 326 convective heat transfer coefficient, T_b is the temperature of the inner surface, and T_i is the
 327 temperature of the indoor air. h_c can be adapted from the below Eqn 5 and 6 by applying either a
 328 linear or a power regression [54]:

$$h_c = 3.3v_w + 6.5 \quad 5$$

$$h_c = 9.5v_w^{0.48} \quad 6$$

329 where v_w is the air speed

330 The airspeed in the Kano state was measured using a National Geographic 256-Colour 5-in-1 Wireless
 331 Weather Station, and the measured values for three days are shown in Fig. B. 1. Measurements were
 332 taken during the summer period.

333 2.1.7. Specific heat capacity of earthbag wall

334 The specific heat capacity of earthbag wall is the amount of heat required to raise the temperature
 335 of a unit mass of the material by one degree Celsius (or one Kelvin). Below is the formula for
 336 calculation of specific heat capacity (c) of an earthbag wall:

337

$$c = \frac{Q}{m\Delta T} \quad 7$$

338

339 Where c is the specific heat capacity of earthbag wall in Joules per kilogram per degree Celsius
 340 ($J/(kg \cdot ^\circ C)$), Q is the amount of heat supplied to the wall in Joules (J), m is the mass of the wall
 341 in kilograms (kg), and ΔT is the change in temperature in degrees Celsius ($^\circ C$).

342 2.1.8. Time lag and Decrement Factor

343 Time lag (TL) is the time when peak load is shifted to off-load. It can, therefore, be calculated using
 344 Eqn. 8 as the difference between the time at the maximum inner surface temperature ($T_{i,max}$) and
 345 the time at maximum average outer surface temperature ($T_{o,max}$) [55]:

$$TL = \tau_{T_{i,max}} - \tau_{T_{o,max}} \quad 8$$

346 where $\tau_{T_{i,max}}$ and $\tau_{T_{o,max}}$ are the times at the maximum inner and outer surface temperatures of
 347 the wall, respectively. The decrement factor (f) represented the ratio of the amplitude of
 348 temperature oscillation at the inner wall surface $T_{i,max}$ to that of the sol-air temperature $T_{o,max}$ [56].
 349 The decrement factor can be calculated using Eqn. 9 below:

$$f = \frac{T_{i,max}}{T_{o,max}} \quad 9$$

350

351 2.2. Numerical Model for Validation

352 2.2.1. PCM Modelling

353 EnergyPlus was employed in this study as building energy simulation software. A finite difference
 354 approach is included in EnergyPlus (EnergyPlus CondFD) to model materials with variable thermal
 355 properties using the enthalpy method [57]. As suggested by Tabares-Velasco et al., (2012) [58], a fully

356 implicit first-order scheme was employed in this study as the solution scheme. The first change
 357 process of the PCM is accounted for by a user-defined enthalpy temperature, as described in Eqn. 10
 358 [59], and the enthalpy-temperature graph used for the simulation is illustrated in Fig. 7. The
 359 simulation was conducted with a time step of 3 min.

$$C_p \rho \Delta X \frac{(T_i^{j+1} - T_i^j)}{\Delta t} = k_W \frac{(T_{i+1}^{j+1} - T_i^{j+1})}{\Delta X} + k_E \frac{(T_{i-1}^{j+1} - T_i^{j+1})}{\Delta X} \quad 10$$

360

361 where k_W and k_E as thermal conductivities can be defined by Eqn. 11 and 12:

362

$$k_W = \frac{(k_{i+1}^{j+1} + k_i^{j+1})}{2} \quad 11$$

$$k_E = \frac{(k_{i-1}^{j+1} + k_i^{j+1})}{2} \quad 12$$

363

364 where T_i^j is the temperature at node i and time step j , Δt is the time step, ΔX is the finite difference
 365 layer thickness, C_p is the specific heat of the material, and ρ is the density. Note that $k_i = k(T_i^{i+1})$ if
 366 the thermal conductivity is variable.

367 In the CondFD algorithm, all elements are divided or discretised automatically using Eqn. 13,
 368 which depends on a space discretisation constant (c), the thermal diffusivity of the material
 369 (α), and the time step. Users can leave the default space discretisation value of 3 (equivalent
 370 to a Fourier number (Fo) of 1/3) or input other values [60].

$$\Delta x = \sqrt{c \cdot \alpha \cdot \Delta t} = \sqrt{\frac{\alpha \cdot \Delta t}{F_0}} \quad 13$$

371 Equation 14 was integrated with the Enthalpy-temperature function (HTF), which was given by:

$$h = h(T) \quad 14$$

372 where $h(T)$ is the enthalpy node as a function of temperature.

373 The HTF developed an equivalent specific heat as a function of temperature ($C_p(T)$) at each time
 374 step for the PCM contained in the building as formulated by Eqn 15 [61]:

$$C_p^*(T) = \frac{h_i^j - h_i^{j-1}}{T_i^j - T_i^{j-1}}$$

375 where $C_p^*(T)$ is the specific heat as a function of temperature.

376 Moreover, to simplify the heat transfer across the wall model was assumed to be one-dimensional
377 while the effect of convection within PCM was neglected.

378 **3. Case Study**

379 **3.1. Location and Climate**

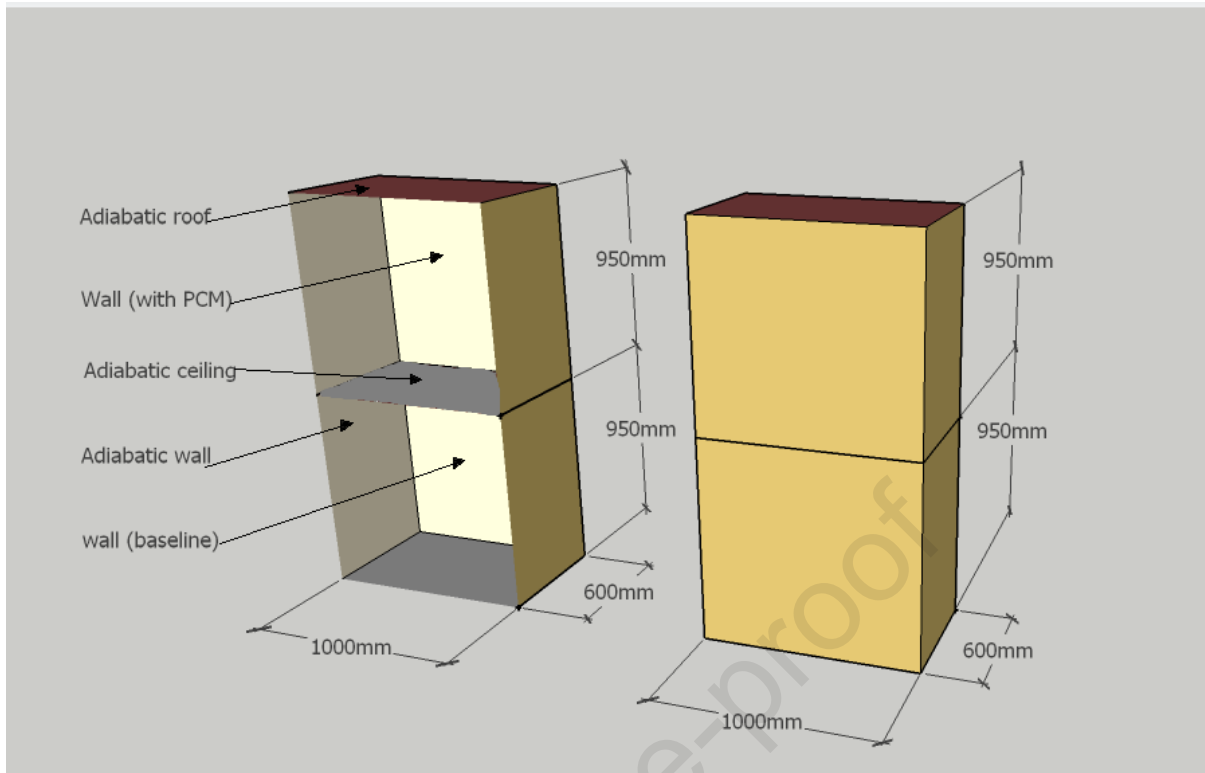
380 Kano State, located in northern Nigeria [62], is an ideal location for studying the thermal performance
381 of PCM-integrated earthbag units. The region experiences extreme temperature variations
382 throughout the year, with hot and dry conditions in the summer, and cool and dry conditions in the
383 winter [63]. Hence, using appropriate building materials and technologies is critical to creating
384 comfortable living spaces in such environments. In this context, Kano state location meteorological
385 year (RMY) weather data were employed, which were edited with outdoor measured real climatic
386 conditions for a 1-zone building numerical model simulation that has been developed.

387

388 **3.2. Validation**

389 **3.2.1. Model geometry and parameters**

390 The developed case study aimed to investigate the effect of PCM-integrated earthbag unit walls to
391 validate the experimental results. The model geometry (Fig. 4) employed in the simulation was
392 designed to closely resemble the experimental setup (see Fig. 2) performed in an environmental
393 chamber. As there is no code for an earthbag building, the literature was consulted to determine the
394 dimensions and material characteristics, as shown in Table 2. The model was constructed as a 1:2
395 scaled single room, two-story, and dual thermal zone, with a size of 800 mm × 400 mm and a height
396 of 800 mm for each room. In the developed geometry, the top wall was used as wall with PCM (PCM
397 composites or microencapsulated PCM) and a baseline wall (wall-1) were used as the tested walls.
398 The tested walls with the PCM are wall-2 with A31 PCM (WA31) and wall-3 with Inertek26 PCM
399 (WInk26).



400

401

Fig. 4 Developed SketchUp model geometry

402 The first floor, ground floor, and all other walls were assumed to be adiabatic walls made from
 403 expanded polystyrene insulation (EPS) with a thickness of 100 mm. The simulation was performed
 404 for earthbag walls with and without PCM during summer. For validation, this study uses a graphical
 405 comparison recommended evaluation indices, as discussed in Section 3.2.1, and the mean error
 406 difference between numerical solutions and experimental data. The validation of the model is
 407 contingent on meeting specific criteria, as outlined in reference [64]. These criteria include achieving
 408 a less than 10% validation error between the numerical solutions and experimental data and meeting
 409 acceptable absolute mean errors. Specifically, an absolute mean error of less than 2°C is
 410 recommended for the inner or outer surface temperatures.

411

412

413 **Table 2** Numerical model materials properties [15], [65]

System	Thickness <i>m</i>	Conductivity (W/ m. K)	Density (kg/ <i>m</i> ³)	Specific heat (J/kg . K)
Earthbag wall	0.25	1.83*	2190	1000

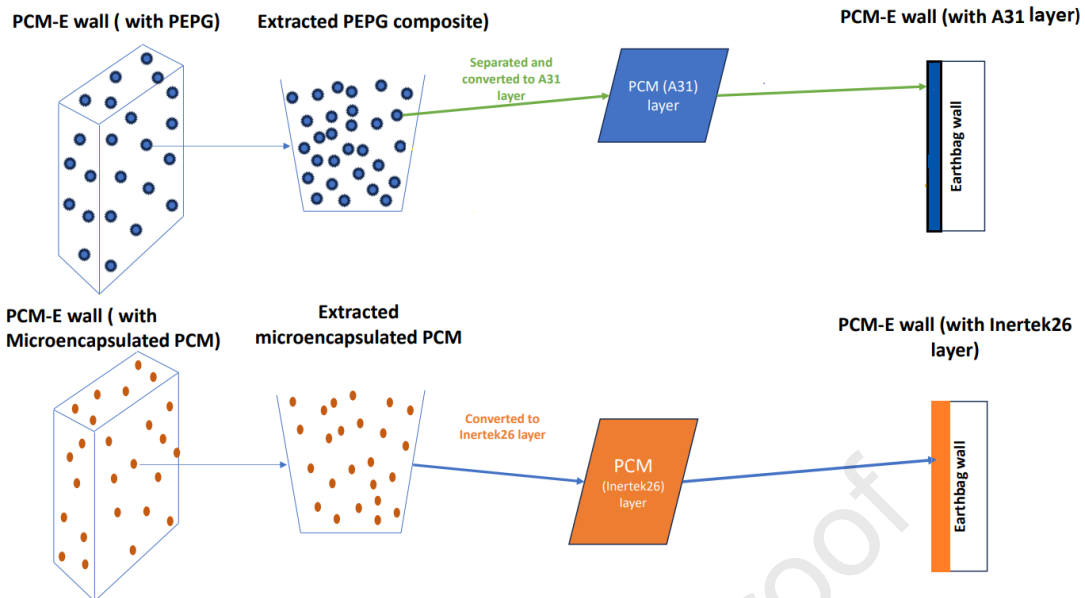
Earthbag wall with A31 composite	0.25	0.74*	1980*	2100*
Earthbag wall with Inertek26	0.25	0.43*	1800*	2050*
Floor (expanded polystyrene insulation (EPS) board)	0.075	0.037	2390	1650
A31 PCM layer	0.01	0.21	790	2.22
Inertek26 PCM layer	0.01	0.20	950	2.00
Slab (expanded polystyrene insulation (EPS) board)	0.075	0.037	2300	1650

414

*Calculated from the experiment conducted

415 **3.3. Parametric Analysis**

416 Once the EnergyPlus models was validated with experimental data, the developed model was used
417 for a parametric analysis by converting the PCM quantity accumulated within a single wall to a layer.
418 The important of this is to determine the optimum PCM quantity that can give optimum thermal
419 comfort. The thickness of PCM was found to be 0.001m for A31 and 0.002m for Inertek26 PCM. This
420 was found using PCM equivalent method [30] (see Appendix C). The methodological approach for
421 transforming PCM composites and Microencapsulated PCM into a PCM layer is depicted in Fig. 5.
422 Additionally, Figure. 5 presents a detailed illustration of the PCM-E wall configuration employed for
423 the parametric analysis conducted in this study. Prior to undertaking the parametric analysis, a
424 thorough comparative evaluation of the simulated data for both the PCM composite and the
425 resultant PCM layer was performed. This preliminary step was critical to confirm the validity of the
426 equivalent method application. However, for validation 1cm layer thickness was used for both A31
427 and Inertek26 PCM. To facilitate a comprehensive parametric analysis, additional PCMs, specifically
428 A28 and Inertek23, were incorporated. The study systematically explores varying thicknesses of PCM
429 layers, ranging from 1 cm to 7 cm, to ascertain the optimal thickness for effective performance of the
430 PCM. This detailed investigation contributes significantly to our understanding of PCM behaviour in
431 energy-efficient building design. The thermophysical properties of the PCMs used in the simulation
432 are tabulated in Table 1.



433

434 **Fig. 5** Conversion and extraction process of PCM composites and Microencapsulated PCM to PCM layer

435 The PCM enthalpy and DSC curve of the PCMs are experimentally found and presented in this section.

436 The DSC results in this study provide important information about the thermal properties of four

437 different PCMs: A28 and A31 paraffin wax, Inertek26 and Inertek23 powder. The DSC measurements

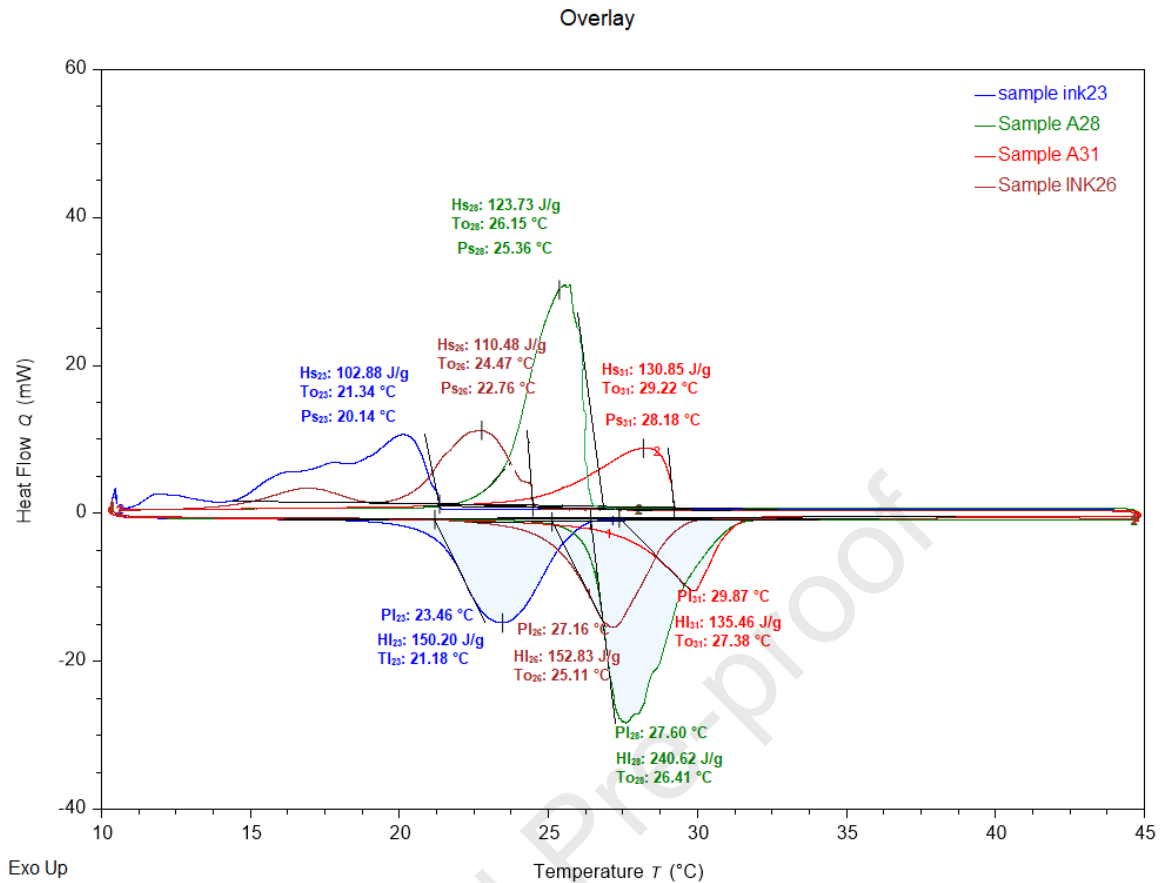
438 include enthalpy, peak temperature, and onset temperature. The DSC results are presented in Fig. 5

439 and Fig. 6. The results reveal that A28 paraffin wax has the highest enthalpy among the four PCMs,

440 indicating that it has the highest capacity for thermal energy storage. However, A28 paraffin wax also

441 has the lowest peak and onset temperatures, indicating that it changes phase at lower temperatures

442 than the other PCMs, which may limit its application in regions with higher ambient temperatures.



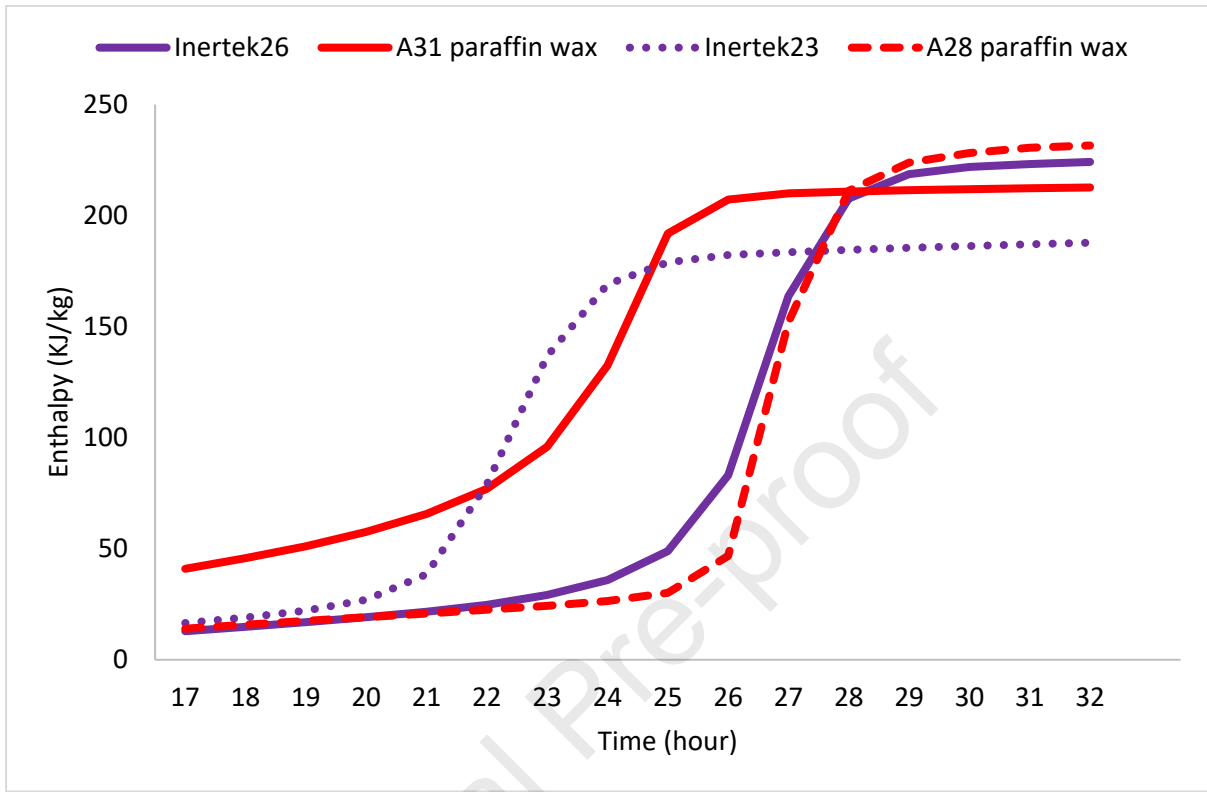
443

444

Fig. 6 Phase transition temperature for A31, A28, Inertek26, and Inertek23 PCM

445 In contrast, A31 had the highest peak and onset temperatures among the four PCMs, making it
 446 suitable for regions with higher ambient temperatures. However, A31 has the lowest enthalpy,
 447 meaning it has a lower thermal energy storage capacity than the other PCMs. The DSC results suggest
 448 that the choice of PCM depends on the desired thermal performance and the ambient temperature
 449 range. A28 may be preferred for regions with lower ambient temperatures. The A31 may be
 450 preferred for regions with higher ambient temperatures. The enthalpy temperatures curve of the
 451 PCMs are shown in Fig. 7. A comparative analysis between the enthalpies obtained from the
 452 manufacturer's data sheet as tabulated in Table 1 and those derived from experimental
 453 measurements shown in Fig. 6 has been conducted to evaluate the consistency and reliability of the
 454 provided data. Upon comparing the manufacturer's enthalpy data with the experimentally obtained
 455 results, it is evident that the discrepancies between the two sets of data are relatively small. The
 456 minor differences in enthalpy values can be attributed to various factors, including the influence of
 457 experimental conditions, measurement techniques, and potential variations in material properties.
 458 Despite these slight deviations, the overall agreement between the manufacturer's data and the

459 experimental measurements suggests a reliable representation of the PCM's enthalpy characteristics.
 460 The enthalpies obtained from the DSC are used as the input values in the EnergyPlus simulation.



461
 462 **Fig. 7** Enthalpy temperature curves of Inertek26, 23 and A31, 28 PCM

463 3.4. Model validation metrics

464 To compare the experimental data and the simulation results, different metrics shown in Eqn.16, 17,
 465 18, and 19 are used to evaluate the validation process, including the below used metrics: Correlation
 466 coefficient (r), fractional bias (FB), snormalised mean square error (NMSE), and fraction of predictions
 467 within a factor of two (FAC2).

$$r = \frac{n(\sum x_i y_i) - (\sum x_i)(\sum y_i)}{\sqrt{[n \sum x_i^2 - (\sum x_i)^2] - n \sum y_i^2 - (\sum y_i)^2}} \quad 16$$

$$FB = \frac{[y] - [x]}{([y] + [x])} \quad 17$$

$$NMSE = \frac{[(x_i - y_i)^2]}{[x][y]} \quad 18$$

$$FAC2 = \frac{1}{N} \sum_{i=1}^N n_i \quad n_i = 1 \text{ if } 0.5 \leq \frac{x_i}{y_i} \leq 2 \text{ else } n_i = 0$$

468

469 where y_i and x_i are the measured and computed values of a given variable for sample i , respectively.
 470 N is the number of data points used in the calibration process. The ideal value of the validation
 471 metrics for a complete agreement between two data series is 1 for r and $FAC2$ and 0 for FB and $NMSE$.

472 4. Validation of Experimental Result

473 4.1. Comparative Evaluation of The Experimental and Simulated Data (Embedded PCM (1cm layer 474 equivalent) And 1cm PCM Layer)

475 The experimental and EnergyPlus simulation results were compared to validate the PCM-integrated
 476 earthbag unit wall of a 1-zone building. The assessment of the earthbag wall was based on the
 477 reduction in the inner surface wall. After using the validation metrics introduced in Section 3.4, the
 478 validation results were quite accurate, as shown in Fig. 8. The temperatures measured experimentally
 479 and numerically showed a similar pattern and corresponded well for all case studies. The
 480 temperature profiles of Wall-1 (baseline), Wall-2 (WA31), and Wall-3 (WInk26) (see Fig. 8) in the
 481 modelling results are relatively coherent. However, there are a few experimental measurement
 482 fluctuations, possibly due to material, experimental, or human error during the experimental setup.
 483 Wall-2 (WA31) is a composite phase change material that may cause temperature fluctuations due
 484 to uneven distribution and differences in its thermal conductivity. On the other hand, Wall-3
 485 (WInk26) uses micro-encapsulated phase change materials that result in a more uniform distribution
 486 and less temperature fluctuation while also preventing PCM leakage, which can occur with composite
 487 materials. The differences in average temperature between the inner wall surface temperature with
 488 and without PCM for the experiment were found to be approximately 1.90°C and 2.40°C for WA31
 489 and WInk26, respectively, which are close to the simulation results. The validation and absolute mean
 490 error analyses showed that the numerical solutions for all three walls were relatively accurate and
 491 successful, as the mean errors were well within the success criterion of less than 10%, as presented
 492 in Table 3. All maximum temperature differences were also less than 2 °C. The Fractional bias (FB),
 493 $FAC2$, $NMSE$, and r presented in Table 4 reveal acceptable ranges of metrics related to the simulation
 494 and experimental results when the PCM is integrated into the earthbag building. It can be stated that
 495 the criteria for both the inner and outer surface temperature of Wall-1 (baseline), Wall-2 (WA31),
 496 and Wall-3 (WInk26) are met. The $NMSE$ and FB are nearly zero in all instances, while r ranges from

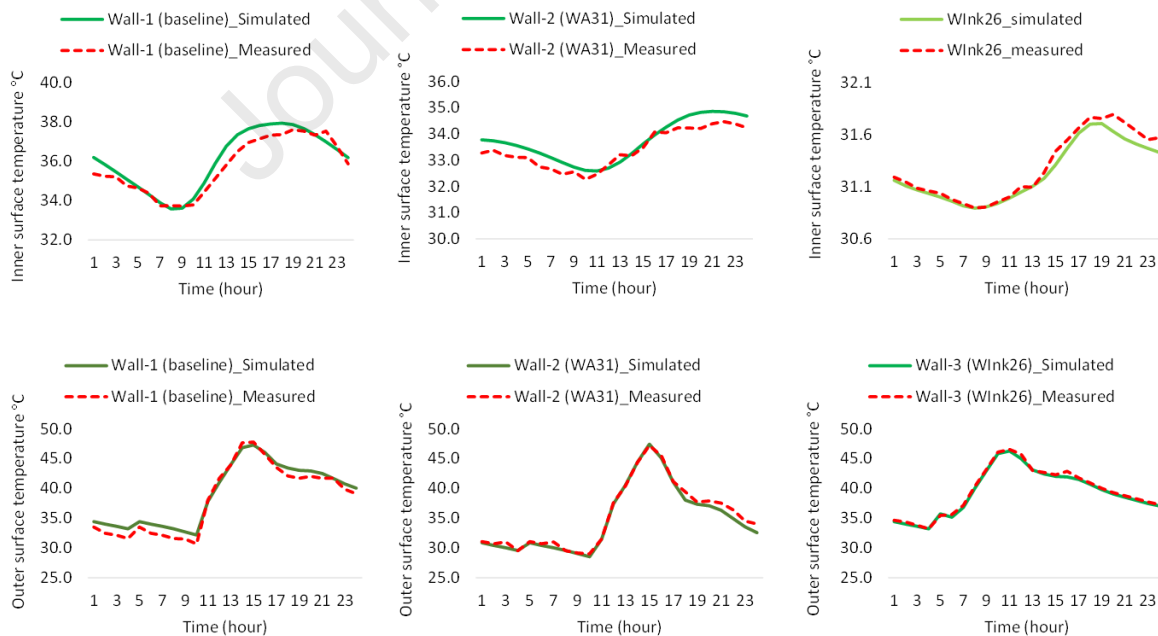
497 0.9 to 0.98 for the inner and outer surface temperatures across all cases.

498 Furthermore, the FAC2 values were all greater than 0.5, but less than 2, indicating good agreement
 499 between the models. Overall, it can be concluded that the model tested with Wall-3 (WInk26)
 500 performed better than all the other case studies. In general, the validation of the results was
 501 successful for both earthbag buildings with and without PCM. The numerical solutions can, therefore,
 502 be relied upon for further analysis and simulations. Consequently, it can be assumed that the PCM-
 503 integrated earthbag unit model developed in this study can be utilised to predict the thermal comfort
 504 of future earthbag buildings in different regions.

505 **Table 3** Discrepancies between numerical and experimental results

Wall	Maximum temp (°C)	Inner difference (°C)	Maximum temp (°C)	outer difference	Inner surface temp Mean Error (%)	Outer temp (%)	surface Mean Error
Wall-1 (baseline)	1.0	1.6	0.9	2.3			
Wall-2 (WA31)	0.6	1.5	0.7	1.4			
Wall-3 (WInk26)	0.1	0.3	0.2	0.7			

506



507

508

Fig. 8 Temperature profile of Wall-1 (baseline), Wall-2 (WA31) and Wall-3 (WInk26)

509

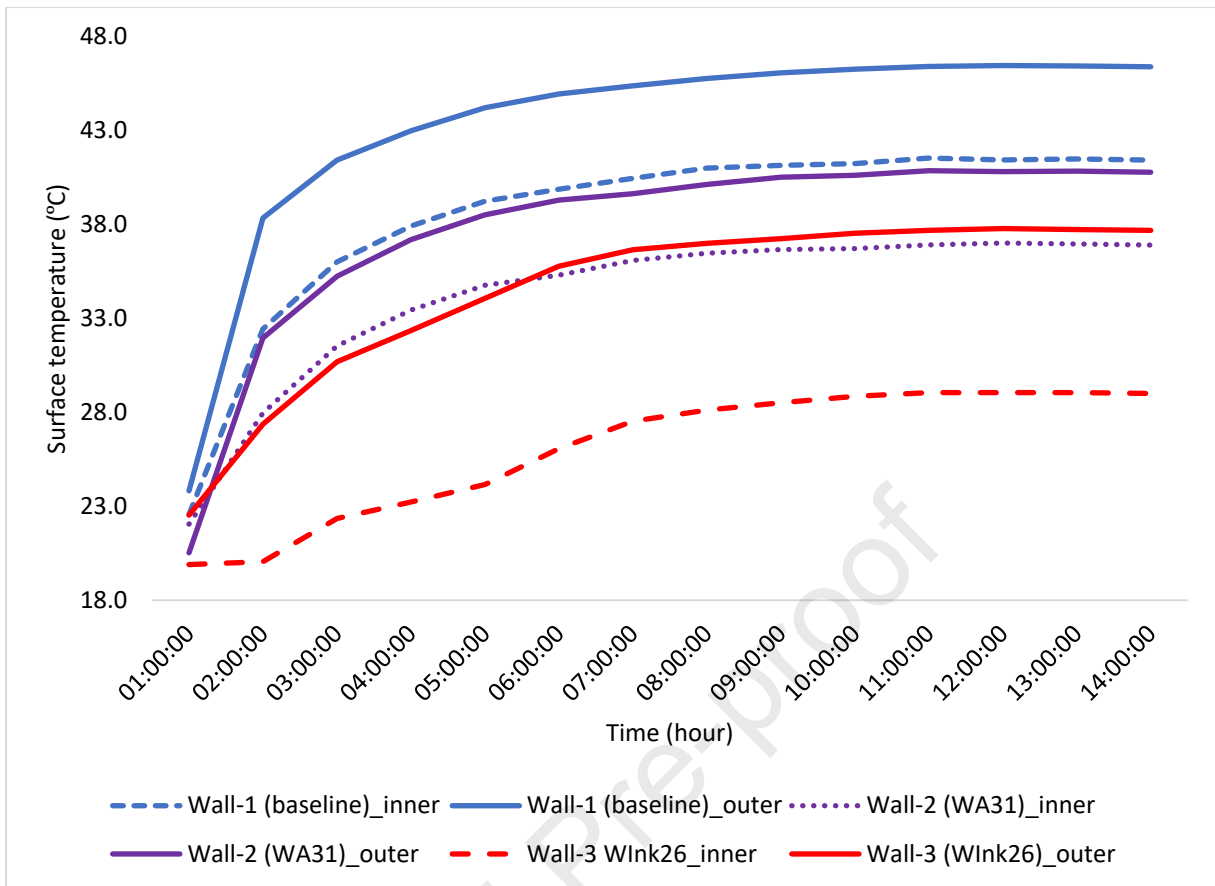
510 **Table 4** Validation metrics

Surface temperature	Fractional bias (FB)	NMSE	r	FAC2
Inner Wall-2 (WA31)	0.0005	0.0011	0.9540	0.9850
Inner Wall-3 (WInk26)	0.0001	0.0001	0.9803	1.4001
Inner Wall-1 (baseline)	0.0030	0.0061	0.9500	0.9404
Outer Wall-2 (WA31)	0.0009	0.0041	0.9000	0.9100
Outer Wall-3 (WInk26)	0.0003	0.0003	0.9670	1.1100
Outer Wall-1 (baseline)	0.0070	0.0081	0.9211	0.9286

511

512 **5. Results and Discussion**513 **5.1. Experimental results analysis**514 **5.1.1. Thermal Conductivity of Earthbag Walls**

515 As shown in Fig. 9, the steady-state condition of the wall is reached when the difference between the
516 surface temperatures of the walls remains constant. The temperature differences between the hot
517 and cold sides for Wall-1 (baseline), Wall-2 (WA31), and Wall-3 (WInk26) at steady state were 5.0°C,
518 6.6°C, and 8.6°C, respectively. Hence, the heat flux was found to be $23.3 \text{ W} / \text{m}^2$, $9.5 \text{ W} / \text{m}^2$, and 7.1
519 W / m^2 , which was obtained from the data logger through the heat flux sensors at the steady state
520 period. Therefore, the thermal conductivities of earthbag walls with and without PCM were
521 measured in this study using three different test walls: Wall-1 (baseline), Wall-2 (WA31), and Wall-3
522 (WInk26). The results show that Wall-3 (WInk26) has the lowest thermal conductivity of
523 $0.43 \text{ m}^2\text{K}/\text{W}$ compared to Wall-2 (WA31) with a value of $0.74 \text{ m}^2\text{K}/\text{W}$, and Wall-1 (baseline) with
524 values of $1.83 \text{ m}^2\text{K}/\text{W}$. As expected, the higher the quantity of PCM, the better the thermal
525 performance [38],[66]. The quantity of PCM microencapsulated in volume was higher and distributed
526 more uniformly than the PCM composite in the block. This is likely the primary contributing factor to
527 the low thermal conductivity of Wall-3 (WInk26). The presence of PCM in the wall also reduces the
528 heat transfer from the outer wall to the inner wall surface because the lower thermal conductivity of
529 the PCM slows down the heat transfer rate. In hot climate regions, this characteristic of PCM is
530 especially beneficial because it can potentially keep the inner surface temperature low [67].
531 Therefore, the earthbag wall with microencapsulated PCM demonstrated the best thermal
532 conductivity in the experiment.



533

534

Fig. 9 Inner and outer surface temperatures of a walls at steady state

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5.1.2. Wall Surface Temperatures

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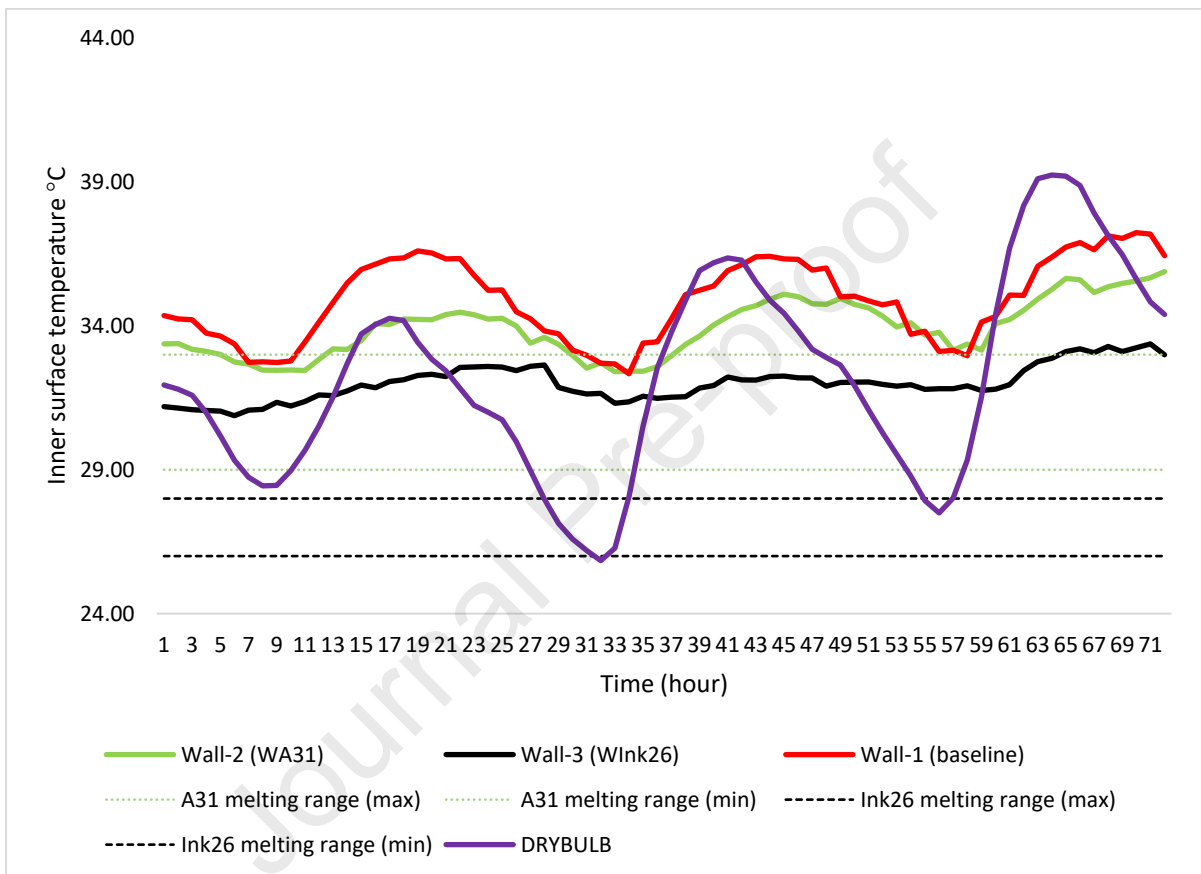
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549

The inner surface temperatures of the walls are demonstrated over three days in April, as shown in Fig. 10. The Wall-3 (Wink26) have a more stable inner surface temperature than Wall-2 (WA31) and Wall-1 (baseline). This is due to the lower thermal conductivity of Wall-3 (Wink26), resulting in slower heat transfer to the inner surface temperature. The same pattern can be observed for the outer wall surface. In contrast, the wall without the PCM displays a higher temperature due to its higher thermal conductivity. Considering the melting temperatures of the PCMs used, it can be seen that they are ineffective, as the outdoor temperature during the first day of the experiment was above the melting temperature of the PCM. This causes an instant release of the stored heat to the inner surface, resulting in an increase in the inner surface temperature. This was also observed for the second and third days of the experiment. However, Wall-3 (Wink26) with the Inertek26 PCM, whose melting temperature is 26 °C, has the most stable inner surface temperature with a temperature variation of not more than 2 °C during the day. This results in a decrease in the maximum temperature amplitude compared to that of Wall-1 (baseline). The average temperature reduction between Wall-2 (WA31) and Wall-3 (Wink26), and Wall-1 (baseline) is 1.9°C and 2.40°C. Fig. 10 shows that all internal surface

550 temperature values were higher than the phase transition temperature of the PCMs, rendering them
 551 ineffective in charging and discharging. This is likely due to the small quantity of PCM used, as adding
 552 a layer does not provide adequate thermal performance. Previous research has shown that if the
 553 PCM layer is too thick, it can act as an insulation layer, whereas if it is too thin, solidification may not
 554 occur, resulting in inadequate charging or discharging of the PCM [68]. Hence, incorporating more
 555 quantity of PCM is necessary to ensure the effectiveness of the earthbag unit wall.



556

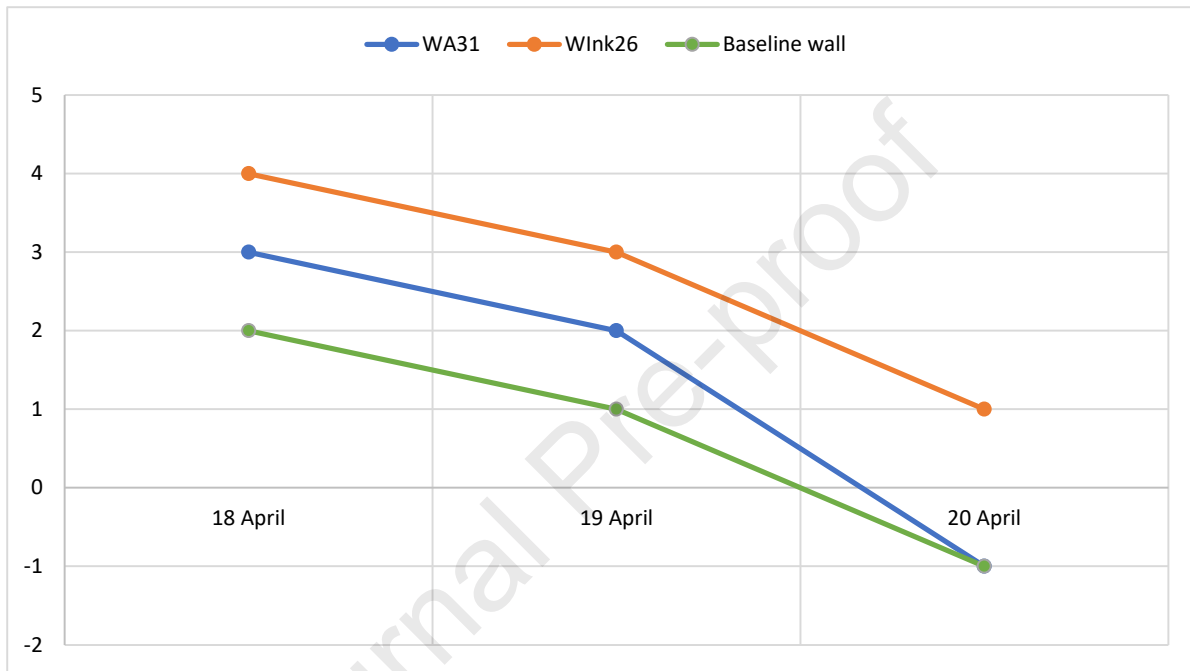
557 **Fig. 10** inner surface temperatures of Wall-1 (baseline), Wall-2 (WA31) and Wall-3 (WInk26)

558 5.1.3. Time lag (TL) and Decrement Factor

559 The graph in Fig. 11 shows that the time lag of Wall-1 (Baseline) Wall-2 (WA31), and Wall-3
 560 (WInk26) varied throughout the experimental day. It is evident that the integration of the PCM leads
 561 to an increase in the time lag value, which is more pronounced in the Wall-3 sample (WInk26). In
 562 particular, the first and second days of the experiment showed time lags of 4 and 3 h, respectively.
 563 These values illustrate that the PCM integration can decrease the rate of heat penetration through
 564 the wall, which is crucial for maintaining lower temperatures inside the building. In contrast, the
 565 baseline wall recorded time lags of 2 h and 1 h for the first and second days, respectively, while a
 566 negative time lag of -1 h was observed on the third day. This lower time lag indicates that in the

567 absence of PCM, the rate of heat penetration through the wall is increased, leading to higher
 568 temperatures within the building. However, on the third day, a negative time lag was observed in
 569 Wall-2 (WA31), likely owing to the high outdoor temperature that caused the PCM within the wall to
 570 melt faster than usual, resulting in a high inner surface temperature and a lower time lag. The Wall-
 571 2 sample (WA31) behaved similarly to Wall-1 (baseline) on this day.

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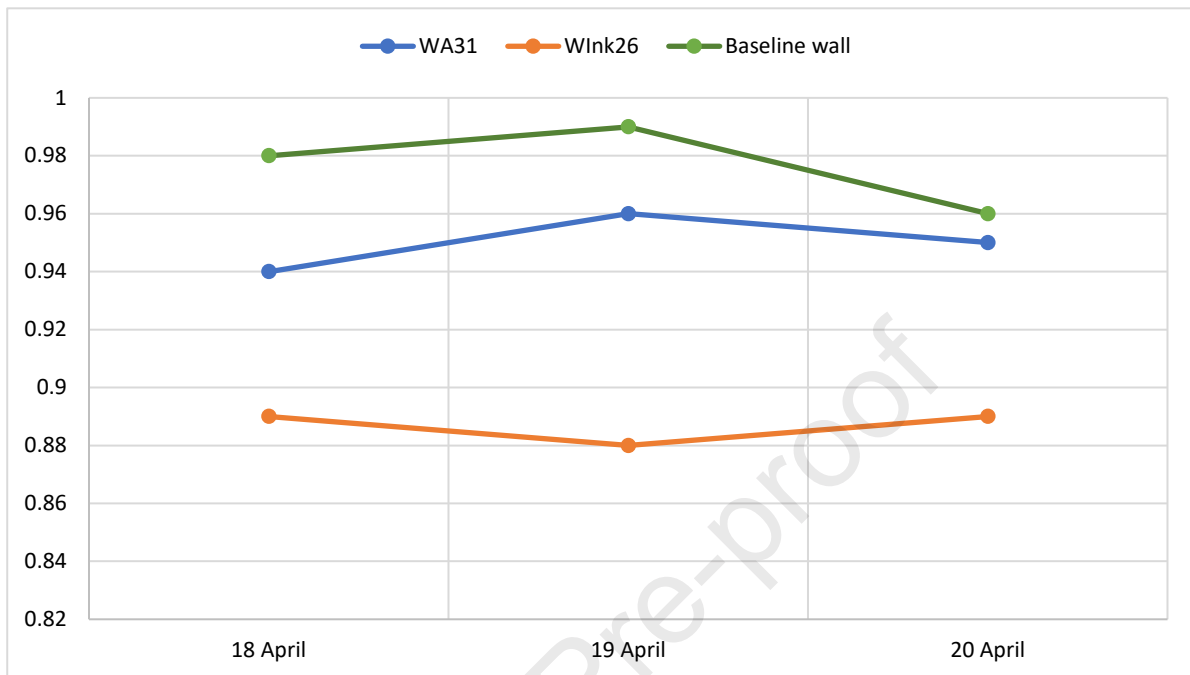
Fig. 11 Time lags of Wall-2 (WA31) and Wall-3 (WInk26)

575

576 Fig. 12 shows the wall decrement factor for Wall-1 (Baseline), Wall-2 (WA31) and Wall-3 (WInk26)
 577 over a three-day experiment. This factor is essential for mitigating the impact of external
 578 temperatures on the interior of earthbag buildings. Wall-2 (WA31) and Wall-3 (WInk26) had
 579 decrement factors of 0.94, 0.96, 0.95, and 0.89, 0.88, and 0.90, respectively, on the experiment's
 580 first, second, and third day. In comparison, the baseline wall had decrement factors of 0.98, 0.99, and
 581 0.96 over the same period. The lower decrement factors observed for Wall-2 and Wall-3 suggest that
 582 these walls, which contain phase change material (PCM), offer better thermal performance than the
 583 baseline wall which does not contain PCM. The highest decrement factor for the baseline wall on all
 584 three days indicates a lesser ability to mitigate the impact of external temperature fluctuations. This
 585 is likely due to the absence of PCM, which when integrated into walls, can significantly improve the
 586 thermal inertia and thus, the overall thermal performance of the building. The lowest decrement
 587 factor for Wall-3 (WInk26) on all three days indicates better thermal performance, demonstrating

588 the effectiveness of PCM in enhancing the thermal regulation properties of the walls.

589

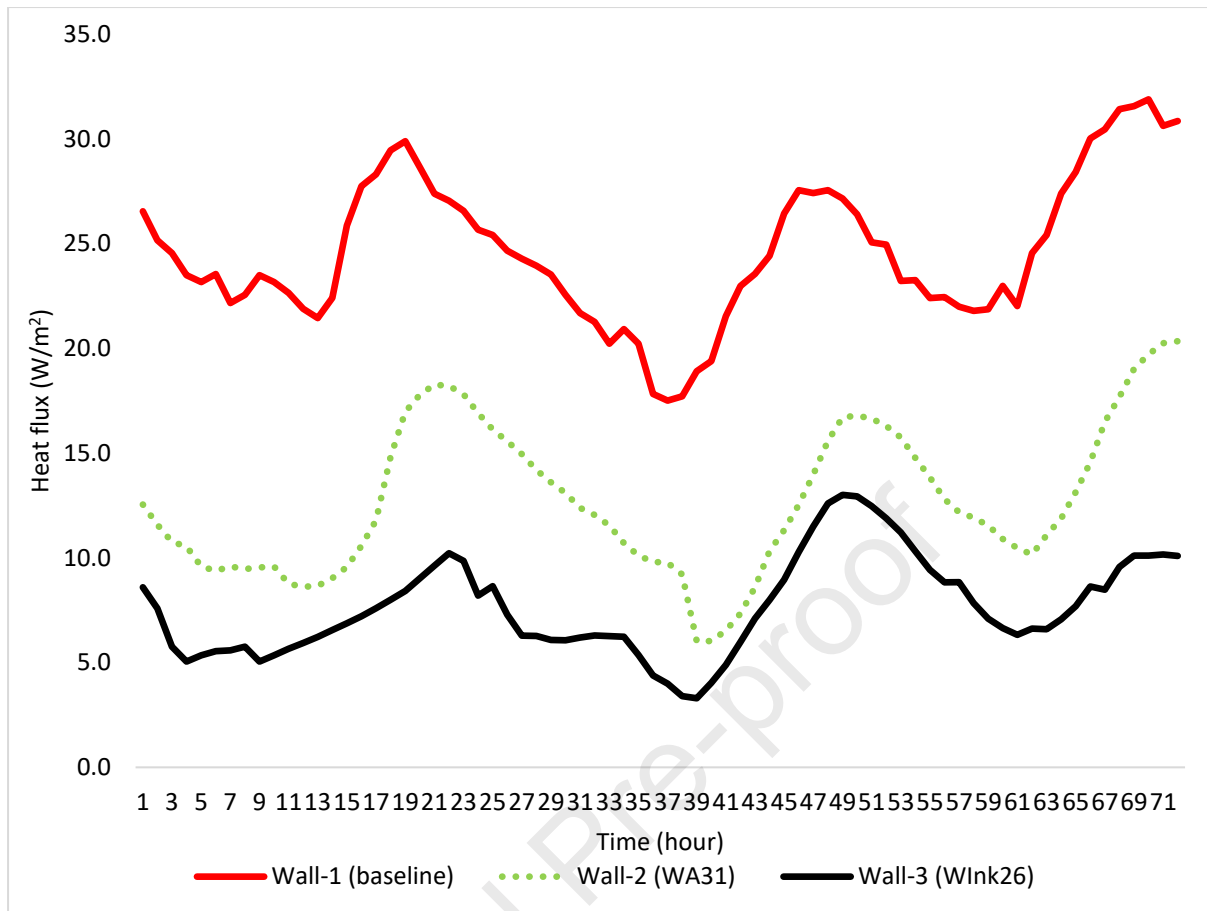


590

591 **Fig. 12** Decrement factor of Wall-2 (WA31) and Wall-3 (WInk26)

592 5.1.4. Heat Flux and Heat Reduction Rate

593 Monitoring the heat flux at the inner surface of an earthbag wall under the same outdoor climate
 594 conditions revealed significant differences in thermal performance between walls with and without
 595 phase change materials (PCMs). As shown in Fig. 13 Wall-1 (baseline) experienced its peak surface
 596 heat flux at 18:00, whereas Wall-2 (WA31) and Wall-3 (WInk26) with PCM saw delayed peaks at 22:00
 597 and 23:00, respectively, on the first day. This delay in heat transfer to the inner surface persisted
 598 across the second and third days, with Wall-2 and Wall-3 delaying heat transfer by four and five
 599 hours, respectively, compared to the baseline. The maximum heat flux for Wall-1 was 29.89 W/m^2 ,
 600 significantly higher than 18.21 W/m^2 for Wall-2 and 10.22 W/m^2 for Wall-3, showcasing PCM's
 601 effectiveness in reducing heat flux, with Wall-3 achieving a 63.76% reduction (see Table 5), the best
 602 among the three.



603

604

Fig. 13 Stored heat flux in the Wall-1 (baseline), Wall-2 (WA31) and Wall-3 (Wink26)

605

606

Table 5 Average heat flux reduction between reference, WA31, and Wink26 earthbag walls

Date	Wall-1 (baseline) W/m^2	Wall-2 (WA31) W/m^2	Wall-3 (Wink26) W/m^2	%Reduction Wall-2_WA31	%Reduction Wall-3_Wink26	Wall-
04/18	29.89	18.27	10.22	40.22	68.09	
04/19	27.55	16.64	13.01	41.09	54.76	
04/20	31.56	20.34	10.65	36.71	68.42	
			% Average	39.34	63.76	

607

608

Integrating PCMs into the wall not only reduced the heat gain but also the energy required for cooling

609

or heating spaces. Wall-3 outperformed Wall-2 in heat transfer rates, confirming studies like Saxena

610

et al., (2020) [69] which highlighted the positive impacts of PCM in buildings. As depicted in Fig. 14

611

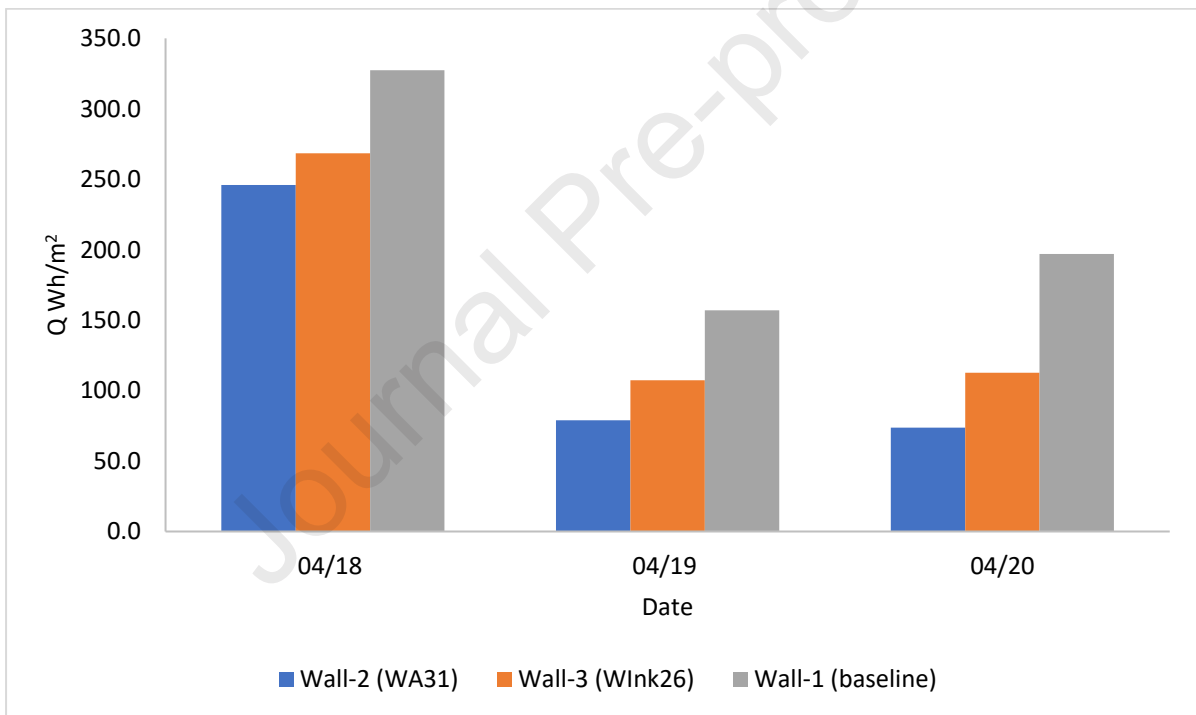
the microencapsulated PCM in Wall-3 resulted in lower heat transfer rates from the outer to inner

612

wall surfaces than Wall-2. Heat transfer values for Wall-1 over three days were $327.33 Wh/m^2$,

613 156.96 Wh/m^2 , and 196.91 Wh/m^2 , significantly higher than Wall-2 (81.39 Wh/m^2 , 78.08 Wh/m^2 ,
 614 and 84.27 Wh/m^2) and Wall-3 (58.96 Wh/m^2 , 49.65 Wh/m^2 , and 38.89 Wh/m^2). Wall-2 and Wall-
 615 3 showed remarkable reductions in heat transfer, with Wall-3 demonstrating superior performance
 616 with reductions of 268.37 Wh/m^2 , 107.32 Wh/m^2 , and 112.64 Wh/m^2 for the respective days, and
 617 percentage reductions in heat gain of 75.1%, 82.0%, and 50.3% for Wall-2, and 68.4%, 37.5%, and
 618 57.2% for Wall-3. These findings underscore the effectiveness of PCMs, particularly Inertek26, in
 619 enhancing the thermal performance of earthbag walls by significantly reducing heat flux and transfer
 620 rates, thereby offering a sustainable solution to improve building energy efficiency. These findings
 621 are consistent with previous studies when PCM was incorporated into block wall (e.g., [69], [70],
 622 [54]).

623



624

625 **Fig. 14** Heat transfer reduction between the Wall-1 (baseline), Wall-2 (WA31) and Wall-3 (Wink26)

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632 5.2. Simulation result

633 5.2.1. Result for Parametric Analysis

634 The parametric results considered four PCMs: paraffin wax (A31 and A28) and microencapsulated
635 PCMs (Intertek 26 and 23). A31 and Intertek26 were used for experimental analysis and validation,
636 while A28 and Intertek23 were not considered previously.

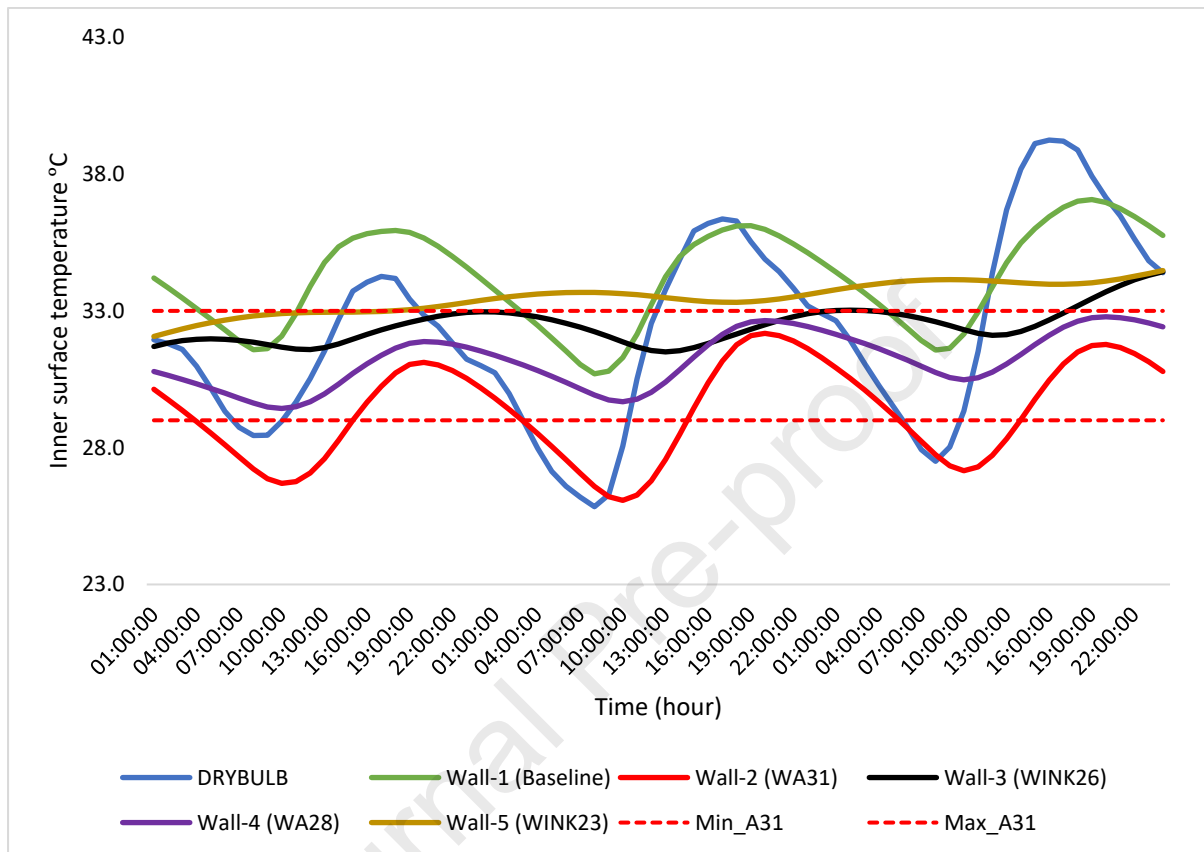
637 5.2.1.1. The Effect of PCM Transition Temperature

638 The transition temperature of the PCM is crucial for determining how much it undergoes a phase
639 change, which affects the thermal performance of the walls with the PCM. The PCM will not change
640 the phase or store thermal energy if the transition temperature is too low or too high. This section
641 introduces various PCMs as layers in an earthbag wall to evaluate the effect of the PCM transition
642 temperature.

643 Fig. 15 illustrates the inner surface temperatures of the earthbag building when utilising various
644 PCMs, including A31 and 28, Intertek 26 and 23. Table 6 shows a significant surface temperature
645 reduction for both PCMs compared with the baseline building without PCM. However, the PCM with
646 a high transition temperature A31 exhibited the best temperature reduction, possibly due to outdoor
647 temperature fluctuations. Even during summer nights, the outdoor temperature can be well below
648 the PCM transition temperature. PCMA31 acts as an insulation material, preventing external heat
649 from entering the indoor space of the earthbag. It also stores latent heat and releases it to the indoor
650 space when the outside temperature drops. This can be seen in Fig. 15 for days 1, 2, and 3 for Wall-2
651 (WA31). For example, on April 19th (day 2), the outside temperature increased from 8:00 am to 5:00
652 pm (10 h). The earthbag wall receives excess heat energy that passes from the outside wall to the
653 inner surface of the earthbag as conductive heat. The Wall-2 (WA31) accumulated this latent heat,
654 which delayed the peak of the inner surface temperature. The temperature started rising at 11:00 am
655 on April 19th and reached its peak at 1:00 am on April 20th, compared to the earthbag without PCM,
656 which peaked at 9:00 pm. The other PCMs (A28, Intertek26, and Intertek23) also reduced the surface
657 temperature compared with the baseline. However, they were above their PCM transition
658 temperature for all days. This allows them to release the stored energy quickly and pass it to the
659 indoor space of an earthbag building. Therefore, these PCMs do not work well as phase change

660 material. PCMA31 was selected as the optimum PCM for the earthbag building model in Kano state
 661 and other locations with similar climatic conditions.

662



663

664 **Fig. 15** Inner surface temperature for PCM A31, A28, Inertek26, and Inertek23

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666

667

Table 6 Inner surface temperature reduction of PCM A31, A28, Inertek26, and Inertek23

DAYS	A31 TEMP°C	A28 TEMP°C	INERTEK26 TEMP°C	INERTEK23 TEMP°C
18TH APRIL	4.8	4.0	3.1	2.3
19TH APRIL	4.0	4.4	3.2	2.0
20TH APRIL	5.3	4.3	3.7	2.5

668

669 5.2.1.2. The Effect of PCM Layer Thickness on Inner Wall Surface Temperature

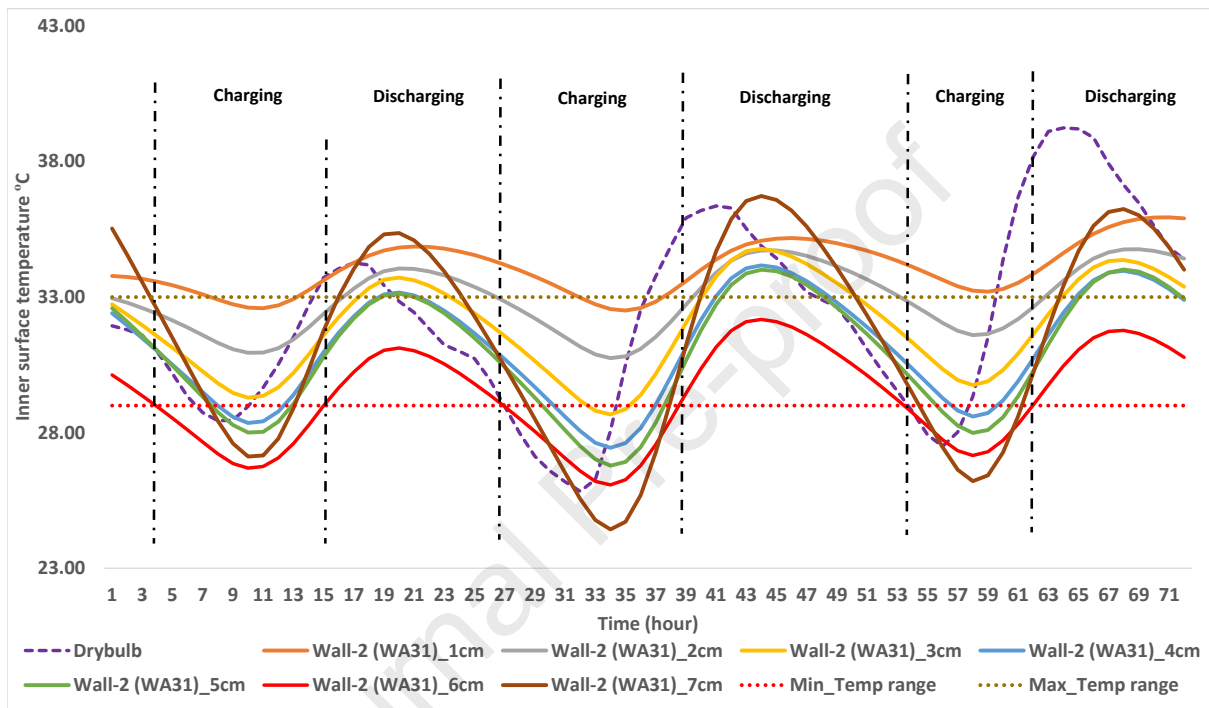
670 The capacity of PCM is based on the extent to which it can go through a full-phase cycle in one day.
671 The required thickness was determined by varying the PCM layer thickness from 1cm to 7cm. The
672 temperature variation for the PCM-integrated earthbag unit wall with various PCM thicknesses in April
673 is shown in Fig. 16 and Fig. 17. It is evident that the inner wall temperature shifts drastically when
674 solar radiation is present and when the external air temperature varies. It was determined that when
675 the PCM layer thickness was increased from 1 to 7 cm, the maximum temperature of the inner wall
676 decreased significantly. For instance, for Wall-2 (WA31), as shown in Fig. 17, the maximum
677 temperature of the wall with a 1 cm layer thickness is 35.1°C, while for a 6 cm layer, it is 31.7°C,
678 exhibiting a considerable difference of 3.4°C. Likewise, for Wall-3 (WInk26), as depicted in Fig. 17, the
679 temperature difference between the 1 cm and 7 cm layers is approximately 1.5°C.

680 Moreover, the wall with a 6 cm layer of A31 and a 7 cm layer of Inertek26 demonstrates a remarkable
681 thermal performance with an average maximum peak temperature reduction of 3.1°C and 1.7°C,
682 respectively, over the three days compared to the wall reinforced by a 1 cm PCM layer. Moreover,
683 comparing Wall-2 (WA31) and Wall-1 (baseline), the temperature reduction was found to be 4.0°C.
684 This is likely because a thicker PCM layer has a greater capacity to store heat energy and shows greater
685 thermal inertia, thus reducing the variation in the indoor wall surface temperature during the test.
686 However, when the PCM layer was increased to 7 cm for Wall-2 (WA31), the temperature amplitude
687 increased above the values for all other PCM layers. This is because when the PCM layer is too thick,
688 the PCM may not solidify and thus act as an additional layer to the wall rather than as an energy
689 storage [71].

690 The impact of the thickness of the PCM layer on PCM charging and discharging capacities is further
691 investigated in this study. By determining the inner-surface temperature of the earthbag wall, it is
692 possible to estimate whether the phase change material is in a solid or liquid state. The results of the
693 charging and discharging of the PCM in Wall-2 (WA31) are positive for the 6 cm PCM layer, as
694 illustrated in the charging and discharging area in Fig. 16. On the first day, the wall surface temperature
695 remained below the melting temperature of the PCM for 10 h (04:00 to 14:00) and above the melting
696 temperature for 14 h (15:00 to 5:00), giving the PCM time to charge and discharge, respectively. The
697 results demonstrated that the PCM provided effective thermal regulation, allowing the wall surface
698 temperature to remain within the desired range, thus providing a comfortable living environment in
699 the building. On the second and third days, the charging and discharging hours were 9, 7, and, 15, and
700 17 h, respectively. However, on the third day, there was insufficient time for the PCM to charge,
701 making it ineffective. Wall-3's (WInk26) inner surface temperature analysis, shown in Fig. 17 with

702 different PCM layer thicknesses, demonstrates that the PCM is ineffective as an energy storage
 703 technology because the inner surface temperature is consistently above the melting temperature of
 704 the PCM. Therefore, the PCM acts only as an additional layer to increase the thermal inertia. Hence,
 705 based on the analysis above, Wall-2 (WA31) with a 6 cm PCM layer of A31 is more effective than that
 706 of the Wall-3 (WInk26) wall in all layers (1–7 cm).

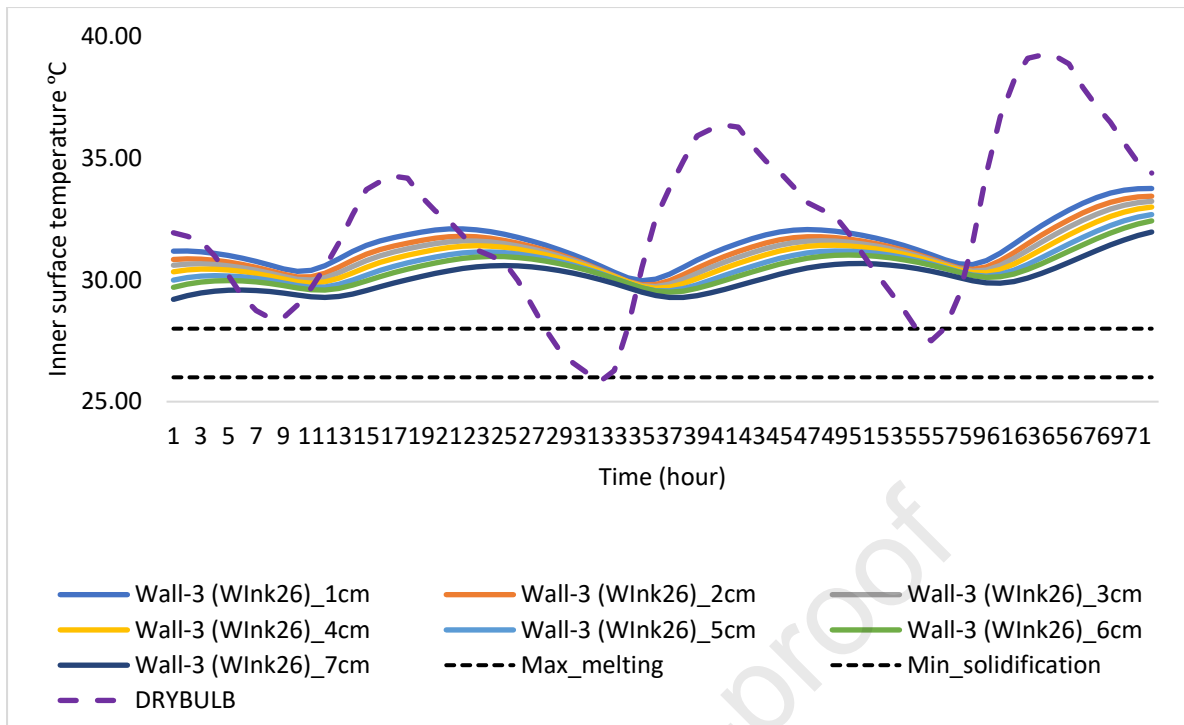
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708

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Fig. 16 Inner wall surface temperature of Wall-2 (WA31)



710

711

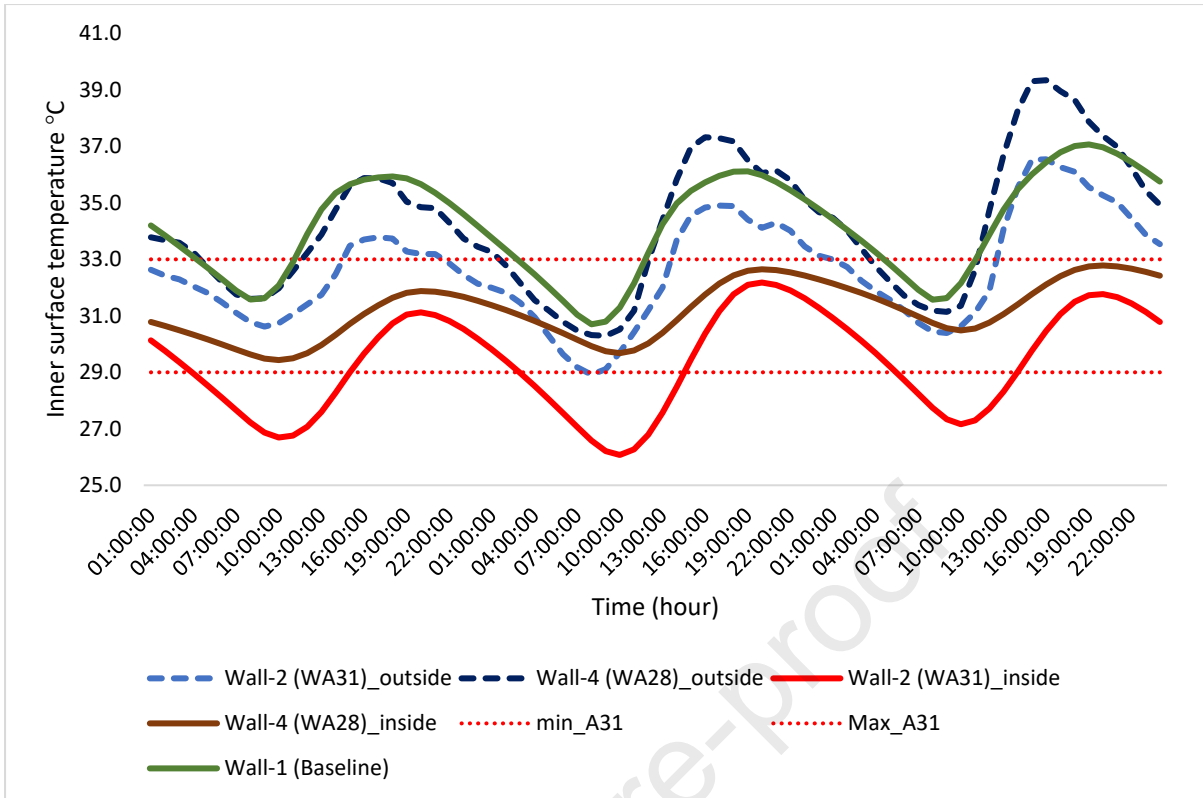
Fig. 17 Inner wall surface temperature of Wall-3 (WInk26)

712

5.2.1.3. The Effect of PCM Layer Location

713 This study also examined the impact of the PCMs layer location on the thermal performance of
 714 earthbag-building models. Two scenarios were considered: PCM layers were placed on the exterior
 715 and interior surfaces of the earthbag walls. The results indicate that the performance of the PCM layer
 716 varies depending on its location on the wall. When the PCM layer was placed on the exterior surface
 717 of the earthbag wall, there was a temperature reduction on the first day for all walls considered, with
 718 Wall-2 exhibiting the highest reduction of 2.1°C as shown in Fig. 18. However, on the second and third
 719 days, the temperature reduction decreased for all walls, which even recorded negative temperature
 720 reductions, implying that the earthbag wall without PCM performed better than the wall with the PCM
 721 layer.

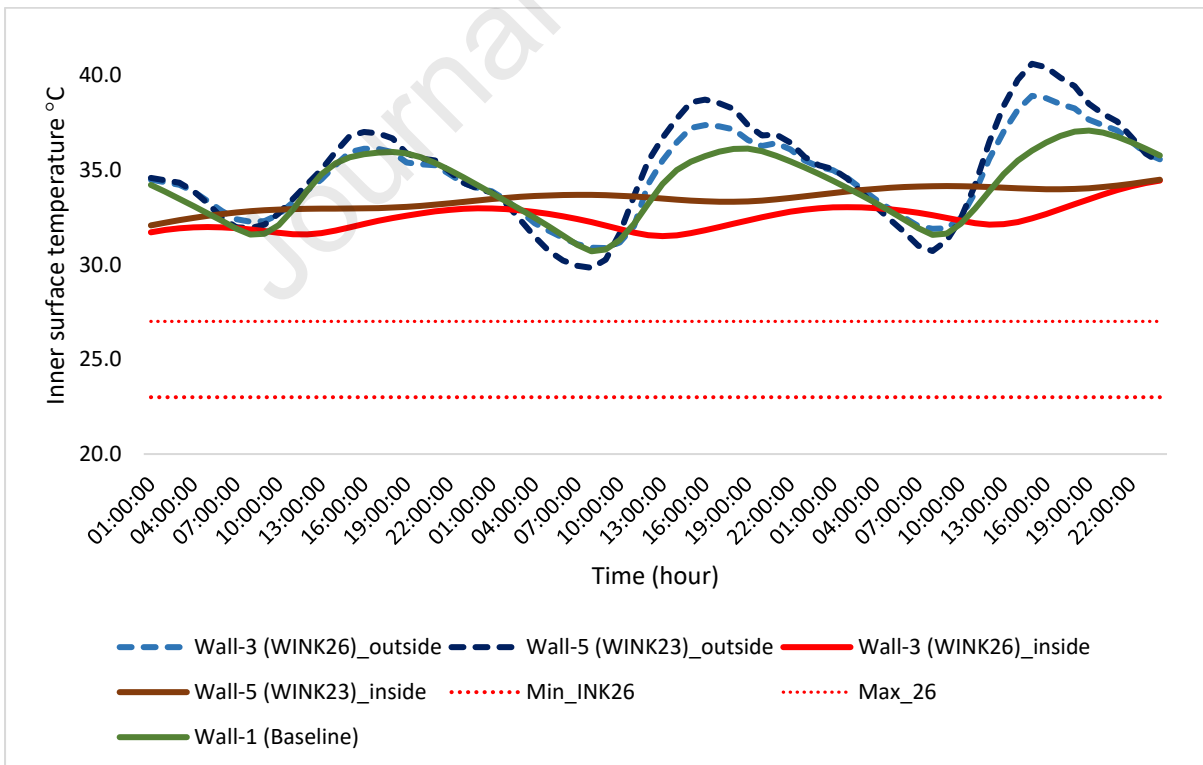
722 However, when the PCM layer was placed on the interior surface of the earthbag wall, all walls
 723 recorded temperature reductions on all three days. Wall-2 exhibited the highest temperature
 724 reduction of 5.3°C on the third day. At the same time, wall-2 had the lowest temperature reduction of
 725 4.0°C on the second day. Wall-3 and wall-5 had temperature reductions ranging from 2.0°C to 3.7°C,
 726 as shown in Fig. 19. The results showed that the location of the PCM layer in the earthbag wall models
 727 significantly affected the thermal performance. PCM layers placed on the exterior surface of the wall
 728 may not be effective in reducing the temperature of the wall. In contrast, PCM layers placed on the
 729 interior surface of the wall can significantly reduce the temperature.



730

731

Fig. 18 Inner wall surface temperature of A31 PCM layer in a different layer position



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734

Fig. 19 inner wall surface temperature of Inertek26 PCM layer in a different layer position

735 6. Conclusion

736 The utilisation of thermal energy storage systems using phase change materials in conventional
737 buildings, such as concrete and steel, has been identified as a reliable and resourceful energy
738 technology for improving the efficiency and sustainability of buildings. The study presents a novel
739 approach by incorporating PCM into earthbag building practices, addressing a significant gap in
740 research related to thermal comfort in temporary housing, particularly in hot climates like Nigeria.
741 The limited exploration of PCM in building materials and the general oversight of its benefits in
742 traditional vernacular building methods highlight the study's innovative nature. This research is pivotal
743 in examining the thermal properties and characteristics of earth buildings integrated with PCM, a
744 subject not extensively studied previously. The study's experimental component involved
745 incorporating paraffin wax and microencapsulated PCM into scaled-down earthbag walls, with
746 performance evaluated in a controlled environment. The findings, validated against a numerical
747 simulation model in EnergyPlus, showed significant thermal improvements with PCM integration. The
748 main conclusions of this study are as follows:

- 749 • The results from the experiment revealed that Wall-3 (WInk26) is an effective wall in terms of
750 heat transfer compared with Wall-2 (WA31) and Wall-1 (baseline). This is evidenced by the
751 average amount of heat transfer from the outer surface to the interior surface of Wall-1
752 (baseline), which is found to be 227 Wh/m^2 throughout the experiment. This value is
753 substantially higher than that of Wall-2 (WA31), with a value of 81.24 Wh/m^2 and Wall-3
754 (WInk26) with a value of 49.2 Wh/m^2 .
- 755 • PCM effectively reduced the heat flux penetration with Wall-3 (WInk26), which displayed the
756 highest performance of 63.76% compared with Wall-2 (WA31) at 39.34%. This suggests that
757 the average heat flux reduction varies significantly depending on the type of PCM, making
758 Inertek26 a suitable choice for achieving a thermal comfort range. However, despite the
759 acceptable performance of Wall-3 (WInk26), Inertek26 did not show a positive result
760 regarding PCM charging and discharging. Hence, parametric analysis is conducted to
761 determine the best functional PCM.
- 762 • The simulation model was successfully validated as various performance criteria were aligned
763 within an acceptable range. The experimentally and numerically measured temperatures
764 displayed similar patterns. The temperature profiles are consistent with the modelling results,
765 and only minor changes can be observed between the numerical and experimental studies.
- 766 • Our findings has established that PCMA31 was found to be optimum for buildings in the Kano
767 state and similar climatic conditions. Increasing the PCM layer thickness from 1 to 7 cm
768 significantly reduced the maximum temperature of the inner wall surface. However, when the

769 PCM layer was too thick, it acted as an additional layer to the wall rather than as an energy
770 storage technology.

771 • Additionally, the parametric study found that incorporating a 6 cm PCM layer into the
772 earthbag wall (Wall-2) is the optimum thickness for reducing the inner wall temperature. This
773 resulted in a temperature reduction of 4.0°C compared to the baseline (Wall-1). In contrast,
774 Wall-3, which had an Inertek26 PCM layer ranging from 1 cm to 7 cm, did not actively charge
775 and discharge the PCM, leading to a comparatively lower temperature reduction of 3.1°C.
776 Overall, the study concluded that PCM integration effectively reduces indoor wall surface
777 temperature variations and creates a comfortable living environment in buildings.

778 Overall In comparison to other literature findings concerning the impact of Phase Change
779 Materials (PCM) on thermal discomfort reduction in vernacular buildings, our research highlights
780 promising outcomes. Incorporating PCM into earthbag walls, such as paraffin wax A31 and
781 microencapsulation Inertek26, yielded significant surface temperature reductions. This aligns with
782 prior research by Sandra et al. (2022) [72] on PCM in compacted earth blocks, Serrano et al. (2013)
783 [39] on stabilized rammed earth, and Gounni & Louahlia (2020) [40] on PCM-integrated cob
784 houses, all indicating enhanced thermal performance. Furthermore, Toufigh and Samadianfard
785 (2022) [42] demonstrated PCM's potential in controlling temperature variations in rammed earth,
786 echoing our findings.

787 **7. Limitations and Future Research**

788 The study has some limitations that need to be addressed in the future. First, the study does not take
789 into consideration of a hysteresis effect when simulating the surface temperature of earthbag unit.
790 This could be a concern if you want to simulate variation in surface temperature throughout a year.
791 Future research can address this problem by integrating the hysteresis effect within the model. Future
792 research should also comprehensively evaluate the technical and economic aspects of the proposed
793 PCM-integrated earthbag unit model. The social acceptance of PCM-integrated earthbag units should
794 be conducted to prove the sustainability and affordability of this building model. The structural
795 integrity and effectiveness of the PCM-integrated earthbag units should also be studied. The
796 performance analysis of PCM-integrated earthbag units should be conducted in different climates.
797 Additionally, limiting the overall analysis to a brief period of three days, emphasizing the need for
798 future studies to expand the temporal scope, to capture diverse seasonal variations and enhance
799 understanding of year-round thermal comfort in varying climatic conditions.

800

801 **CRedit authorship contribution statement**

802 **Mahmoud Murtala Farouq:** Conceptualization, Writing – original draft, Software, Formal analysis,
803 Investigation. **Parham A Mirzaei:** Writing – review & editing, Supervision, Visualisation. **Carlos**
804 **Jimenez-Bescos:** Writing – review & editing, Supervision. **Saffa Riffat:** Writing – review & editing,
805 Supervision.

806 **Declaration of competing interests**

807 The authors declare that they have no known competing financial interests or personal relationships
808 that could have appeared to influence the work reported in this paper.

809 **Data availability**

810 Data will be made available on request.

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813 assistance on the research project. Furthermore, they wish to express their appreciation to the
814 Petroleum Technology Development Fund (PTDF) of Nigeria for funding this study through Grant No.
815 **PTDF/ED/OSS/PHD/MFM/1619/19.**

816 **Appendix A**

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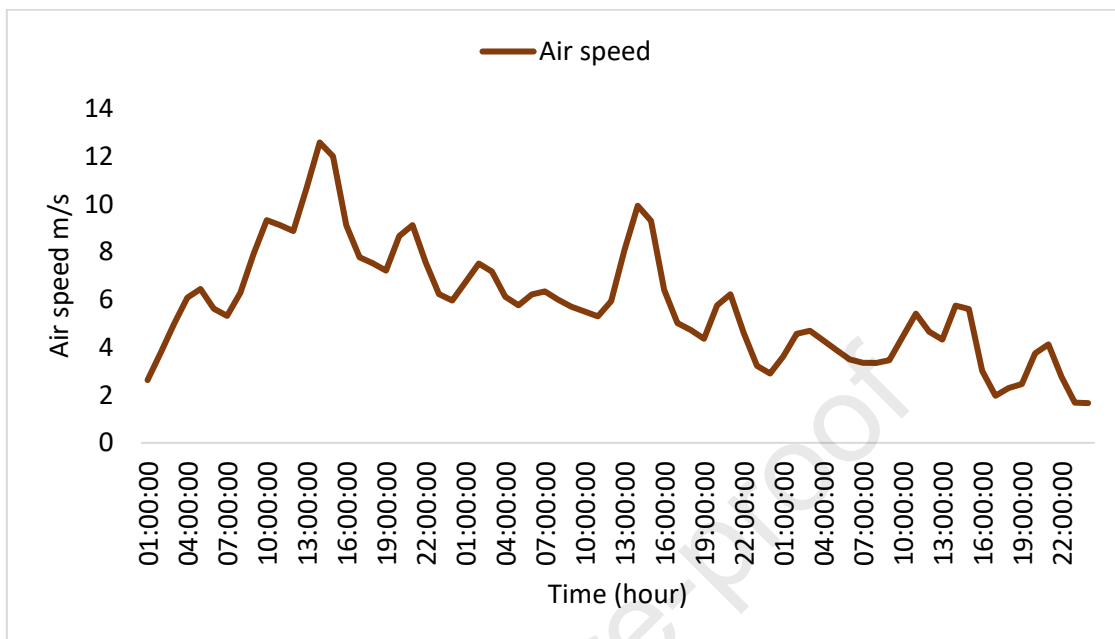
Fig. A. 1 Earthbag block mould

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822 **Appendix B**

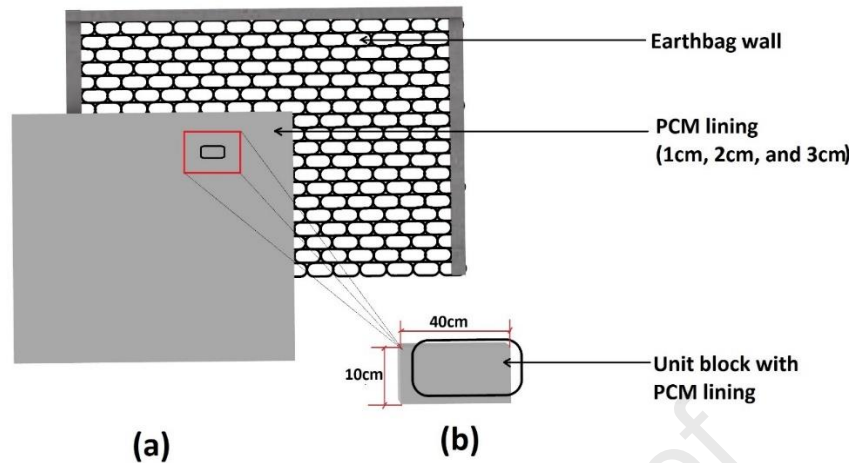
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824

825 **Fig. B. 1** Air speed in a typical summer day in Kano state826 **Appendix C**

827 In this study, it was determined that the amount of phase change material (PCM) used in each
 828 earthbag unit block was 2.2% of the total block volume, as outlined in Section 2.1.2. The actual weight
 829 of the PCM found in each block was 0.39 *kg*. To calculate the thickness of the PCM layer in the block,
 830 the density of the PCM from **Table 1** was used, along with the dimensions of a single earthbag block
 831 shown in Fig. C. 1 Fig. C. 1.



832

833 **Fig. C. 1** (a) PCM lining thickness on the earthbag wall (b) PCM lining on the earthbag block

834 By applying the PCM equivalent method, the thickness of the PCM layer was calculated using A. 1
835 below:

$$\text{Thickness} = \text{Mass} / (\text{length} \times \text{width} \times \text{density}) \quad \text{A. 1}$$

$$836 \quad \text{Thickness} = 0.39 \text{ kg} / (0.1 \text{ m} \times 0.4 \text{ m} \times 860 \text{ kg/m}^3)$$

$$837 \quad \text{Thickness} \approx 0.011 \text{ m or } 11\text{mm}$$

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846 Reference

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Highlights

PCM-earthbag walls reduces surface temperatures, enhancing thermal comfort

Paraffin Wax A31 is the optimal PCM due to its transition temperature

PCM at interior surface wall shows a better thermal comfort

Study highlights PCM-earthbag synergy for improved thermal comfort

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Mahmoud Murtala Farouq: Methodology, Investigation, Software, Validation, Writing - original draft

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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