

WestminsterResearch

http://www.westminster.ac.uk/westminsterresearch

Performance measurement in a world of targets and trade-offs Cook, A.J. and Gurtner, G.

Presented at EUROCONTROL Agency Research Team, University of Westminster, London, 25-26 April, 2017.

The WestminsterResearch online digital archive at the University of Westminster aims to make the research output of the University available to a wider audience. Copyright and Moral Rights remain with the authors and/or copyright owners.

Whilst further distribution of specific materials from within this archive is forbidden, you may freely distribute the URL of WestminsterResearch: ((http://westminsterresearch.wmin.ac.uk/).

In case of abuse or copyright appearing without permission e-mail repository@westminster.ac.uk

Performance measurement in a world of targets and trade-offs

Dr Andrew Cook
Dr Gérald Gurtner
University of Westminster

Overview

- Performance measurement
 - some key challenges
 - SESAR 2020 Scientific Committee (TF3)
- KPAs, KPIs and metrics
- Targets and the future of the European ATM system
- Further exploration of trade-offs
- Questions for discussion

- Performance measurement
 - some key challenges
 - SESAR 2020 Scientific Committee (TF3)

High-level summary of challenges

- Spatial scope
 - G2G -> D2D context; intermodality disruptive change?
- Better stakeholder alignment
 - pax- & cost-centricity; Performance Scheme opportunities
- Trade-offs
 - perennial topic; inter- & intra-KPA; where next?
- Oversight v. insight
 - typically NW level; complementarity (classical & complexity)
- Standardisation v. adaptability
 - comparative validity v. context-sensitivity (pax, ANSPs; global)
- Data and modelling context

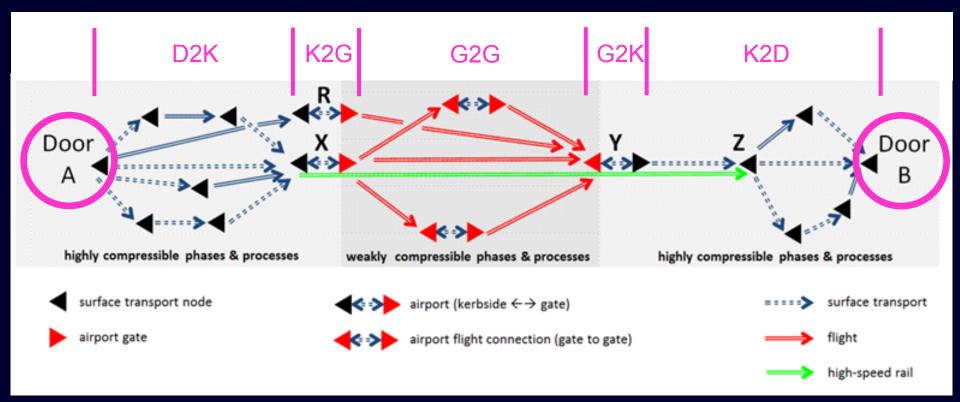
highly dependent

&
support
evidence
-based
policy

Spatial scope



- Flightpath 2050 (ACARE, 2011)
 - "highly ambitious goals" (x5)
 - "90% of travellers within Europe are able to complete their journey, door-to-door within 4 hours"
- Flight-centric → pax-centric metrics
 - pax delay, driving costs & behaviour
 - 1.6 1.7 (US); 1.3 1.9 (Europe)
 - can't always detect changes with flightcentric metrics alone
- How measure progress without the right metrics? (Current G2G?)



- Where are the key compressibilities to move towards 4H D2D?
- What new metrics do we need? (DG MOVE Aviation Strategy for Europe)
 - several new, challenging trade-offs are immediately apparent!
- What are the potential (integration) impacts on ATM?
 - e.g. for Essential Operational Changes, such as UDPP
 - e.g. for ATM Technology Changes, such as A-CDM
- Pax need? Impact on supply, of social norms (EC: speed

Spatial scope

01 access and equity

02 capacity

03 cost effectiveness

04 efficiency

05 environment

06 flexibility

07 interoperability

08 participation & collaboration

09 predictability

10 safety

11 security

wrt baselines (current, 2035, 2050)

- APT access/egress modes used
- with/without bags
- min. 'necessary' waits (e.g. gate)
- buffers (elective wait; pax / a/c)
- MCTs
- terminal / taxi configs
- available route / ~ GCD / FR
- ATFM



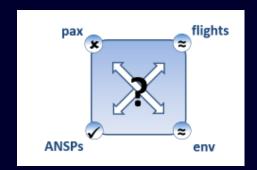
- phase c.f. transition
- 'unproductive' time

Better stakeholder alignment

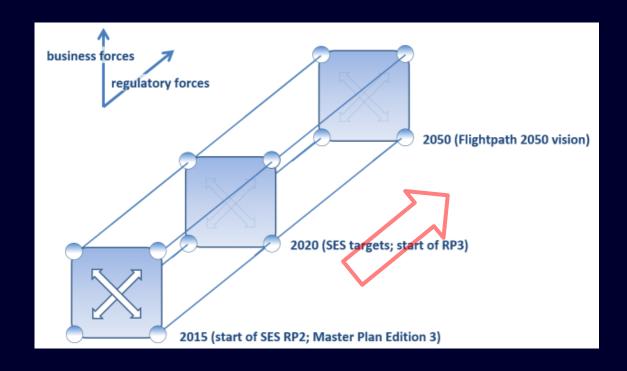
- Pax-centricity
 - more mature metric development in US (data-enabled)
 - future alignment with demand and utilities (VoT)
- Cost-centricity (detection c.f. flight-centric)
 - cost of delay supra-linear c.f. delay duration
 - cost of cancellation
 - cost of predictability (c.f. average delay)
 - (cost of) resilience
 - co-assessment with non-monetised metrics

all relatively immature; trade-off implications

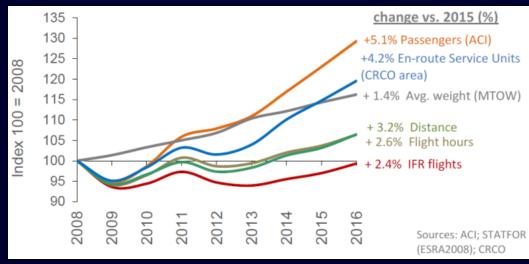
- Performance Scheme opportunities (re. RP3, i.e. 2020-4)
 - better mapping of targets with SESAR deployment (e.g. Common Projects)
 - wider stakeholder inclusion (e.g. AUs and airports)



KPIs established for 2015 (all in SES PS, RP2)



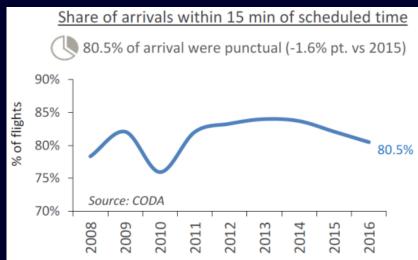
- several projects, past and present (APACHE & Vista); CW 'Challenges'
- various methods (e.g. influence diagrams, multi-criteria optimisation)
- demand for a multi-stakeholder tool

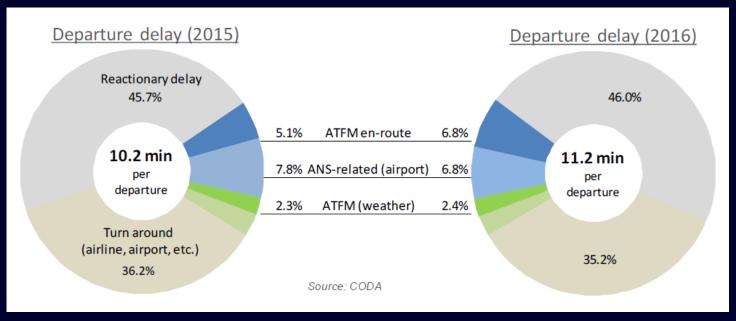


2016 traffic at pre-economic crisis level of 2008

PRR 2016 (draft)

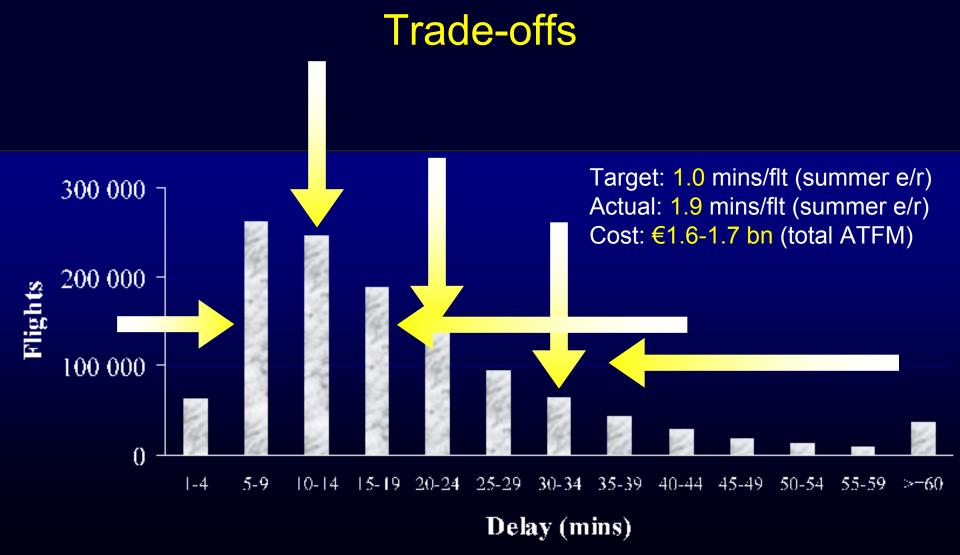
2016: third consecutive year that punctuality has fallen





PRR 2016 (draft)

Increase in en-route ATFM delays (20.9% increase 2016 re. 2015) Average delay = 0.86 mins/flt; RP2 target = 0.50 (2015-19) Capacity/staffing issues remain by far the main driver



ATFM slots, actual distribution, 2008. (NB. 88% IFR flights no ATFM delay; 92% in 2016.)

TIAGO OTIO						
	Cost	μ	σ	≥60 mins		
2008 (actual)	100	100	100	0.36		
Simple halving	50	50	72	0.18		
Push to left	51	74	68	0.00		
Centralise	33	60	53	0.07		
(All values are percentages. First three columns, relative.)						

(All values are percentages. First three columns, relative.)

	Cost	μ	σ	≥60 mins
2008 (actual)	100	100	100	0.36
Simple halving	50	50	72	0.18
Push to left	51	74	68	0.00
Centralise	33	60	53	0.07

(All values are percentages. First three columns, relative.)

	Cost	μ	σ	≥60 mins
2008 (actual)	100	100	100	0.36
Simple halving	50	50	72	0.18
Push to left	51	74	68	0.00
Centralise	33	60	53	0.07

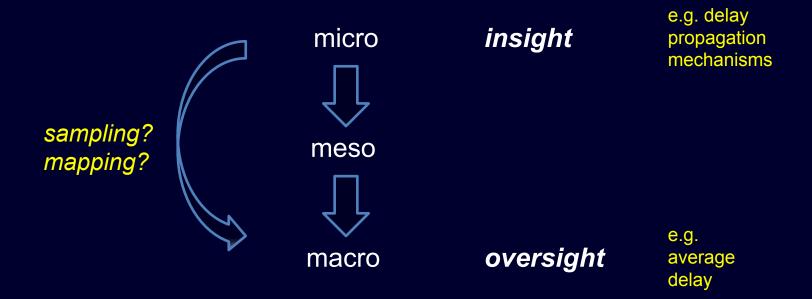
(All values are percentages. First three columns, relative.)



- Many different 90% 4H D2D curves exist, depending on:
 - policy / regulation
 - technology (c.f. ATFM / UDPP mechanisms)
 - intermodality
 - etc.

Oversight v. insight

Metric landscape



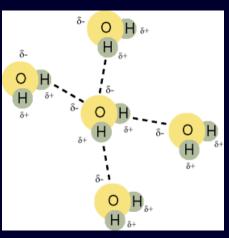
Future requirements, improved contexts:

- market research (utilities etc.)
- wider economics (cost of delay, supply-demand, subsidy, etc.)

Oversight v. insight

Complexity science

- Multidisciplinary; system of systems usually a network
 - multiple components, non-linear dynamics
 - system properties cannot be predicted as a sum of the parts
 - non-analytical models, e.g. agent-based
 - usually need to take uncertainty into account
- Emergent behaviour, e.g. delay propagation
- ATM = complex socio-technical system
- How can complexity science contribute?
 - user-defined nodes/links in topological networks
 - existing metrics such as centralities (causality)
 - existing methods such as community detection, percolation theory and network vulnerability (analysis and treatment of nodes)



(JS Mill, 1872)

Standardisation v. adaptability

3.3.1 En-route ATFM delays

Please note that software release 20.0 of the Network Manager on 04 April 2016 introduced a change to improve the accuracy of the ATFM delay calculation for operational purposes which resulted in an estimated overall reduction of 11.8% of delay compared to the old methodology. More information on the change is available online at www.ansperformance.eu.

PRR 2016 (draft)

- Cost of delay (reg^N)
- Passenger demand (speed paradigm) and utility
- [...]
- Global comparisons



Data and modelling context

Data

- literature demonstrates many sampling and data constraints
- how much of a network is 'enough'? (non-saturation of metrics)
- top 34 airports (Europe & US) => ≈2% error (thus care)
- similar issues with data cleaning
- accessibility in Europe improving (but: manuals, awareness, confidentiality)
- big data: diversity / open architectures, integrity dynamic metrics?
- originally-filed flight plans (IFPS archives)
- Standardisation and comparability
 - EU-US harmonised KPI reporting, in coordination with ICAO
 - collaborations between China and US, China and EUROCONTROL
 - ATFM delay established as a proven leading indicator
 - standard (clean) datasets, c.f. other disciplines

S2020 Scientific Committee (TF3)

- Performance measurement in European <u>air transport</u>
 - key challenges and potential solutions
- Objectives
 - establishing key definitions
 - summarise state of the art, including European regulatory context
 - compare and contrast different indicators used (e.g. across stakeholders; across international schemes) and review critically
 - summarise current and recent research initiatives
 - identify key emerging challenges and key-trade-off analysis methods
 - propose initial solutions to (some of) emerging challenges (later!)
- Potential collaborations
 - PRU, ICAO, ART members (?)