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Bioavailability and metabolism of phenolic compounds from wholegrain wheat and aleurone-rich wheat bread.

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ABSTRACT

Scope: This work aimed at investigating absorption, metabolism and bioavailability of phenolic compounds after consumption of wholegrain bread or bread enriched with an aleurone fraction.

Methods and results: Two commercially available breads were consumed by 15 participants on three occasions and matched for either the amount of ferulic acid in the bread or the amount of bread consumed. Urine was collected for 48 h from all the volunteers for phenolic metabolite quantification. Blood samples were collected for 24 h following bread consumption in 5 participants. A total of 12 and 4 phenolic metabolites were quantified in urine and plasma samples, respectively. Metabolites were sulfate and glucuronic acid conjugates of phenolic acids, and high concentrations of ferulic acid-4'-*O*-sulfate, dihydroferulic acid-4'-*O*-sulfate and dihydroferulic acid-*O*-glucuronide were observed. The bioavailability of ferulic acid was significantly higher from the aleurone-enriched bread when all ferulic acid metabolites were accounted for.

Conclusions: The study shows that low amounts of aleurone-enriched bread resulted in equivalent plasma levels of ferulic acid as wholegrain bread. This could suggest that, if the absorbed phenolic metabolites after wholegrain product intake exert health benefits, equal

18 levels could be reached through the consumption of lower doses of refined products
19 enriched in aleurone fraction.

20 The study shows that low amounts of bread enriched in aleurone, the metabolically active innermost
21 part of the bran, resulted in equivalent plasma levels of ferulic acid as wholegrain bread. This could
22 suggest that, if the absorbed phenolic metabolites after wholegrain product intake exert health
23 benefits, equal levels could be reached through the consumption of lower doses of refined products
24 enriched in aleurone fraction.

25 **1 INTRODUCTION**

26 Increasing epidemiological, mainly observational, evidence suggests that wholegrain cereal
27 consumption is linked to a reduction in the risk of chronic and degenerative diseases such as
28 type 2 diabetes, cardiovascular disease, obesity, and cancer [1-4]. Although the mechanisms
29 proposed for potential preventative effects have not been elucidated and remain
30 speculative, the amounts of dietary fiber, vitamins, minerals and phytochemicals in
31 wholegrain cereal appear to have key roles [5]. Inflammation might mediate the process, as
32 suggested by the effect of wholegrain cereals on inflammatory markers such as C-reactive
33 protein (CRP), TNF- α , plasminogen activator inhibitor-1 and interleukin (IL)-6 [6-9]. Despite
34 the evidence and the high levels of bioactive nutrients and non-nutrients, wholemeal cereal
35 consumption remains lower than recommended in guidelines. In the United States, the
36 consistent promotion of wholegrain products led to a 23.4% rise in consumption from 2008
37 to 2010. However, the present average intake of 14 g/day is still considerably lower than
38 the recommended intake of at least 48 g/day [10].

39 Whole-wheat is the main wholegrain cereal consumed in Western countries and represents
40 an important source of dietary fiber [11]; minerals such as potassium, phosphorus,
41 magnesium; vitamins, mainly niacin and folate; and phenolic compounds [12]. Phenolic

42 compounds in wheat bran include primarily hydroxycinnamic acids among which ferulic acid
43 is the most abundant representing the 70 to 90%, caffeic acid, *p*-coumaric acid, sinapic acid,
44 and benzoic acid derivatives, including protocatechuic acid, *p*-hydroxybenzoic acid, salicylic
45 acid, vanillic acid; and syringic acid [12, 13]. The whole-wheat kernel is usually processed to
46 obtain the bran fraction which contains 45–50% of the aleurone layer. The aleurone layer is
47 a metabolically active monolayer that is the innermost part of the bran and represents 5–8%
48 of the wheat kernel [12, 14]. The aleurone layer is usually removed during milling for its firm
49 attachment to the external integuments [15]. However, the aleurone has a high content of
50 fiber, protein, minerals, phytates, B vitamins (niacin, riboflavin and folate), lipidic
51 compounds, plant sterols, and phenolic compounds [12]. Moreover, being protected from
52 the environment, its content of pollutants such as pesticide residues, aflatoxins and heavy
53 metals is reduced compared to the pericarp [16]. Due to its high content in nutrients and
54 low level of contaminants, the development of new techniques to separate the aleurone
55 from other bran layers has been actively sought by the food industry [16].
56 Most phenolic acids exist in the aleurone layer and in the wholegrain in three forms; soluble
57 free acids; soluble conjugated moieties esterified to sugars or other low molecular weight
58 compounds; and insoluble bound moieties that are esterified to the fiber fraction, mainly
59 arabinoxylans. Ferulic acid, in particular, occurs partly in the free glycosylated form, but
60 principally (~92%) covalently esterified with plant cell wall polysaccharides by *O*-5 position
61 linkage [11, 17]. Moreover, ferulic acid undergoes dimerization *in planta* to form oligomers,
62 namely dihydrodiferulic acids (ferulic acid dimers) and ferulic trimers [18, 19]. The linkage of
63 hydroxycinnamates with the fiber fraction reduces the bioaccessibility [13] and
64 bioavailability [20] of the phenolic fraction. Although, recently proposed technological

65 bioprocessing demonstrated the capacity to improve ferulic bioaccessibility [13, 21], it is
66 necessary to consider the complex set of transformations that cereal phenolics are
67 subjected to within the human body following ingestion.

68 Several studies have demonstrated that phenolic compounds are extensively metabolized
69 and heavily modified after ingestion. After polyphenol-rich food intake, circulating phase-II
70 and microbiota-derived catabolites are predominant, whereas native compounds rarely
71 appear in circulation. Minor chemical modifications in the small intestine include the
72 formation of aglycones that undergo conjugation by phase II enzymes at the enterocyte, in
73 the liver and at the tissue level after absorption [22, 23]. In contrast, a substantial amount of
74 unmodified native compounds reach the colon where they are subjected to microbial
75 catabolism that results in structurally modified catabolites (23). These catabolites are then
76 absorbed through the portal circulation and undergo phase II metabolism [24]. Generally,
77 the phenolic-fiber linkage in wholegrain products prevents almost all the phenolics from
78 upper intestine absorption. The vast majority of these molecules reach the colon where the
79 gut microbiota β -glucosidase and esterase activity permits a slow and continuous release of
80 bound phenolics and ferulic acid [25]. The continuous release causes prolonged metabolite
81 circulation in the bloodstream, which could explain the health benefits correlated with
82 sustained wholegrain consumption [11].

83 Building on previous *in vitro* [26, 27] and animal studies [28] performed by our group the
84 aim of this investigation was to assess the human absorption, metabolism and
85 bioavailability of phenolic compounds following the consumption of whole-wheat. We also
86 aim to measure and compare the concentration of metabolites in urine and plasma
87 following the consumption of wholegrain bread or bread enriched with aleurone fraction.

88

89 **2 MATERIALS AND METHODS**

90 **2.1 Participants**

91 Fifteen participants were recruited to take part in the study. Selected participants were 8
92 males and 7 females, 26 ± 4 years old, 62.4 ± 13.5 kg, 1.7 ± 0.1 m and 21 ± 3 kg/m² (data
93 expressed as mean \pm SD). Exclusion criteria included: not being pregnant or nursing; not
94 being diagnosed with gluten intolerance or metabolic disorders such as hypertension,
95 dyslipidemia, glucose intolerance or diabetes; non users of vitamin/mineral/micronutrient
96 supplementation, and not taking any medication/drugs or antibiotic therapy. All participants
97 were informed about the purpose of the study and provided written informed consent
98 before their inclusion in the trial. Relevant principles of *Good Clinical Practice* were followed
99 throughout the study. The study was approved by the Ethics Committee for Parma Hospital
100 and University and registered on ClinicalTrials.gov, identification number: NCT02353234. A
101 flow diagram of the progress through the phases of the trial is reported in supporting
102 information figure 1.

103

104 **2.2 Study design**

105 In a single-blind, randomized, crossover study design two commercially available breads
106 (Barilla S.P.A.) were consumed by each participant on three separate occasions. The
107 phenolic composition and concentrations of the two breads were first confirmed [27] prior
108 to meal portion calculation. The nutritional values and the ferulic acid content per portion
109 are reported in Table 1.

110 Each participant was tested under three acute treatments that differed for the amount of
111 ferulic acid or the bread quantity consumed. Each acute feeding experiment was separated
112 by a minimum 1-week washout period. The bread products consumed consisted of: WGB -
113 94 g of wholegrain bread containing approximately 87 mg of total ferulic acid; AB-94 - 94 g
114 of a commercial wheat bread enriched in aleurone fraction (6% w/w of the inner part of
115 aleurone [26]) containing approximately 43 mg of total ferulic acid, which matches WGB for
116 total bread consumed; AB-190 - 190 g of a commercial bread enriched in aleurone fraction
117 (6% w/w of the inner part of aleurone [26]), containing approximately 87 mg of total ferulic
118 acid, which matches WGB for the total amount of ingested ferulic acid.

119 Participants were asked to consume a polyphenol free diet two days prior to and two days
120 after laboratory visits. A list of permitted and forbidden foods was supplied to facilitate
121 participant adherence to the dietary restrictions. Additionally, a food diary was provided to
122 record all meals and to check for compliance to the recommended diet. During the
123 laboratory visits participants collected their urine following fasting (t_0), and after product
124 consumption at: 0-3, 3-6, 6-10, 10-14, 14-24, 24-28, 28-34 and 34-48 h. The urine volumes
125 were recorded and two aliquots of 2 mL each were stored at $-80\text{ }^{\circ}\text{C}$ until analysis. Five
126 randomly selected participants provided 3 mL blood samples taken at fasting (t_0) and at 30
127 min, 1 h, 2 h, 4 h, 7 h, and 24 h following bread consumption. Blood was collected in K_2EDTA
128 tubes, centrifuged for 15 min at 4,000 rpm and ≈ 1.2 mL of plasma was separated and
129 stored at $-80\text{ }^{\circ}\text{C}$ until analysis. The study design is shown in Fig. 1.

130

131 **2.3 Chemicals**

132 All chemicals and solvents were of analytical grade. All solvents and reagents were
133 purchased from Sigma-Aldrich (St. Louis, MO, USA). Vanillic acid (3-methoxy-4-
134 hydroxybenzoic acid), 3-hydroxybenzoic, 4- hydroxybenzoic, protocatechuic acid (3,4-
135 dihydroxybenzoic acid), 3',4'-dihydroxyphenylacetic acid, 3-(3'-hydroxyphenyl)propionic
136 acid, 3-(4'-hydroxyphenyl)propionic acid, hippuric acid, 4-hydroxyhippuric acid, and
137 ferouloylglycine were purchased from Sigma-Aldrich (St. Louis, MO, USA). Ferulic acid-4'-O-
138 sulphate disodium salt, isoferulic acid-3'-O- β -D-glucuronide, dihydroferulic acid 4'-O-sulfate
139 sodium salt, dihydroisoferulic acid 3-O- β -D-Glucuronide, and dihydrocaffeic acid-3'-O-
140 sulphate sodium salt were purchased from Toronto Research Chemicals (Toronto, Ontario,
141 Canada). Ultrapure water from MilliQsystem (Millipore, Bedford, MA, USA) was used
142 throughout the experiment.

143

144 **2.4 Urine and plasma extraction**

145 Urine samples were centrifuged at 14,000 rpm for 10 min and 700 μ L of supernatant was
146 diluted 1:2 (v/v) adding acidified water (0.1% formic acid v/v). Samples were then filtered
147 through a 0.45 μ m nylon filter before analysis.

148

149 Plasma samples were extracted for analysis following the method previously reported by
150 Renouf [29]. Briefly, 400 μ L of plasma was added to 1.2 mL of ethanol. Samples were
151 vortexed for 5 min, centrifuged at 14,000 rpm for 10 min and 1.3 mL of supernatant was
152 dried under a rotary-vacuum evaporation. The pellet was re-suspended with 65 μ L of
153 acidified water (1% formic acid)/methanol (50:50, v/v) and samples were vortexed again to
154 dissolve the pellet and finally centrifuged at 14,000 rpm for 5 min prior to analysis.

155

156 **2.5 uHPLC/MSⁿ Analysis**

157 Urine and plasma samples were analyzed by ultra-high performance liquid chromatography
158 coupled with mass spectrometry (uHPLC/MS) to identify and quantify the phenolic
159 metabolites after bread consumption. An Accela ultra-high performance liquid
160 chromatography (uHPLC) 1250 apparatus equipped with a linear ion trap mass spectrometer
161 (LIT-MS) (LTQ XL, Thermo Fisher Scientific Inc., San Jose, CA, USA) fitted with a heated-ESI
162 (H-ESI-II) probe (Thermo Fisher Scientific Inc.) was used. Compound separations were
163 performed with a XSELECTTM HSS PFP column (50 x 2.1 mm), 2.5 µm particle size (Waters,
164 USA). A preliminary analysis was carried out in full scan, data-dependent MS³ mode,
165 scanning from a mass to charge (m/z) of 100 to 800 to perform a preliminary assessment of
166 the main phenolic metabolites present in the samples. On the basis of the obtained
167 information, a second preliminary analysis was carried out in full MS² and MS³ mode to
168 confirm the identification of the detected metabolites and to record the retention time.
169 Finally, quantification of all metabolites in biological samples was achieved using the single
170 reaction monitoring (SRM) mode. The analyses were carried out in negative ionization
171 mode, with a capillary temperature of 275°C, while the source was set at 250°C. The sheath
172 gas flow was 40 units, while auxiliary and sweep gases were set to 5 units. The source
173 voltage was 3 kV. The capillary voltage and tube lens were -9 and -53 V, respectively. For
174 chromatographic analysis, the mobile phase, pumped at a flow rate of 0.3 mL/min, was
175 composed of acetonitrile containing 0.1% formic acid (solvent A) and 0.1% formic acid in
176 water (solvent B). A linear gradient bringing A from 5 to 50% over 6.5 min was carried out
177 for separation. All metabolites were fragmented using a collision-induced dissociation (CID)

178 of 30, using helium gas for the collision. Where available, phenolic acid catabolites were
179 quantified using a calibration curve prepared with the standard compound. When not
180 available, the conjugated metabolites were quantified with the most structurally similar
181 compound (Table 2).

182

183 **2.6 Statistical analysis and absorption kinetic parameters**

184 Based on available published data [30], using an F test and an ANOVA test with repeated
185 measures, it was estimated that 12 participants in each treatment group would give
186 sufficient power (α error of 0.05, 80% power) to detect a significant difference of 5.0 μmol
187 of excreted ferulic acid.

188 Urine and plasma data were analyzed with a statistical software (SPSS, Chicago, IL, USA,
189 version 21). All data were expressed as mean values \pm SEM. A general linear model repeated
190 measures (ANOVA, LSD post-hoc test) analysis was performed to compare each metabolite
191 among the three treatments. Statistical significance was set at $p < 0.05$. Kinetic parameters
192 of the plasmatic metabolites detected after bread consumption, including area under curve
193 (AUC), plasma maximum concentration (C_{max}), time to reach the maximum plasma
194 concentration (T_{max}), and, when available, apparent half-life ($T_{1/2}$) were calculated by means
195 of pharmacokinetic functions for Microsoft Excel. Data on pharmacokinetic parameters
196 were presented as mean values \pm SEM.

197

198 **3 RESULTS**

199 All participants consumed the control bread (wholegrain bread) once and the breads
200 enriched in aleurone fraction twice for a total of three experimental trials. The portion of

201 each meal was calculated to ensure that the same dose of ferulic acid (WGB vs AB-190) or
202 the same quantity of bread (WGB vs AB-94) was administered. This approach allowed us to
203 determine the bioavailability of ferulic acid in wholegrain products compared to aleurone-
204 rich bread, and to test the possible influence of the consumed dose on the phenolic acid
205 absorption and metabolism. All participants consumed the entirety of provided meals,
206 which were well tolerated.

207 Using LC-MS analysis, 12 metabolites were identified, or tentatively identified, and
208 quantified in urine samples, whereas only 4 metabolites were quantifiable in plasma
209 samples. The spectrometric characteristics of the detected catabolites are reported in Table
210 3. The phenolic composition of the tested products were mostly free or bound phenolic
211 acids [27] with no parent compound detected in the analyzed urine and plasma samples
212

213 ***3.1 Identification and Quantification of Urinary Metabolite***

214 Among the 12 quantified urinary metabolites, 7 compounds were detected as sulfate
215 conjugates and one as a glucuronide conjugate, highlighting the importance of the
216 interaction of native phenolic compounds with intestinal and hepatic sulfotransferases
217 (SULTs) and uridine-5'-diphosphate glucuronosyltransferases (UGTs). The presence of only
218 one glucuronidated metabolite could suggest a minor interaction of phenolic acids of
219 wholegrain and aleurone fractions with UGTs, compared with SULTs [28]. Besides the sulfate
220 and glucuronidated forms, one metabolite was detected in its free, aglyconic form, and
221 three compounds were found as nitrogen-containing conjugates, a reaction strictly linked to
222 microbial metabolism [31].

223 The most abundant metabolites in terms of cumulative excretion appeared to be hippuric
224 acid and hydroxyhippuric acid. Two isomers of hydroxyhippuric acid were detected in all
225 samples, but they were quantified together using the available standard. These nitrogen-
226 containing metabolites were monitored due to their possible origin from phenolic
227 compounds. However, the initial amount and the trend of the excretion curves suggests
228 several potential origins as previously reported [23] (Supporting information Fig. 2).
229 Since ferulic acid is the main phenolic compound, both in wholegrain and in aleurone-rich
230 bread, high concentrations of ferulic acid metabolites (ferulic acid-4'-*O*-sulfate,
231 dihydroferulic acid-4'-*O*-sulfate and dihydroferulic acid-*O*-glucuronide) were recovered in
232 urine samples (Fig. 2). If the ferulic acid-4'-*O*-sulfate (Fig. 2a) indicates an interaction of the
233 native compound with the SULTs, the dihydroferulic metabolites (Fig. 2b and 2c) provides
234 evidence of a microbial action on the double C-C bond prior to hepatic sulfation or
235 glucuronidation. Colonic catabolism also resulted in the production of feruloylglycine [32]
236 (Fig. 2d). Fig. 3 shows the cumulative excretion of dihydrocaffeic acid sulfate (Fig. 3a) and
237 sinapic acid sulfate (Fig. 3b), with the latter tentatively identified due to the lack of a
238 commercial standard. As previously reported for ferulic acid colonic metabolites, the
239 importance of the microbiota on the biotransformation of native phenolic compounds was
240 demonstrated by the presence of dihydrocaffeic acid sulfate and other low molecular weight
241 metabolites such as vanillic acid-4-*O*-sulfate (Fig. 3c) and hydroxybenzoic acid sulfate (Fig.
242 3d), and of a negligible amount of 3-(phenyl)propionic acid-*O*-sulfate (Supporting
243 information Fig. 2c). These metabolites could originate from all the native phenolic acids in
244 the diet, not specifically to ferulic acid. For this reason, these specific metabolites cannot be
245 taken into account when ferulic acid bioavailability is evaluated. Finally, 3',4'-

246 dihydroxyphenylacetic acid was the only identified unconjugated compound (Supporting
247 information Fig. 2d). The concentration in urine appeared to increase throughout the 48 h
248 sampling period with no noticeable difference across the products ingested.

249 By observing the slopes of the 48-h cumulative curves, the metabolic origin of each
250 metabolite could be interpreted. Specifically, a steep slope recorded within the 0-3 h time
251 period is suggestive of early absorption in the small intestine, and of a subsequent
252 conjugation at the enterocyte or hepatic level. Hence, ferulic acid-4'-*O*-sulfate was the only
253 metabolite derived from this metabolic path, indicating that the native compound could be
254 partially absorbed in the small intestine (Fig. 2a). An increase in the slope at 3-6 h indicates a
255 release of the most accessible fiber-linked-phenolic compounds by microbiota enzymatic
256 activity. The release of native compounds in the colon led to increased absorption and
257 further conjugation. For example, ferulic acid-4'-*O*-sulfate (Fig. 2a), sinapic acid sulfate (Fig.
258 3b) and hydroxybenzoic acid sulfate (Fig. 3b) curves exhibited a increased rate of appearance
259 within 3 and 6 h. Finally, increasing slopes detected from 6 to 48 h explain a deeper
260 microbiota catabolism. In particular, metabolites excreted during these excretion periods
261 could be associated with i) a long transient time in the large intestine, according to normal
262 metabolism of dietary fiber-rich products [33], which caused a slower release of less
263 accessible fiber-linked phenolics; ii) the microbial enzymatic activity needed to convert the
264 released phenolic compounds into lower molecular weight molecules (as vanillic acid,
265 hydroxybenzoic acid (Fig. 3c and d), 3-(phenyl)propionic acid (Supporting information Fig.
266 2c)), or into nitrogen-containing conjugates (hippuric acid, hydroxyhippuric acid (Supporting
267 information Fig. 2a and 2b) and feruloylglycine (Fig. 2d)), or into reduced forms

268 (dihydrocaffeic acid (Fig. 3a) and dihydroferulic acid (Fig. 2b and 2c)); or iii) the hepatic
269 SULTs and UGTs activity on phenolics absorbed from the colon.

270 Observation of the 48-h urinary excretion (Fig. 2, 3 and Supporting information Fig. 2)
271 suggests that the consumption of AB-190 (bread enriched with aleurone fraction that
272 contained the same dose of ferulic acid of the wholegrain bread) is generally linked to
273 higher excretion of almost all the detected metabolites, with peculiar differences for
274 feruloylglycine, hippuric acid, dihydrocaffeic acid sulfate, and sinapic acid sulfate.

275

276 **3.2 Bioavailability**

277 To calculate the bioavailability of specific wheat phenolics, we have computed the ratio
278 between the total metabolite 48h urinary excretion and the total intake of parent
279 compounds through the three fed bread portions.

280 Although the 48-h cumulative excretion of dihydrocaffeic acid sulfate and sinapic acid
281 sulfate appeared significantly higher after the consumption of the aleurone enriched bread,
282 the bioaccessibility and bioavailability of caffeic or sinapic acid cannot be quantified as the
283 portion meal was not standardized for their respective contents.

284 On the contrary, the bioavailability of ferulic acid can be evaluated by focusing specifically on
285 its direct metabolites, such as ferulic acid-4'-*O*-sulfate, dihydroferulic acid-4'-*O*-sulfate and
286 dihydroferulic acid-*O*-glucuronide, and by correlating their total amount to the amount of
287 native free and bound ferulic acid provided through each test meal. Fig. 4 shows that the
288 bioavailability of ferulic acid in aleurone enriched bread containing the same dose of ferulic
289 acid (AB-190) was slightly higher than after the control wholegrain product, but this
290 difference did not reach a statistical significance. On the contrary, the bioavailability of

291 ferulic acid in bread (AB-94) enriched in aleurone fraction consumed in same quantity as
292 wholegrain bread was significantly higher.

293

294 **3.3 Plasma kinetics of phenolic compounds**

295 The second target of the study focused on plasma pharmacokinetics of phenolic compounds
296 in bread. Contrary to urinary data, only 4 metabolites were detected in quantifiable
297 concentrations in plasma samples. Hippuric acid and hydroxyhippuric acid (where the
298 concentration was calculated considering both of the isomers) had the highest
299 concentration among all circulating metabolites (Supporting information Fig. 3a and b).

300 Although hippuric acid exhibited a peak plasma concentration at 7 h, indicating a possible
301 colonic degradation of native phenolic compounds, the absorption curves appeared unclear
302 and these metabolites could not be directly associated with phenolic acid metabolism. On
303 the contrary, the presence of ferulic acid-4'-*O*-sulfate and dihydroferulic acid-4'-*O*-sulfate
304 can be strictly linked to bread consumption (Fig. 5). The curve reported for ferulic acid-4'-*O*-
305 sulfate (Fig. 5a) clearly demonstrates the absorption and the rapid conjugation of the native
306 ferulic acid in the first part of the gastrointestinal tract, confirming what was observed in
307 urines. The evidence was supported by the calculated T_{\max} value close to 1 h. The circulating
308 concentrations rapidly decreased within 3 h and progressively until 24 h. Although the curve
309 derived from the consumption of the product enriched in aleurone fraction containing less
310 ferulic acid (AB-94) showed a lower C_{\max} and AUCs value, no significant differences were
311 observed in the kinetic parameters among the three products. The dihydroferulic acid-4'-*O*-
312 sulfate absorption curve (Fig. 5b) distinctly outlined a maximum peak at 7 h, which was
313 higher after the consumption of AB-190, in accordance with its colonic formation [34], and

314 its concentration decreased before the last collection time point. However, because of the
315 lack of intermediate time points between 7 h and 24 h it was impossible to exclude the
316 presence of additional peak concentrations within this period.

317

318 4 DISCUSSION

319 The present study compared, for the first time, the *in vivo* human absorption, metabolism
320 and bioavailability of phenolic compounds present in two types of bread: wholegrain bread
321 and wheat bread enriched in aleurone fraction. We standardized bread intake based on the
322 dose of the main phenolic acid, ferulic acid, and accounted for free and bound phenolics, as
323 well as ferulic acid oligomers [27].

324 Previous research has compared the *in vitro* early digestion of wholegrain bran to the
325 aleurone fraction. Zaupa and colleagues reported the *in vitro* bioaccessibility of the main
326 phenolic compounds were higher in the aleurone flour compared to unfractionated bran
327 [26]. These results were corroborated when the same approach was used to measure the *in*
328 *vitro* bioaccessibility of phenolic compounds in wholegrain flour bread or aleurone-rich flour
329 bread. All the main phenolic acids were significantly more bioaccessible in the bread
330 enriched in aleurone fraction [27]. Despite small intestine bioaccessibility, it is important to
331 assess colonic bioaccessibility, as phenolic compounds are poorly absorbed in the small
332 intestine [23]. *In vitro* colonic biotransformation has revealed the capacity of colonic
333 microbiota enzymes to catabolize ferulic oligomers, to release fiber-linked compounds, and
334 to convert them into simple metabolites, such as ferulic and dihydroferulic acid and other
335 aromatic metabolites such as phenylpropionic, phenylacetic and benzoic acid derivatives

336 [18, 35, 36], microbial metabolites which have been reported to be efficiently absorbed at
337 large intestinal level [37].

338 Existing animal studies that fed a whole-wheat meal or aleurone-rich meal led to the
339 identification of both human and microbial metabolites that are mainly conjugated with
340 sulfate or glucuronic acid residues [28, 38, 39]. Calani and colleagues (2014) fed rats a
341 control pellet or a wheat aleurone-rich pellet. Fifteen metabolites were quantified and were
342 mostly sulfate conjugated. Of these fifteen, six metabolites were detected in the present
343 human *in vivo* study including: ferulic acid-4'-*O*-sulfate, dihydroferulic acid-4'-*O*-sulfate,
344 vanillic acid-4-*O*-sulfate, 3-(phenyl)propionic acid-*O*-sulfate, 3',4'-dihydroxyphenylacetic acid
345 and hippuric acid. In the same rat feeding study the 24-h urinary recovery for the majority of
346 metabolites was higher when the aleurone-rich pellet was consumed, demonstrating a
347 higher absorption of aleurone phenolic compounds [28]. A better absorption of the phenolic
348 acid of aleurone fraction rather than wholegrain was also established by Nørskov and
349 colleagues (2013). Pigs that consumed aleurone-rich wheat flour for 72 h had a higher
350 phenolic metabolite plasma concentration in comparison to a whole-wheat diet. However,
351 the phenolic compound intake was not standardized between the two diets [39].

352 No human studies are available on aleurone phenolic acid metabolism and absorption.
353 However, some human intervention studies that investigated wholegrain cereal
354 consumption reported urinary excretion of ferulic acid conjugates, feruloylglycine and
355 sinapic acid conjugates [40]. Additionally, plasma circulating concentrations of ferulic acid
356 conjugates, vanillic acid, 3-(hydroxyphenyl)propionic acid conjugates, and sinapic acid
357 conjugates [21, 40], have been reported as ranging from nanomolar to low micromolar. The
358 absence of some plasma microbial catabolites following wholegrain bread intake in the

359 present study could be explained by the lower dose of ferulic acid (~3-3.5 fold lower
360 respectively), than previously utilized in other studies.

361 In the present study we standardized ferulic intake between the wholegrain bread and the
362 aleurone bread trials and found a total bioavailability of 4-8%. The bioavailability of ferulic
363 acid in humans was higher than in rats fed aleurone-rich pellets where it was approximately
364 0.7% [28]. In contrast, ferulic acid bioavailability was similar, at 4-5.5%, when rats were fed a
365 cereal-based meal [20]. Additionally, other human studies that examined whole-wheat
366 bread or high-bran cereals report a 3-10% bioavailability of ferulic acid [21, 40]. Other
367 studies that report wholegrain ferulic acid bioavailability, with the exception of Calani et al
368 (2014), do not consider the amount of ferulic acid dimers or trimers contained in the test
369 meal.

370 As bioavailability could have been influenced both by the type of ferulic acid source
371 (wholegrain flour or aleurone fraction) and by the amount of bread (with a possible bulk
372 effect and/or absorption saturation), the chosen study design allowed us to discriminate
373 between these two potential co-factors. When the same amount of ferulic acid was fed
374 (87mg, but the two bread amounts were different), the bioavailability did not result
375 significantly different between aleurone enriched and wholemeal breads (Fig.4), unless
376 feruloylglycine was accounted for in the calculation (Supporting information Fig. 4). When,
377 however, the same amount of bread was fed (94g, but the total ferulic acid intake was
378 different), ferulic acid from aleurone-enriched bread was significantly better absorbed and
379 excreted. Nevertheless, it must be pointed out that the excretion of feruloylglycine in the
380 bioavailability calculation could be misleading, since the concentration of this metabolite
381 was detectable already at the beginning (T_0) of each treatment, as also previously reported

382 [40], suggesting that its 48 h-cumulative excretion could result from the catabolism of other
383 ingested or biotransformed compounds.

384 Plasma levels of ferulic acid metabolites were not significantly different after the three
385 feedings, indicating that even with half dose of ferulic acid (introduced with AB-94),
386 consumers could reach plasma levels of ferulic acid metabolites comparable to those
387 obtained from a higher dose introduced through wholemeal bread or higher volumed of
388 aleurone bread.

389 The higher ferulic bioavailability in bread enriched with the aleurone fraction compared to
390 wholegrain bread could be explained by the different bran structure. Increased
391 bioaccessibility, and hence bioavailability, of wheat aleurone phenolics has been
392 demonstrated in the literature by applying different approaches, like enzymatic treatments
393 targeting specific linkages in wheat bran [35, 41], fermentation systems, used as sources of
394 specific enzymes [21, 41], or high energy grinding, increasing the specific surface of the bran
395 fractions [16, 35]. Conversely, the higher bioavailability we observed following the lower
396 ferulic consumption suggests a potential negative dose-metabolic response explained by a
397 reduction in the capacity to metabolize and absorb phenolic acids caused by a higher level
398 of intake. Future studies should focus on establishing the mechanisms involved in the
399 absorption of phenolic acids from aleurone-rich products.

400 In recent years, the consumption of (poly)phenol-rich foods has been largely connected to
401 beneficial health effects [42, 43]. As such, evaluating the metabolism and bioavailability of
402 phenolic acids (particularly ferulic acid) in widely consumed staple products, such as bread,
403 becomes of particular interest. Additionally, more studies are appearing in the literature
404 trying to unravel the mechanisms behind the protective effects exerted by wholegrain

405 foods, with some of these highlighting the possible involvement of phenolic acids. For
406 example, the circulating levels of specific phenolic metabolites detected after wholegrain
407 consumption in humans, such as ferulic acid conjugates, vanillic acid and
408 hydroxyphenylpropionic acid, have been specifically related to a reduction in pro / anti-
409 inflammatory cytokine ratios. These metabolites result in an immune-modulatory effect [21]
410 and a reduction in TNF- α and an enhancement in IL-10 correlated to the improvement of
411 the inflammation status [44]. Furthermore, two of the main phenolic acid metabolites (3,4-
412 dihydroxyphenylacetic acid and 3-hydroxyphenylpropionic acid) have been described *in vitro*
413 to potentiate glucose-stimulated insulin secretion in a beta cell line INS-1E and in rat
414 pancreatic islets [45]. Also, these metabolites are reported to protect against beta cell
415 dysfunction and death induced by tert-butyl hydroperoxide, through the activation of
416 protein kinase C and the extracellular regulated kinase pathways [45]. Moreover, the
417 dihydroxylated microbial compound 3,4-dihydroxyphenylacetic acid, as well as 3,4-
418 dihydroxyphenylpropionic, exhibited anti-inflammatory properties, modulating the
419 production of the main pro-inflammatory cytokines, as TNF- α , IL-1 β and IL-6, in LPS-
420 stimulated peripheral blood mononuclear cells. Contrarily, 4-hydroxyhippuric acid exerted
421 its anti-inflammatory property only acting on TNF- α secretion [46]. Finally, very recently,
422 Malunga and colleagues described ferulic acid and feruloylated arabinoxylan mono-
423 /oligosaccharides as possible inhibitors of sucrase and maltase functions of α -glucosidase in
424 Caco-2 and rat intestinal cells; moreover glucose uptake in Caco-2 cells was partially
425 inhibited by their presence [47].

426 Limited evidence is available on potential human health benefits due to aleurone. Rats fed
427 with wheat aleurone did not experience improvement of any parameter associated with

428 obesity, such as body weight gain, adiposity, fasting blood glucose, plasma insulin and
429 leptin, anti-inflammatory markers and oxidative stress markers [48]. Ounnas and colleagues
430 (2014) demonstrated that plasma eicosapentaenoic acid concentration increased in rats fed
431 with wheat aleurone, while docosahexaenoic acid and omega-6 fatty acids were not
432 affected [49]. In humans, the consumption of wheat aleurone-rich foods significantly
433 lowered the plasma concentration of the inflammatory marker, C-reactive protein, which is
434 an independent risk factor for CVD. No changes were observed in other markers of
435 inflammation, antioxidant status or endothelial function [50]. However, it remains to be
436 established whether the potential health benefits reported for wholegrain consumption are
437 dependent on a specific component or a combination of components present in wholegrains
438 and possibly in aleurone fractions.

439 In conclusion, this is the first human intervention study in which phenolic compound
440 metabolism, absorption and bioavailability in wholegrain bread have been compared to
441 those introduced through bread enriched with aleurone layer. The results of this study,
442 besides showing a general increased bioavailability of ferulic acid in aleurone-rich bread,
443 demonstrate that high levels of ferulic acid metabolites can be achieved in humans with the
444 consumption of relatively low amounts (4 slices) of white, aleurone-enriched bread. We are
445 only able to speculate as if the potential mechanisms behind the health effects linked to
446 wholegrains are maintained with aleurone-rich food consumption. However, if the
447 circulating phenolic metabolites after intake of wholegrain products are involved in
448 physiological benefits [44], this study demonstrates that the same levels could be reached
449 through consumption of refined products enriched in aleurone fractions. This study paves
450 the way for future research focused on measuring the modulation of health related

451 biomarkers by aleurone and its phenolics in both health individuals as well as those with
452 disease risk factors.

453 Supporting material

454 mnfr201600238-sup-0001-supporting_information_rev1_OK

455

456 *We thank all the volunteers who participated in the study.*

457 *LB, FS and DDR designed the research; LB conducted the experiments, collected samples and*

458 *data, analyzed samples, drafted the manuscript; RL and EDA were medical study supervisors*

459 *and responsible for hematic sample collection; CM provided the bread products and*

460 *organized the portioning; FS, MN, MD, SR and FB critically read and improved the protocol*

461 *and the manuscript; DDR secured the funding and has the primary responsibility for the final*

462 *content; all authors: read and approved the final manuscript. CM is an employee of Barilla*

463 *SpA. LB, FS, RL, EDA, MN, MD, SR, FB, and DDR had no competing financial interests.*

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465

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- 597

TABLE 1

Nutritional values and ferulic acid content per portion of testing meals.

	WGB Wholegrain Bread (94 g)	AB-94 Aleurone-Enriched Bread (94 g)	AB-190 Aleurone-Enriched Bread (190 g)
Energy (kcal)	246	245	496
Energy (kJ)	1035	1035	2092
Fats (g)	5.5	3.9	8.0
Carbohydrates (g)	35.3	40.7	82.3
Fiber (g)	7.5	5.6	11.4
Proteins (g)	10.2	8.9	18.0
Ferulic Acid (mg)	87	43	87

TABLE 2

Standard compounds used for metabolite quantification.

Metabolites	Standard compounds used for quantification

3',4'-Dihydroxyphenylacetic acid	3',4'-Dihydroxyphenylacetic acid
Hippuric acid	Hippuric acid
4-Hydroxyhippuric acid	4-Hydroxyhippuric acid
Hydroxyhippuric acid	4-Hydroxyhippuric acid
Hydroxybenzoic acid sulfate	Dihydrocaffeic acid-3- <i>O</i> -sulfate
3-(Phenyl)propionic acid-<i>O</i>-sulfate	Dihydrocaffeic acid-3- <i>O</i> -sulfate
Vanillic acid-4-<i>O</i>-sulfate	Dihydroferulic acid-4'- <i>O</i> -sulfate
Feruloylglycine	Feruloylglycine
Dihydrocaffeic acid-3-<i>O</i>-sulfate	Dihydrocaffeic acid-3- <i>O</i> -sulfate
Ferulic acid-4'-<i>O</i>-sulfate	Ferulic acid-4'- <i>O</i> -sulfate
Dihydroferulic acid-4'-<i>O</i>-sulfate	Dihydroferulic acid-4'- <i>O</i> -sulfate
Sinapic acid-<i>O</i>-sulfate	Ferulic acid-4'- <i>O</i> -sulfate
Dihydroferulic acid-<i>O</i>-glucuronide	Dihydro(iso)ferulic acid-3- <i>O</i> -glucuronide

TABLE 3

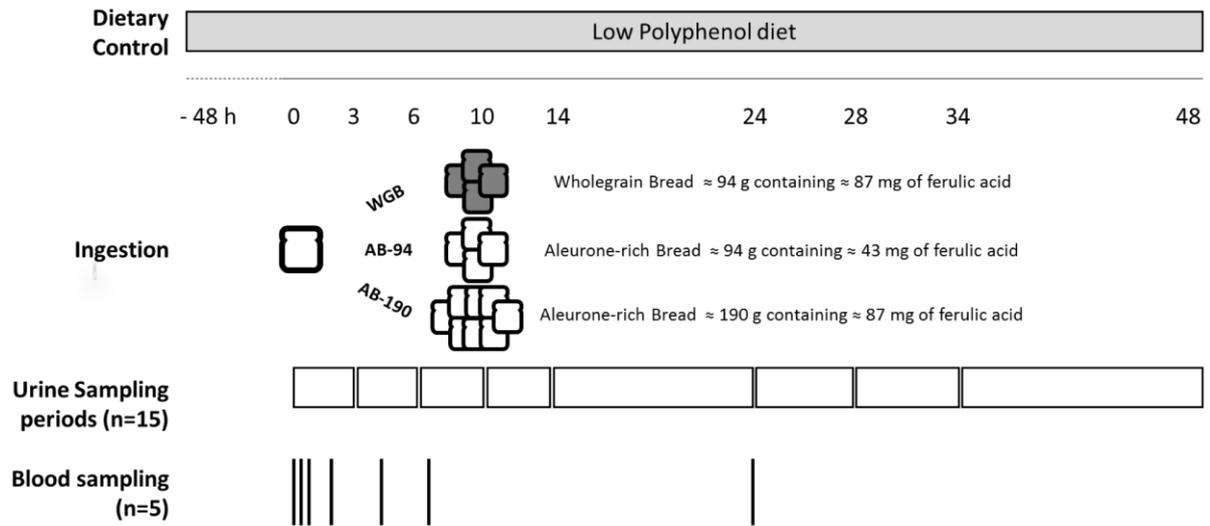
Spectrometric characteristics used for metabolite quantification.

Metabolites	RT	[M-H] ⁻ (m/z)	MS ²	MS ³	Sample Detection
3',4'-Dihydroxyphenylacetic acid	2.06	167	123		Urine
Hippuric acid	2.75	178	134		Urine, Plasma
4'- Hydroxyhippuric acid	1.93	194	100		Urine, Plasma
Hydroxyhippuric acid	2.17	194	150		Urine, Plasma
Hydroxybenzoic acid sulfate	2.52	217	137	93, 137	Urine
3-(Phenyl)propionic acid-<i>O</i>-sulfate	2.88	245	165	147, 121	Urine
Vanillic acid-4-<i>O</i>-sulfate	2.78	247	167	123, 152, 108	Urine
Feruloylglycine	3.69	250	206, 100, 149, 175, 191		Urine
Dihydrocaffeic acid-3-<i>O</i>-sulfate	2.55	261	181	137, 119	Urine
Ferulic acid-4'-<i>O</i>-sulfate	3.53	273	193	149, 178, 134	Urine, Plasma
Dihydroferulic acid-4'-<i>O</i>-sulfate	2.94	275	195	151, 136, 180, 177	Urine, Plasma
Sinapic acid-<i>O</i>-sulfate	3.10	303	223	208, 179, 191	Urine
Dihydroferulic acid-<i>O</i>-glucuronide	3.14	371	195	119, 151, 149, 136	Urine

Legends for figures

FIGURE 1

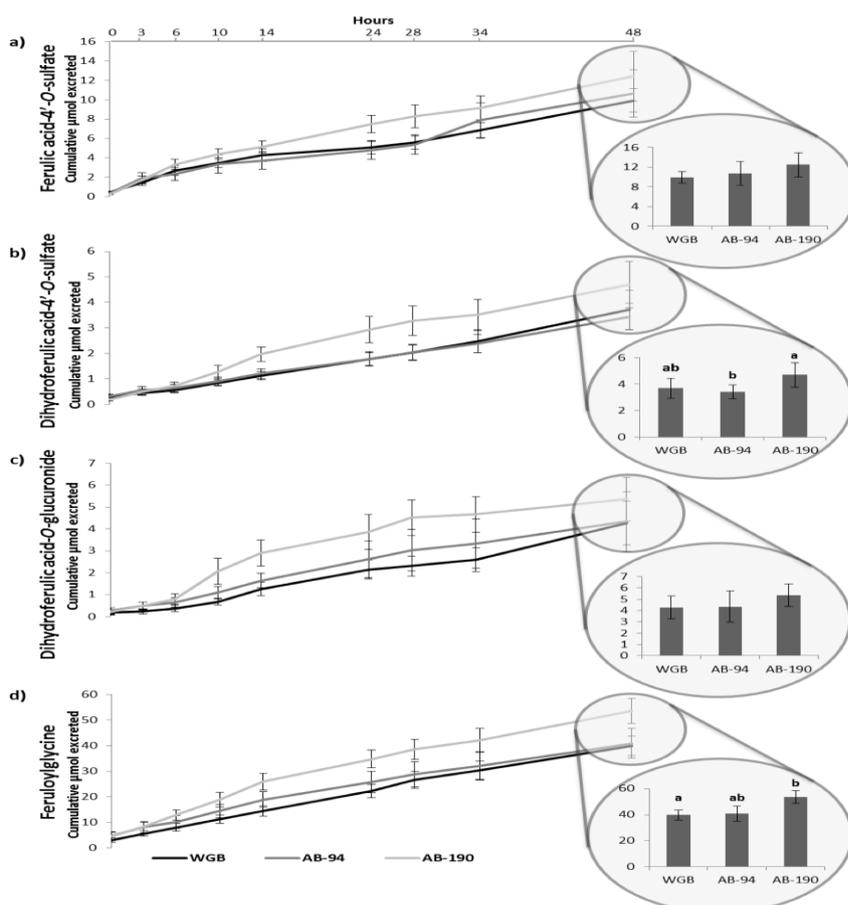
Graphical representation of the study design.



599

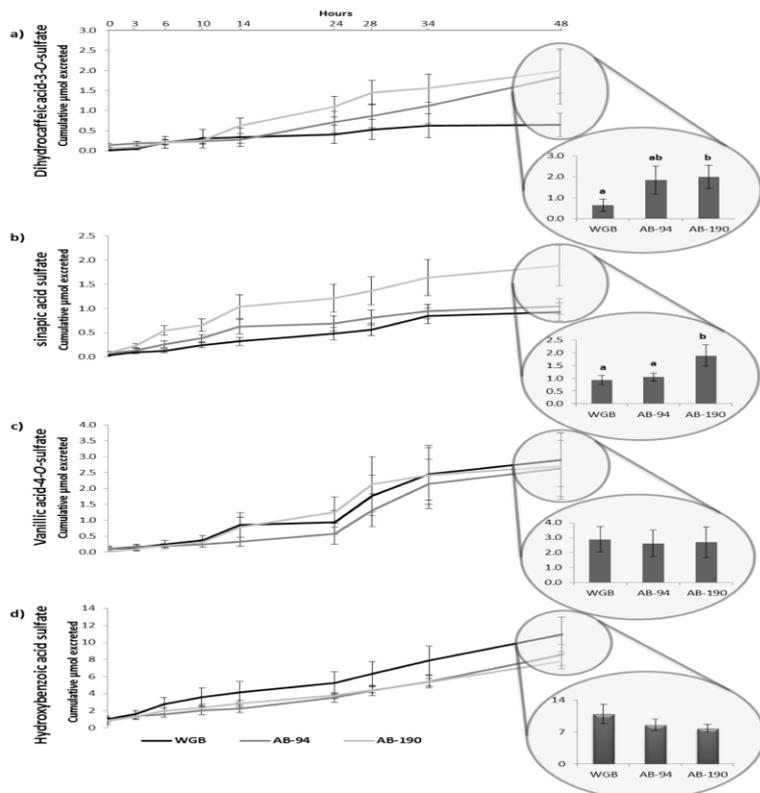
FIGURE 2

Cumulative urinary excretion of ferulic acid metabolites: a) Ferulic acid-4'-*O*-sulfate, b) Dihydroferulic acid-4'-*O*-sulfate, c) Dihydroferulic acid-*O*-glucuronide, and d) Feruloylglycine. Values are expressed as cumulative μmol excreted \pm SEM ($n = 15$). Different letters mean significant differences comparing the three consumed product. A general linear model for repeated measures (ANOVA, LSD post-hoc test) was used to compare each metabolite among the three treatments. Statistical significance was set at $p < 0.05$. WGB consists of 94 g of wholegrain bread, containing approximately 87 mg of total ferulic acid; AB-94 consists of 94 g of a commercial bread enriched in aleurone fraction, containing approximately 43 mg of total ferulic acid; AB-190 consists of 190 g of a commercial bread enriched in aleurone fraction, containing approximately 87 mg of total ferulic acid.



600 **FIGURE 3**

Cumulative excretion of phenolic acid metabolites: a) Dihydrocaffeic acid-3-*O*-sulfate, b) Sinapic acid sulfate, c) Vanillic acid-4-*O*-sulfate, and d) Hydroxybenzoic acid sulfate. Values are expressed as cumulative μmol excreted \pm SEM ($n = 15$). Different letters mean significant differences comparing the three consumed product. A general linear model for repeated measures (ANOVA, LSD post-hoc test) was used to compare each metabolite among the three treatments. Statistical significance was set at $p < 0.05$. WGB consists of 94 g of wholegrain bread, containing approximately 87 mg of total ferulic acid; AB-94 consists of 94 g of a commercial bread enriched in aleurone fraction, containing approximately 43 mg of total ferulic acid; AB-190 consists of 190 g of a commercial bread enriched in aleurone fraction, containing approximately 87 mg of total ferulic acid.



601

FIGURE 4

Ferulic acid bioavailability calculated comparing the total amount of ferulic acid consumed by the 15 volunteers and the quantity excreted through its main metabolites, namely ferulic acid-4'-*O*-sulfate, dihydroferulic acid-4'-*O*-sulfate and dihydroferulic acid-*O*-glucuronide.

Different letters mean significant differences comparing the three consumed product. A general linear model for repeated measures (ANOVA, LSD post-hoc test) was used to compare the bioavailability ($p < 0.05$). WGB consists of 94 g of wholegrain bread, containing approximately 87 mg of total ferulic acid; AB-94 consists of 94 g of a commercial bread enriched in aleurone fraction, containing approximately 43 mg of total ferulic acid; AB-190 consists of 190 g of a commercial bread enriched in aleurone fraction, containing approximately 87 mg of total ferulic acid.

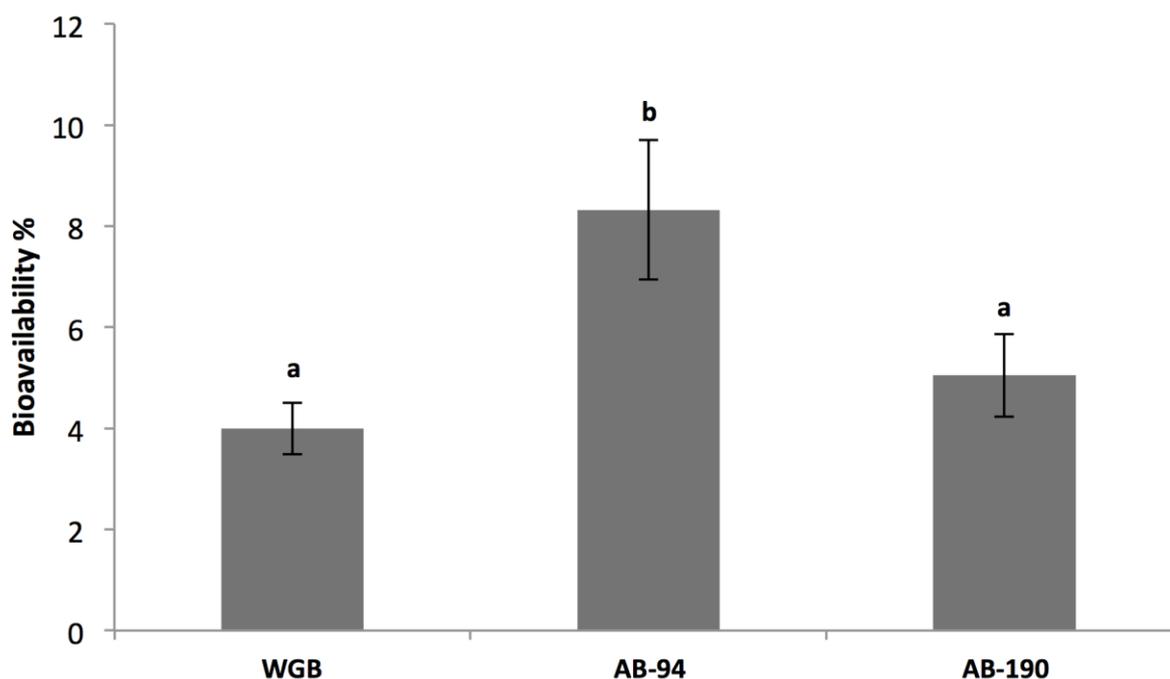
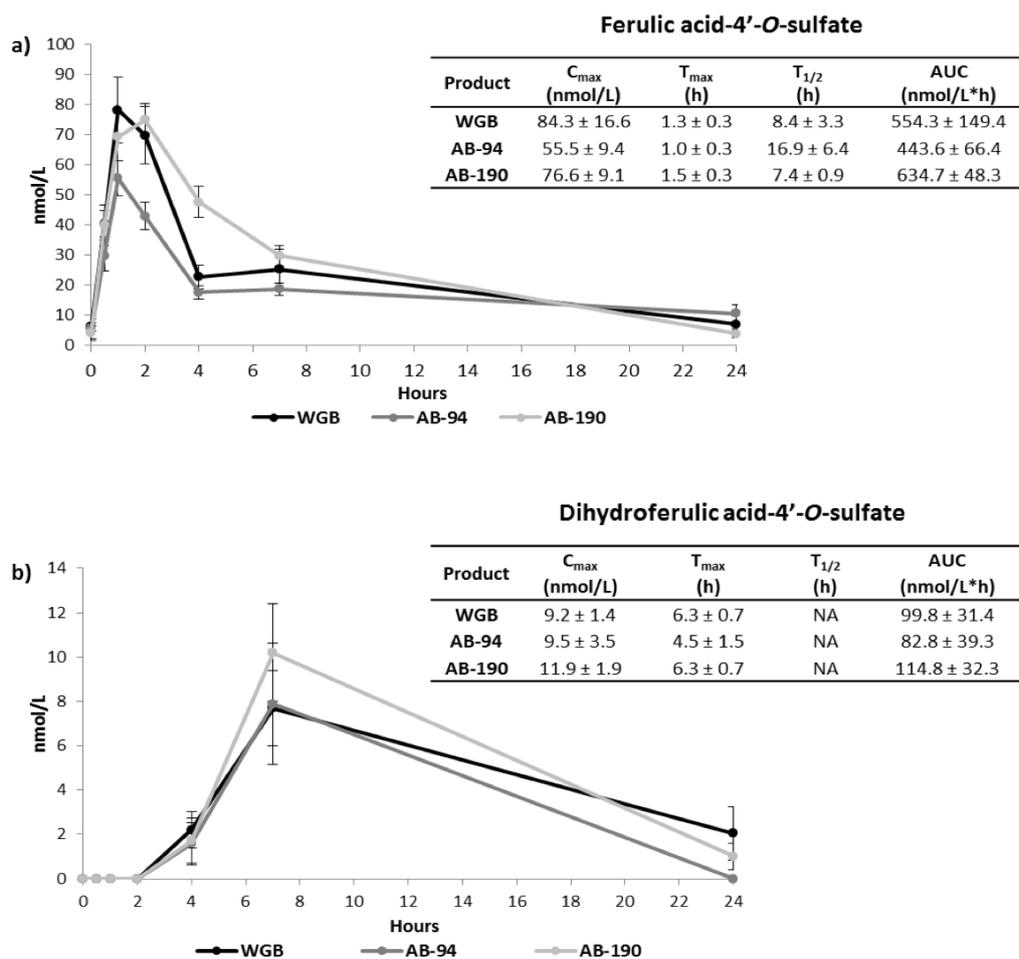


FIGURE 5

Absorption kinetics of the phenolic metabolites detected in plasma. a) Ferulic acid-4'-*O*-sulfate, and b) Dihydroferulic acid-4'-*O*-sulfate. Values are expressed as nmol/L \pm SEM (n = 5). A general linear model for repeated measures (ANOVA, LSD post-hoc test) was used to compare pharmacokinetic parameters among the three treatments. Statistical significance was set at $p < 0.05$. No statistically significant difference was observed. WGB consists of 94 g of wholegrain bread, containing approximately 87 mg of total ferulic acid; AB-94 consists of 94 g of a commercial bread enriched in aleurone fraction, containing approximately 43 mg of total ferulic acid; AB-190 consists of 190 g of a commercial bread enriched in aleurone fraction, containing approximately 87 mg of total ferulic acid.



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